

SOC Readiness Self-Assessment Supporting Implementation Planning

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INDIANA UNIVERSITY

SCHOOL OF SOCIAL WORK

28th Annual Child Mental Health Research and Policy Conference
Tampa, Florida

IN SOC Development (2000 - 2015)

*Robert Wood Johnson Foundation
CMHI: Dawn Project*

CMHI: Circle Around Families

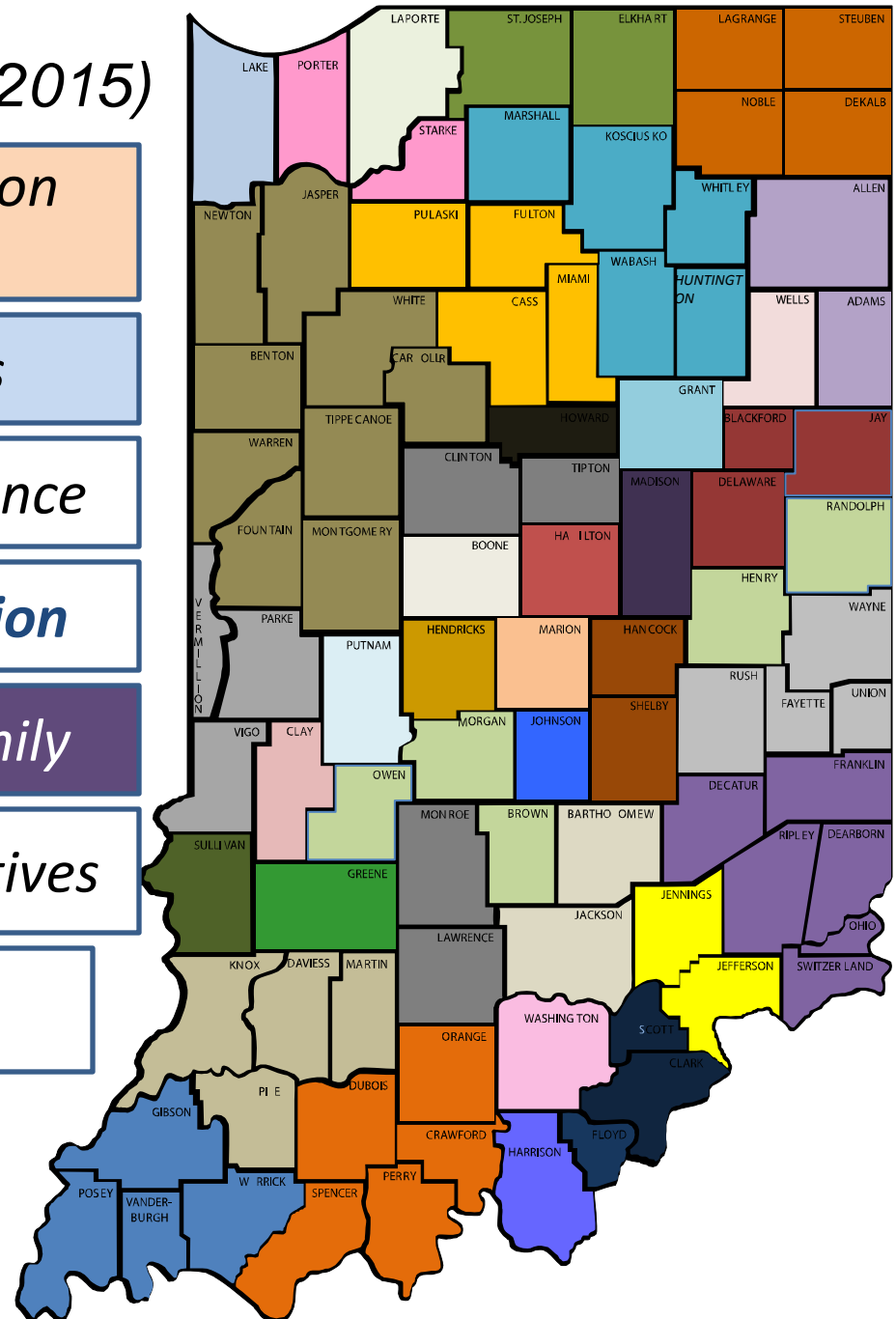
State Funded & Technical Assistance

CA-PRTF Medicaid Demonstration

CMHI: One Community One Family

Wraparound Sustainability Initiatives

SOC Expansion Planning Grant





Indiana

SYSTEM OF CARE PARTNERS



Indiana



Focus: Meaningful Use of SOC Readiness Survey to Plan

- State Child Mental Health Advisory Board (CMHA)
- SOC Expansion Planning Grant July 2013
- Support state and local SOC development
- Required Readiness Self-Assessment & Strategic Plan
- Participatory Process to Select, Administer, and Use Self-Assessment



Selecting Tool

- CMHA (families, local SOCs, state agencies, advocates, other providers)
- Focus on SOC, not wraparound
- Requested ability to tweak language to be more easily understood
- Looked for related research to help interpret results
- Feasibility to administer



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Methodology: System of Care Implementation Survey (SOCIS)

