

**Pembrolizumab in Combination with Ipilimumab as Second-Line or Later Therapy for Advanced Non–Small-Cell Lung Cancer: KEYNOTE-021 Cohorts D and H**

Running title: Pembrolizumab Plus Ipilimumab as Second-Line Therapy for Advanced NSCLC

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### Highlights

- KEYNOTE-021 evaluated pembrolizumab plus ipilimumab in second-line advanced NSCLC
- Antitumor activity was observed with pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg
- Efficacy in these heavily pretreated patients was similar to historical controls
- This combination dosed every 3 weeks was associated with meaningful toxicity

### Abstract

**Objectives:** Combination immunotherapy may result in improved antitumor activity compared with single-agent treatment. We report results from dose-finding and dose-expansion cohorts of the phase 1/2 KEYNOTE-021 study that evaluated combination therapy with anti-programmed death 1 (PD-1) antibody pembrolizumab plus anti-cytotoxic T-lymphocyte antigen-4 (CTLA-4) antibody ipilimumab in patients with previously treated advanced non-small-cell lung cancer (NSCLC).

**Materials and Methods:** Eligibility criteria stipulated histologically/cytologically confirmed advanced NSCLC and treatment failure on  $\geq 1$  prior systemic therapy (platinum-based chemotherapy or targeted therapy for patients with *EGFR/ALK* aberrations). In the dose-finding cohort, patients initially received pembrolizumab 10 mg/kg plus ipilimumab 1 or 3 mg/kg once every 3 weeks for 4 cycles followed by pembrolizumab 10 mg/kg monotherapy for up to 2 years. Based on emerging published data, subsequent patients received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg. Objective response rate (ORR; primary efficacy endpoint) was assessed per RECIST version 1.1 by blinded, independent central review. Phase 2 hypothesis that ORR would be greater than the 20% rate for historical controls was evaluated using the exact binomial test.

**Results:** Fifty-one patients were enrolled; 71% received  $\geq 2$  prior lines of therapy. No dose-limiting toxicities occurred at any dose level. Among patients who received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg (n=44), ORR was 30% (95% CI, 17%–45%), but not statistically significantly  $>20\%$  ( $P=0.0858$ ). Median progression-free survival in this group was 4.1 (95% CI, 1.4–5.8) months; median overall survival was 10.9 (95% CI, 6.1–23.7) months. With pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg, incidences of treatment-related adverse events, grade 3–5 treatment-related adverse events, and immune-mediated adverse events and infusion reactions were 64%, 29% and 42%, respectively.

**Conclusions:** In patients with heavily pretreated advanced NSCLC, pembrolizumab plus ipilimumab showed evidence of antitumor activity, but was associated with meaningful toxicity.

**Keywords:** Drug Therapy, Combination; Carcinoma, Non-Small-Cell Lung; pembrolizumab; ipilimumab

Pembrolizumab Plus Ipilimumab as Second-Line Therapy for Advanced NSCLC

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## 1. Introduction

Immunotherapy with checkpoint inhibitors has transformed the treatment of advanced non-small-cell lung cancer (NSCLC) [1]. Immune checkpoint inhibitors have been shown to improve outcomes, including overall survival (OS), compared with chemotherapy in both the first- [2] and second-line [3-7] settings when administered as monotherapy.

