SOC Readiness Self-Assessment
Supporting Implementation Planning

Betty A. Walton & Pinkie Evans

March 2015
IN SOC Development  (2000 - 2015)

Robert Wood Johnson Foundation
CMHI: Dawn Project

CMHI: Circle Around Families

State Funded & Technical Assistance

CA-PRTF Medicaid Demonstration

CMHI: One Community One Family

Wraparound Sustainability Initiatives

SOC Expansion Planning Grant
• State Child Mental Health Advisory Board (CMHA)
• SOC Expansion Planning Grant July 2013
• Support state and local SOC development
• Required Readiness Self-Assessment & Strategic Plan
• Participatory Process to Select, Administer, and Use Self-Assessment

Focus:
Meaningful Use of SOC Readiness Survey to Plan
Selecting Tool

- CMHA (families, local SOCs, state agencies, advocates, other providers)
- Focus on SOC, not wraparound
- Requested ability to tweak language to be more easily understood
- Looked for related research to help interpret results
- Feasibility to administer
Methodology: System of Care Implementation Survey (SOCIS) (Greenbaum, Friedman, Kutash, & Boothroyd, 2008; Kutash, et al. 2008)

- Consultation from Krista Kutash & Roger Boothroyd
- Allowed to tweak language without changing meaning of items/factors
- Dec 2013 & Jan 2014, IN Department of Child Services, using Survey Monkey
- Key Informants identified by local SOC Key Contacts
- Goal: complete ~ 5 surveys in each county (92) from varied perspectives
About the SOCIS

1. Family Choice and Voice
2. Individualized, Comprehensive, and Culturally Competent Treatment
3. Outreach and Access to Care
4. Transformational Leadership
5. Theory of Change
6. Implementation Plan
7. Local Population of Concern
8. Interagency and Cross-Sector Collaboration
9. SOC Values and Principles
10. Comprehensive Financing Plan
11. Skilled Provider Network
12. Performance Measurement System
13. Provider Accountability
14. Management & Governance
15. General System Performance

• 15 Factors related to system of care development
  • 5-point scale
  • 68% response rate
    n = 546 local SOC
    n = 76 state SOC
    • 61 of 92 counties
Meaningful Use

- Preliminary findings to SOC planning team to inform strategic plan development (March)
- Overview Report (April)
  - Perceived level of state & local SOC development statewide
  - Shared with Local SOC Committee & CMHA
  - Use Information for planning
Create Snapshots of SOCs
Findings: IN SOCIS
(Walton & Evans, 2014)

Percentage of Each Type of Respondent (n=622)

- Family Member
- Mental Health Administrator
- MH Direct Service Provider
- Educator
- Youth, Young Adult
- Other
- Advocate
- Juvenile Justice
- Other Child Services
- Child Welfare Management
- CW Supervisor or FCM
State SOC SOCIS Factor Ratings, n = 76
Differences in Perspectives (Walton & Evans, 2014)

- Family members, youth, and advocates tended to rate most SOCIS factors lower than did other types of respondents.

- Similarities are found in ratings by individuals working in mental health, other child service providers, and child welfare.
<table>
<thead>
<tr>
<th>Compare SOCIS Factor Ratings</th>
<th>National Weighted Average(s) (Kutash et al. 2011)</th>
<th>Indiana Local SOCs Mean (61 counties)</th>
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<tbody>
<tr>
<td>Values &amp; Principles</td>
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<td>3.81</td>
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<td>Individualized, Comprehensive, &amp; Culturally Competent Treatment</td>
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<td>Family Voice &amp; Choice</td>
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<td>Implementation Plan</td>
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Regional Reports

SOCIS Respondent Roles: Region 11
- Family, Youth, Advocate: 20%
- Mental Health Provider: 29%
- Educator: 18%
- Juvenile Justice: 12%
- Child Welfare: 12%
- Other Child Services: 3%
- Others: 6%

SOCIS Factor Mean Ratings: Region 11 (n=34)

<table>
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<th>Factor</th>
<th>Rating</th>
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<tr>
<td>Access</td>
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<td>Leadership</td>
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<td>Finance Plan</td>
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<td>Governance</td>
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<td>Gen Performance</td>
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</table>
County Example, n = 15

Mean SOCIS Factor Ratings by County and Local Communities Statewide

- Family Voice
- Culturally Competent
- Access
- Leadership
- Theory of Change
- Implementation Plan
- Population of Concern
- Collaboration
- Values
- Financial Plan
- Skilled Providers
- Performance Measures
- Accountability
- Management
- System Performance
17 regional meetings

July – November 2014

Regional and County level
SOCIS results

• Provided framework to better understand SOC vs. services

• Used information to help develop local SOC objectives
References


For additional information, contact:

Betty A. Walton, PhD, LCSW
Indiana University School of Social Work
Indiana Family & Social Service Administration, Division of Mental Health & Addiction
402 W. Washington Street, Indianapolis, IN 46204
beawalto@iupui.edu; Betty.Walton@fssa.IN.gov

Pinkie Evans, MBA, MSW
Indiana University School of Social Work
902 W. New York Street
Indianapolis, IN 46202
pievans@iupui.edu