**(Greenbaum, Friedman, Kutash, & Boothroyd, 2008;
Kutash, et al. 2008)**

- Consultation from Krista Kutash & Roger Boothroyd
- Allowed to tweak language without changing meaning of items/factors
- Dec 2013 & Jan 2014, IN Department of Child Services, using Survey Monkey
- Key Informants identified by local SOC Key Contacts
- Goal: complete ~ 5 surveys in each county (92) from varied perspectives



About the SOCIS

- 15 Factors related to system of care development
 - 5-point scale
 - 68% response rate
 - n = 546 local SOC
 - n = 76 state SOC
 - 61 of 92 counties
1. Family Choice and Voice
 2. Individualized, Comprehensive, and Culturally Competent Treatment
 3. Outreach and Access to Care
 4. Transformational Leadership
 5. Theory of Change
 6. Implementation Plan
 7. Local Population of Concern
 8. Interagency and Cross-Sector Collaboration
 9. SOC Values and Principles
 10. Comprehensive Financing Plan
 11. Skilled Provider Network
 12. Performance Measurement System
 13. Provider Accountability
 14. Management & Governance
 15. General System Performance



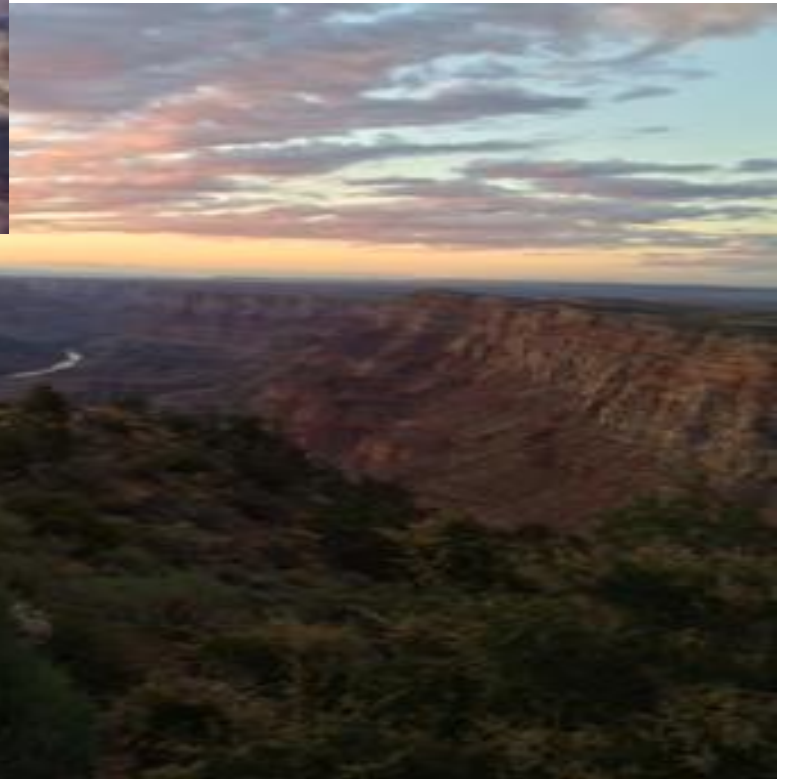
Meaningful Use

- Preliminary findings to SOC planning team to inform strategic plan development (March)
- Overview Report (April)
 - Perceived level of state & local SOC development statewide
 - Shared with Local SOC Committee & CMHA
 - Use Information for planning