The humanized monoclonal antibody pembrolizumab blocks the interaction between programmed death 1 (PD-1) and its ligands programmed death ligand 1 and 2 (PD-L1, PD-L2) [8]. Binding of PD-1 on T cells by PD-L1 on tumor cells suppresses T cell effector functions; treatment with pembrolizumab results in reactivation of the antitumor immune response, as evidenced by an increase in intratumoral CD8-positive T cells [9]. In the phase 2/3 KEYNOTE-010 study, pembrolizumab significantly improved OS and objective response rate (ORR) compared with docetaxel in patients with previously treated advanced NSCLC with PD-L1 expression on  $\geq 1\%$  of tumor cells (tumor proportion score [TPS]  $\geq 1\%$ ) [5]. Compared with standard platinum-based chemotherapy, pembrolizumab monotherapy improved progression-free survival (PFS) and OS in patients with previously untreated NSCLC of any histology with PD-L1 TPS  $\geq 50\%$  in the KEYNOTE-024 study [2] and improved OS in patients with PD-L1 TPS  $\geq 1\%$  in the KEYNOTE-042 study [10]. In cohort G of the phase 2 KEYNOTE-021 study, pembrolizumab plus pemetrexed and carboplatin improved ORR and PFS compared with pemetrexed and carboplatin alone in patients with nonsquamous NSCLC, independent of PD-L1 status [11]. Recently, in the phase 3 KEYNOTE-189 study, pembrolizumab plus pemetrexed-platinum chemotherapy improved OS and PFS compared to placebo plus pemetrexed-platinum in patients with previously untreated metastatic nonsquamous NSCLC [12]. Similarly, in the phase 3 KEYNOTE-407 study, pembrolizumab plus carboplatin-paclitaxel/nab-paclitaxel improved OS and PFS compared with

placebo plus carboplatin-paclitaxel/nab-paclitaxel in patients with previously untreated metastatic squamous NSCLC [13].

Ipilimumab is a humanized monoclonal antibody against cytotoxic T-lymphocyte antigen-4 (CTLA-4), a molecule expressed on the surface of T cells that negatively regulates interleukin-2 production as well as T-cell proliferation and survival [14]. Treatment with ipilimumab has been associated with clonal expansion of CD8-positive T cells [15]. Ipilimumab has demonstrated activity in the treatment of melanoma [16,17], and as first-line therapy in advanced NSCLC when combined with anti-PD-1 therapy [18,19]. Notably, although results from a phase 2 study suggested a benefit with ipilimumab when used in a phased regimen with carboplatin-paclitaxel [20], no benefit was observed in a phase 3 trial evaluating this regimen [21].

Combining immunotherapies such as anti-PD-1 and anti-CTLA-4 agents, which target complementary pathways in the cancer-immunity cycle, might result in additive or synergistic antitumor activity compared with single-agent therapy [22,23]. In support of this hypothesis, the combination of nivolumab and ipilimumab has been shown to improve survival compared with ipilimumab alone in patients with previously untreated advanced NSCLC (only PFS data reported to date) [19] and melanoma albeit with an increased risk of grade 3 or 4 toxicity [24,25]. KEYNOTE-021 (ClinicalTrials.gov, NCT02039674) is a multicohort phase 1/2 study evaluating pembrolizumab-based combination therapies in patients with NSCLC. We report results for cohorts D (dose-finding cohort) and H (dose-expansion cohort), which evaluated pembrolizumab plus ipilimumab as second-line therapy for patients with advanced NSCLC. The primary objectives of these cohorts were to identify a recommended phase 2 dose for pembrolizumab in combination with ipilimumab and to evaluate antitumor activity of the combination.

## 2. Methods

### 2.1. Eligibility

The study populations for cohorts D and H of KEYNOTE-021 consisted of adults with histologically or cytologically confirmed stage IIIB/IV NSCLC (any histology) who had previously received  $\geq 1$  platinum-based chemotherapy or an appropriate targeted therapy and had experienced treatment failure; multiple prior lines of treatment were permitted. Eligibility criteria also stipulated that patients have  $\geq 1$  measurable lesion per Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 [26], Eastern Cooperative Oncology Group (ECOG) performance status of 0 to 1, life expectancy  $\geq 3$  months, adequate organ function, and provision of a tumor biopsy for assessment of PD-L1 expression. Key exclusion criteria included any previous treatment targeting immunoregulatory receptors or mechanisms (eg, PD-1, PD-L1, and CTLA-4), receipt of  $>30$  Gy of radiation to the lungs in the previous 6 months, active autoimmune disease requiring systemic treatment (ie, disease-modifying agents, corticosteroids, immunosuppressive drugs) in the previous 2 years, active interstitial lung disease or history of pneumonitis requiring intravenous glucocorticoids, and untreated brain metastases (stable, treated metastases allowed).

Patients provided written informed consent. The study protocol was approved by an institutional review board at each site (protocol number MK-3475-021-03). The study was conducted in accordance with Good Clinical Practice guidelines and the Declaration of Helsinki.

### 2.2. Study Design

In both cohorts, patients received four 3-week cycles of pembrolizumab plus ipilimumab, with ipilimumab administered  $\geq 30$  minutes after pembrolizumab infusion, followed by pembrolizumab monotherapy every three weeks (Q3W) for up to 2 years. Cohort D was a dose-finding cohort with

a 3+3 design in which dose-limiting toxicities (DLTs) during the first 3-week treatment cycle were evaluated in order to determine the recommended phase 2 dose of pembrolizumab in combination with ipilimumab. A DLT was defined as a treatment-related grade 3 nonhematologic, nonlaboratory toxicity lasting >3 days despite optimal supportive care; grade 4 nonhematologic, nonlaboratory toxicity; grade 3/4 nonhematologic laboratory value persisting for >1 week or requiring medical intervention or hospitalization; grade 4 hematologic toxicity lasting  $\geq 7$  days; grade 3/4 febrile neutropenia; thrombocytopenia  $< 25,000/\text{mm}^3$  requiring elective platelet transfusion, urgent intervention, or intensive care unit admission; delay in initiating cycle 2 for >2 weeks due to treatment-related toxicity; or a grade 5 event.

The first 3 patients enrolled in cohort D received pembrolizumab 10 mg/kg plus ipilimumab 1 mg/kg. If  $\leq 1$  DLT occurred during cycle 1, 3 additional patients were to be enrolled at that dose level. If no DLTs occurred, the dose level was escalated and 3 patients were to be enrolled at a dose level of pembrolizumab 10 mg/kg plus ipilimumab 3 mg/kg. If  $\leq 1$  DLT occurred during cycle 1 at this dose level, 3 additional patients were to be enrolled.

Although no DLTs occurred among the first 6 patients enrolled in cohort D, safety concerns external to this trial (including treatment-related deaths) were noted in a phase 1 study evaluating nivolumab plus ipilimumab in patients with advanced NSCLC [18,27]. This led to a protocol amendment stipulating that all subsequent patients enrolled in cohort D receive pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg. If  $\leq 1$  DLT occurred during cycle 1 at this lower dose, 3 additional patients were to be enrolled. If  $\leq 1$  of the first 6 patients treated with pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg had DLTs, the dose was to be considered the recommended phase 2 dose and

6 additional patients would be enrolled to receive this dose. All patients in cohort H (dose expansion) received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg.

Study treatment continued until confirmed disease progression by RECIST version 1.1, intolerable toxicity, investigator decision, or withdrawal of consent. Clinically stable patients with radiologic evidence of disease progression could continue treatment until progression was confirmed  $\geq 4$  weeks later. Treatment with pembrolizumab was withheld in the event of severe or life-threatening treatment-related toxicities. Ipilimumab dosing could be modified as clinically indicated, in accordance with the ipilimumab prescribing information.

The primary objective in part 1 (cohort D) was determination of a recommended phase 2 dose; evaluation of antitumor activity per RECIST version 1.1 was a secondary objective. The primary objective in part 2 (cohort H) was evaluation of antitumor activity of the combination as assessed by the ORR per RECIST version 1.1; evaluation of PFS, OS, and duration of response were secondary objectives.

### **2.3. Assessments**

Radiographic imaging was performed at baseline, every 6 weeks for the first 18 weeks, every 9 weeks during the remainder of the first year, and every 12 weeks during the second year. Response was assessed per RECIST version 1.1 by blinded, independent central review. Adverse events (AEs) and laboratory abnormalities were monitored during the study and graded per National Cancer Institute Common Terminology Criteria for Adverse Events (NCI CTCAE) version 4.0. At baseline, formalin-fixed tumor samples were evaluated for PD-L1 expression from core needle biopsies, excisional biopsies, or resected tissue collected at the time of diagnosis of metastatic

disease. Assessment was performed at a central laboratory using the PD-L1 IHC 22C3 pharmDx assay (Agilent Technologies, Carpinteria, CA). PD-L1 expression was reported as TPS.