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Create Snapshots of SOC's

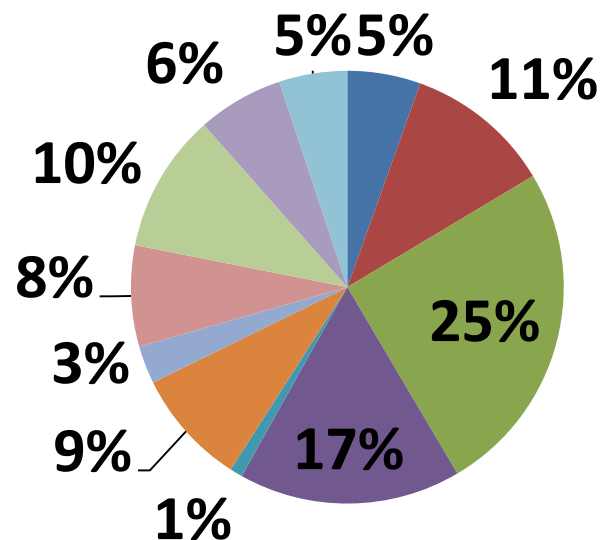


Findings: IN SOCIS

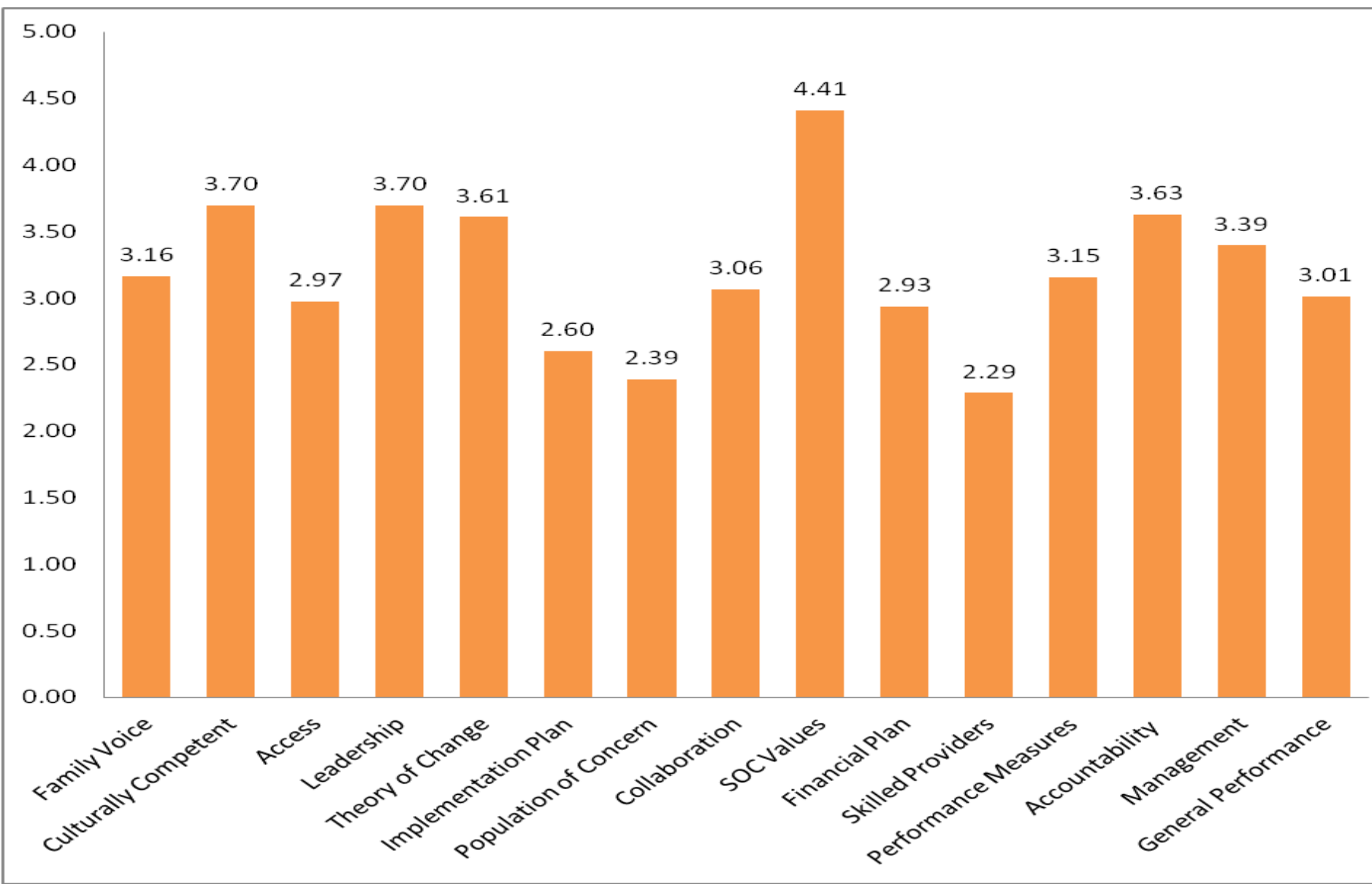
(Walton & Evans, 2014)