#### **2.4. Statistical Analysis**

Analyses included all patients who received study treatment. Results from cohorts D and H for patients who received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg were combined for analysis, as prespecified in the protocol. The difference between the observed ORR and the historical control rate of 20% was evaluated using a one-sided exact binomial test. With 44 patients, the study had approximately 90% power to detect a 20% difference between the observed and historical ORR at  $\alpha=0.05$ . PFS, OS, and duration of response were estimated using the Kaplan-Meier method. PFS was defined as the time from start of treatment to the earlier of disease progression or death; OS was determined based on the time from start of treatment to death; and duration of response was defined as the time from the first documented evidence of objective response to the earlier of disease progression or death.

### 3. Results

#### 3.1. Patients

Fifty-one patients from 11 sites in the United States were enrolled in cohorts D and H. This included 18 patients in cohort D enrolled between February 27, 2014 and January 29, 2015 who received pembrolizumab 10 mg/kg plus ipilimumab 1 mg/kg (n=3), pembrolizumab 10 mg/kg plus ipilimumab 3 mg/kg (n=3), or pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg (n=12). The remaining 33 patients were enrolled in cohort H between April 6, 2015 and December 14, 2015 and received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg. Most patients had ECOG performance status of 1, 22% had an *EGFR* mutation, and 29% had brain metastases. The majority of patients (71%) had received  $\geq 2$  lines of prior therapy, and 33% had received  $\geq 3$  prior therapies (**Table 1**). One patient in the pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg group had an original diagnosis of NSCLC that was later discovered to be metastatic melanoma; this patient was excluded from efficacy analyses but included in safety analyses. As of the cutoff date (November 7, 2016), 1 of 6 patients who received pembrolizumab 10 mg/kg plus ipilimumab 1 mg/kg completed 2 years of treatment; the remaining 5 patients had discontinued (progressive disease, n=4; physician decision, n=1). For these 6 patients, median follow-up duration was 20.8 (range, 6.5–31.6) months, and median number of cycles of pembrolizumab was 9.5 (range, 3–35). Among patients who received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg (n=45), 1 patient completed treatment, 2 were ongoing, and 42 had discontinued (disease progression, n=22; AE, n=10; clinical progression, n=8; withdrawal of consent, n=2). Median follow-up duration for these patients was 11.3 (range, 0.3–26.9) months, and they received a median of 4 (range, 1–35) cycles of pembrolizumab.

### 3.2. *Dose-Limiting Toxicities*

No DLTs occurred among patients in either of the 2 pembrolizumab 10 mg/kg ipilimumab dose groups. Similarly, no DLTs occurred among the 12 patients who were enrolled after the protocol amendment and received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg. Therefore, the requirements for dose expansion were met.

### 3.3. *Efficacy*

Among the 6 patients who received pembrolizumab 10 mg/kg, 3 had partial responses, yielding an ORR of 50% (**Table 2**). Median time to response was 1.4 (range, 1.4–20.7) months, and median duration of response had not been reached (range, 8.3 [ongoing] to 28.8 [ongoing]). At the time of analysis, 3 patients had died.

Among patients who received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg and were included in the efficacy analyses (n=44), 13 had an objective response (1 complete response, 12 partial responses), and 11 had stable disease as their best response. The ORR was 30% (95% CI, 17%–45%), which was not significantly greater than the 20% threshold specified in the primary hypothesis ( $P=0.0858$ ). The disease control rate (complete response + partial response + stable disease) was 55%. Among patients with a response, median (range) time to response was 2.7 (1.3–6.2) months, and median duration of response was 10.5 (2.5 [ongoing] to 21.0) months. ORR was 25% (5/20) in patients with PD-L1 TPS <1%, 39% (7/18) among patients with TPS 1% to 49%, and 17% (1/6) in patients with TPS  $\geq$ 50%. Of 10 patients with *EGFR* mutations, 1 had an objective response (ORR, 10%). ORRs were 38% (5/13) in patients with <2 lines of prior therapy and 26% (8/31) in those with  $\geq$ 2 lines of prior therapy. Best response change from baseline in the sum of longest diameters of target lesions is shown in **Figure 1**.