Percentage of Each Type of Respondent (n=622)

- Family Member
- MH Direct Service Provider
- Youth, Young Adult
- Advocate
- Other Child Services
- CW Supervisor or FCM
- Mental Health Administrator
- Educator
- Other
- Juvenile Justice
- Child Welfare Management



State SOC SOCIS Factor Ratings, n = 76





Differences in Perspectives (Walton & Evans, 2014)

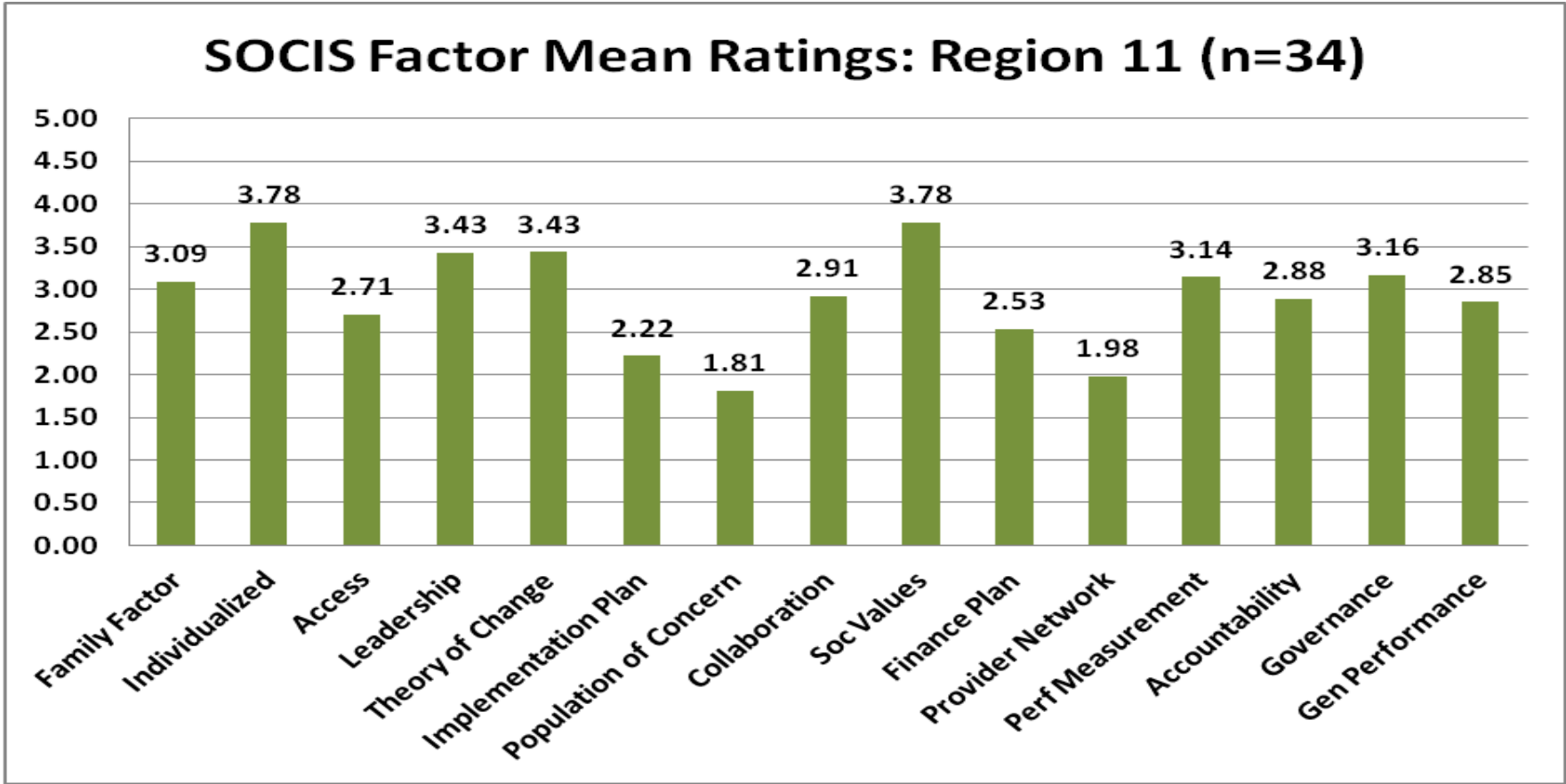
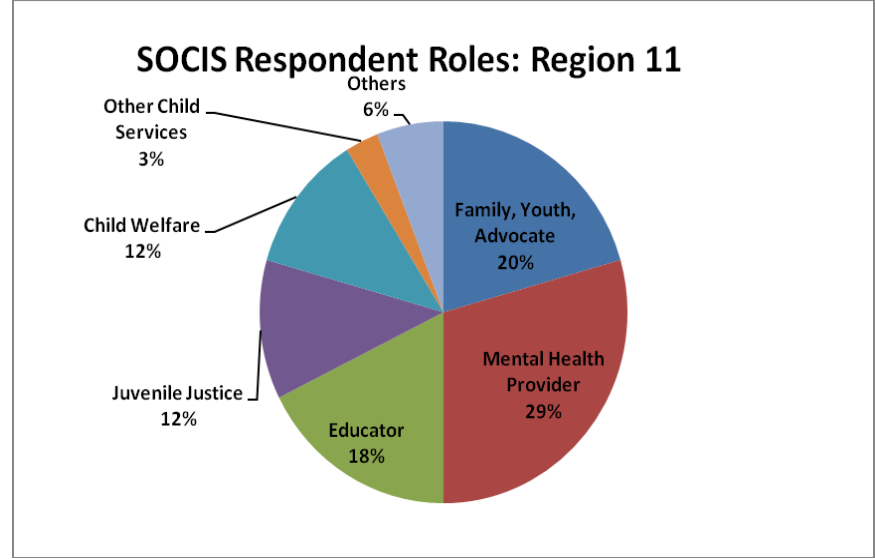


- Family members, youth, and advocates tended to rate most SOCIS factors lower than did other types of respondents.
- Similarities are found in ratings by individuals working in mental health, other child service providers, and child welfare.