At the time of analysis, 39 patients had died or experienced disease progression; median PFS was 4.1 (95% CI, 1.4–5.8) months, and the 6-month PFS rate was 32% (95% CI, 19%–46%; **Figure 2A**). Twenty-six patients had died; median OS was 10.9 (95% CI, 6.1–23.7) months with a 6-month OS rate of 67% (95% CI, 51%–79%; **Figure 2B**).

### 3.4. Toxicity

Among the 6 patients who received pembrolizumab 10 mg/kg, 1 patient who received pembrolizumab 10 mg/kg plus ipilimumab 1 mg/kg had a treatment-related grade 3 reduction in lymphocyte count; there were no other grade 3–5 treatment-related AEs among these patients (**Table 3**). Immune-mediated AEs and infusion reactions (regardless of attribution to study treatment or immune relatedness by the investigator) occurred in 2 patients: one patient had grade 2 hypothyroidism, and a second patient had grade 1 pneumonitis and uveitis (grade 2).

Among the 45 patients who received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg, 44 (98%) experienced  $\geq 1$  AE, irrespective of relationship to study treatment, with 22 (49%) having grade 3–5 AEs. Treatment-related AEs occurred in 29/45 patients (64%), with fatigue, hypothyroidism, decreased appetite, diarrhea, and pruritus each occurring in  $>10\%$  of patients (**Table 3**). Grade 3–5 treatment-related AEs occurred in 13/45 patients (29%), with colitis being the only event to occur in more than one patient (n=2; both grade 3). Three patients had grade 4 treatment-related AEs (pancytopenia, large-intestine perforation, and diabetic ketoacidosis), and there was 1 treatment-related death (pancreatitis occurring during cycle 23). Treatment-related AEs led to discontinuation of pembrolizumab and/or ipilimumab in 9 (20%) patients (pancytopenia, hyperthyroidism, hypothyroidism, colitis, large intestine perforation, pancreatitis, fatigue, alanine aminotransferase increased, aspartate aminotransferase increased, diabetic ketoacidosis, and

myasthenia gravis); no individual event resulted in treatment discontinuation in >1 patient. Immune-mediated AEs and infusion reactions occurred in 19 (42%) patients; hypothyroidism was the only immune-mediated event reported in >10% of patients (**Table 3**). Eight (18%) patients had grade 3–5 immune-mediated AEs and infusion reactions: grade 5 pancreatitis (n=1); grade 4 diabetic ketoacidosis (n=1); and grade 3 severe skin reactions (n=3), colitis (n=2), adrenal insufficiency (n=1), and pneumonitis (n=1).

#### 4. Discussion

In this phase 1/2 study evaluating pembrolizumab plus ipilimumab in patients with previously treated NSCLC, more than one-third of whom had received  $\geq 3$  lines of prior therapy, we found evidence of antitumor activity, but the ORR of 30% with pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg did not meet the prespecified endpoint of being significantly greater than 20% (ie, superiority over historical control;  $P=0.0858$ ). Although no DLTs occurred at any of the dose levels tested, the combination of pembrolizumab and ipilimumab was associated with meaningful toxicity in patients with previously treated advanced NSCLC .

Safety data external to the current study—including 3 treatment-related deaths among 49 patients in an interim analysis of several of the early dose cohorts in the CheckMate 012 study, which evaluated nivolumab plus ipilimumab in advanced NSCLC [18,27]—led to a decision to evaluate the efficacy of pembrolizumab plus ipilimumab at doses of pembrolizumab 2 mg/kg and ipilimumab 1 mg/kg. At this dose level, the combination of pembrolizumab plus ipilimumab was associated with meaningful toxicity that was greater than that observed with pembrolizumab monotherapy in previously treated advanced NSCLC [5]. In the current study, 20% discontinued treatment due to a treatment-related AE and 29% experienced 1 or more treatment-related grade 3–5 AEs, including 3 who experienced grade 4 events and 1 patient who died. In the KEYNOTE-010 study, which evaluated pembrolizumab monotherapy in previously treated advanced NSCLC, percentages of patients who discontinued due to treatment-related AEs (4% and 5% with pembrolizumab 2 mg/kg and 10 mg/kg, respectively) and percentages with treatment-related grade 3–5 AEs (13% and 16%, respectively) were comparatively lower [5]. Whereas, in the KEYNOTE-024 study, which evaluated pembrolizumab monotherapy in treatment-naive patients with advanced NSCLC and PD-L1 TPS  $\geq 50\%$ , the rate of treatment-related AEs was higher compared

to the current study (73% vs 64% in patients who received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg), but the rate of discontinuation from those events was lower (7% vs 20%) [2]. In addition, in patients with melanoma, nivolumab plus ipilimumab combination regimens have demonstrated increased rates of AEs [24,25], some of which can be difficult to diagnose and manage [28,29]. It is possible that the high rate of discontinuation in our study may be due to similar challenges with immune-mediated AEs, and that increased experience with such combination regimens may result in improved strategies for toxicity management. Additionally, ipilimumab was dosed Q3W in our study, a dosing schedule that was not found suitable for further clinical development in the CheckMate 012 study [18]. The nature of observed treatment-related AEs in the current study (fatigue, hypothyroidism, decreased appetite, diarrhea, and pruritus occurred most frequently) matched what would be anticipated given the immune mechanism of action of both agents, and the safety profile was generally consistent with that reported in studies evaluating nivolumab plus ipilimumab in advanced NSCLC [18,19] and melanoma [24,30].

Although the prespecified threshold for clinical improvement was not met, the ORR of 30% in this heavily pretreated group of patients, the majority of whom had received  $\geq 2$  lines of prior therapy, compares favorably with the 18% rate reported for pembrolizumab 2 mg/kg monotherapy in the KEYNOTE-010 study, which enrolled previously treated patients with PD-L1 TPS  $\geq 1\%$ , most of whom had received 1 prior line of therapy for advanced disease [5]. In addition, a higher proportion of patients had brain metastases and were positive for *EGFR* mutation at baseline in the current study compared with KEYNOTE-010 [5] and there were only 4 patients with squamous NSCLC enrolled, both of which could have potentially influenced the study outcome. PD-(L)1 inhibition has been shown to lead to similar or worse outcomes compared to chemotherapy in patients with *EGFR* mutations [31-33]. The limited number of patients with squamous histology in this study

precludes histology-based assessment of outcomes with this combination. In CheckMate 227, improvements in PFS were observed with the combination of nivolumab plus ipilimumab versus chemotherapy in treatment-naïve patients with TMB  $\geq 10$  mutations/megabase with either squamous (HR, 0.63; 95% CI, 0.39–1.04) or nonsquamous NSCLC (HR, 0.55; 95% CI; 0.38–0.80) [19]. However, these data are not conclusive, given that the 95% confidence intervals for the HR for squamous histology contained 1.

Notably, almost 50% of patients in the current study who were evaluable for efficacy at the pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg dose level had PD-L1 TPS  $< 1\%$ , which has previously been shown to be associated with lower ORR with pembrolizumab monotherapy in the KEYNOTE-001 study [34]. Although there was little evidence of an influence of PD-L1 expression on the ORR in the current study, interpretation of this finding is limited by the relatively small number of patients enrolled. Nonetheless, with median follow-up of 11.3 months, median PFS and OS were 4.1 and 10.9 months, respectively. These outcomes were similar to those reported with pembrolizumab 2 mg/kg monotherapy after a similar follow-up duration in previously treated patients with PD-L1–positive advanced NSCLC in the KEYNOTE-010 study (PFS, 3.9 months; OS, 10.4 months, respectively) [5].

Other studies have evaluated anti–PD-1/anti–PD-L1 plus anti–CTLA-4 combinations in patients with advanced NSCLC. Though unacceptable toxicity was observed in earlier cohorts in the open-label, phase 1, multicohort study CheckMate 012, which evaluated nivolumab plus ipilimumab in previously untreated advanced NSCLC, these cohorts received ipilimumab dosing Q3W. With the combination of nivolumab 3 mg/kg Q2W plus ipilimumab 1 mg/kg at dosing intervals of Q6W or Q12W, manageable toxicity was observed after a median follow-up of approximately 1 year [18].