Compare SOCIS Factor Ratings	National Weighted Average s (Kutash et al. 2011)	Indiana Local SOC's Mean (61 counties)
Values & Principles	4.01	3.81
Individualized, Comprehensive, & Culturally Competent Treatment	3.77	3.85
Transformational Leadership	3.66	3.58
Theory of Change	3.52	3.65
Family Voice & Choice	3.28	3.20
Management & Governance	3.24	3.32
Provider Accountability	2.93	3.36
Performance Measurement System	2.91	2.97
Outreach & Access to Care	2.89	3.05
Interagency & Cross-sector Collaboration	2.89	3.12
Local Population of Concern	2.79	2.20
Comprehensive Financing Plan	2.74	2.70
Implementation Plan	2.38	2.43



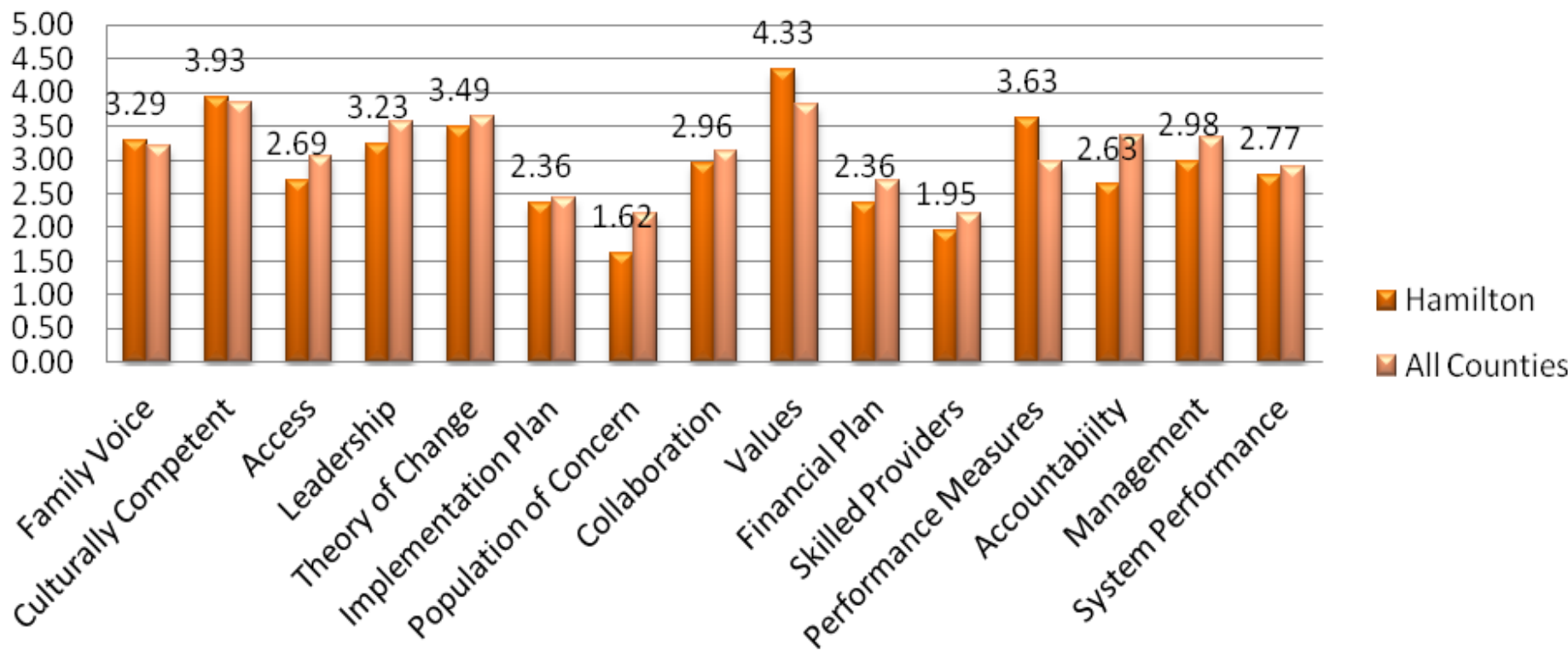
Regional Reports





County Example, n =15

Mean SOCIS Factor Ratings by County and Local Communities Statewide





17 regional meetings

July – November 2014

Regional and County level
SOCIS results

- Provided framework to better understand SOC vs. services
- Used information to help develop local SOC development local SOC objectives





References

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- Kutash K., Greenbaum P., Wang W, Boothroyd, R. A., Friedman, R. M. (2011). Levels of system of care implementation: A national study. *Journal of Behavioral Health Services and Research*, 38(3), 342-357. doi: 10.1007/s11414-011-9242-2.
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