When pooled across both dose schedules, ORR appeared to be greater with nivolumab plus ipilimumab than with nivolumab monotherapy (43% vs 23%); albeit this trial was not randomized across the combination and monotherapy cohorts. In contrast to findings from our study, there appeared to be an association between PD-L1 expression and ORR in CheckMate 012 (PD-L1 <1%, 18%; PD-L1  $\geq$ 1%, 57%), although it is noteworthy that the PD-L1 assay and antibody used to determine TPS were different than those used in our study [18].

More recently, the open-label, multipart, phase 3 CheckMate 227 trial compared outcomes in treatment-naïve patients with advanced NSCLC who received nivolumab 3 mg/kg Q2W plus ipilimumab 1 mg/kg Q6W compared with platinum doublet chemotherapy [19]. In part 1 of this study, the coprimary endpoint of median (95% CI) PFS among patients with high tumor mutational burden (TMB;  $\geq$ 10 mutations/megabase) was longer in those who received nivolumab plus ipilimumab (7.2 months [5.5–13.2]) versus chemotherapy (5.5 months [4.4–5.8]) with a hazard ratio (HR) of 0.58 (97.5% CI, 0.41–0.81;  $P$ <0.001). However, similar results were not observed with nivolumab monotherapy in patients with TMB  $\geq$ 13 mutations/megabase and PD-L1  $\geq$ 1% (HR for nivolumab monotherapy versus chemotherapy, 0.95; 97.5% CI, 0.61–1.48;  $P$ =0.78). Of note, TMB was not assessed among our patients. In the overall population, irrespective of TMB or PD-L1 expression, median PFS was 4.9 (95% CI, 4.1–5.6) months with nivolumab plus ipilimumab, which was similar to the results from our current study, versus 5.5 (95% CI, 4.6–5.6) months with chemotherapy [19].

In a phase 1b dose-escalation study in patients with previously untreated NSCLC, the combination of the anti-PD-L1 antibody durvalumab and the anti-CTLA-4 antibody tremelimumab had a manageable tolerability profile and was associated with antitumor activity [35]. The maximum

tolerated dose was durvalumab 15 mg/kg Q4W plus tremelimumab 3 mg/kg once Q4W administered for 6 weeks, followed by once Q12W. Notably, responses occurred irrespective of tumor PD-L1 expression. It has recently been reported that in the MYSTIC trial, which compares this combination with platinum-based chemotherapy in patients with previously untreated NSCLC [36], the coprimary endpoint of improved PFS in patients with PD-L1 TPS  $\geq 25\%$  was not met; evaluation of the coprimary endpoint of OS is ongoing [37].

In conclusion, the combination of pembrolizumab plus ipilimumab had antitumor activity in heavily pretreated patients but was associated with meaningful toxicity. At present, pembrolizumab 200 mg Q3W plus ipilimumab 1 mg/kg once Q6W is being evaluated in treatment-naive patients with advanced NSCLC with PD-L1 TPS  $\geq 50\%$  in the randomized, placebo-controlled phase 3 KEYNOTE-598 study (ClinicalTrials.gov, NCT03302234), which was designed based on the experience from our current study [38]. KEYNOTE-598 will evaluate a different dosing schedule (ipilimumab Q6W rather than Q3W) specifically in patients with PD-L1 TPS  $\geq 50\%$  [38]. Additionally, ongoing phase 3 trials are evaluating nivolumab plus ipilimumab [39] and durvalumab plus tremelimumab [40] in patients with previously untreated NSCLC. These studies will provide further information on the efficacy and safety of anti-PD-1/anti-PD-L1 plus anti-CTLA-4 therapy in patients with advanced NSCLC.

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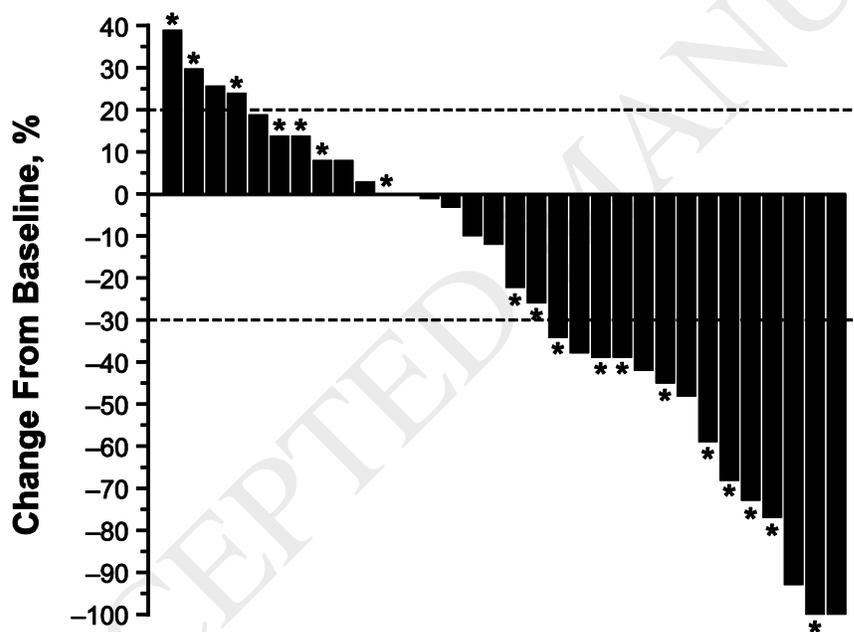
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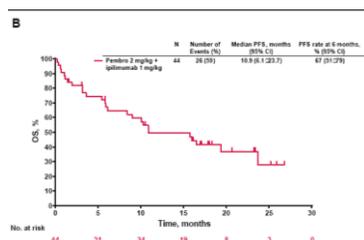
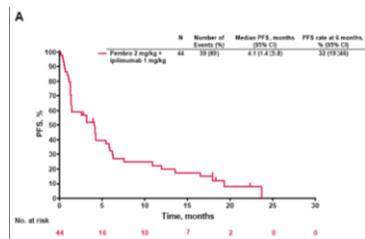
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**Figure Legends**

**Figure 1.** Change from baseline in the sum of longest diameters of target lesions in patients who received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg in cohorts D and H. One patient who had an original diagnosis of NSCLC but was later determined to have metastatic melanoma was excluded from efficacy analyses but included in safety analyses; 12 additional patients are excluded from this figure because of missing target lesion information. \*Patients with PD-L1 TPS  $\geq 1\%$ . PD-L1 = programmed death ligand 1; TPS = tumor proportion score.



**Figure 2.** Kaplan-Meier analysis of (A) progression-free survival and (B) overall survival among patients who received pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg.



**Table 1. Baseline Demographic and Disease Characteristics**

	<b>Pembrolizumab 10 mg/kg + ipilimumab (N = 6)<sup>a</sup></b>	<b>Pembrolizumab 2 mg/kg + ipilimumab 1 mg/kg (N = 45)<sup>b</sup></b>
Median (range) age, y	64 (54–75)	61 (28–79)
Sex, n (%)		
Male	3 (50)	23 (51)
Female	3 (50)	22 (49)
ECOG performance status, n (%)		
0	1 (17)	11 (24)
1	5 (83)	34 (76)
Tumor histology, n (%)		
Adenocarcinoma	4 (67)	37 (82)
Squamous	0	4 (9)
NSCLC not otherwise specified	2 (33)	4 (9)
Smoking status, n (%)		
Current or former smoker	4 (67)	34 (76)
Never smoker	2 (33)	10 (22)
Missing	0	1 (2)
Brain metastasis, n (%)	1 (17)	14 (31)
PD-L1 TPS, n (%)		
<1%	1 (17)	21 (47)
1%–49%	1 (17)	18 (40)
≥50%	4 (67)	6 (13)
Prior lines of systemic therapy, n (%)		
1	2 (33)	13 (29)
2	4 (67)	15 (33)
≥3	0	17 (38)
EGFR mutation status, n (%)		
Wild type	5 (83)	33 (73)
Mutant	1 (17)	10 (22)
Undetermined <sup>c</sup>	0	2 (4)

	<b>Pembrolizumab 10 mg/kg + ipilimumab (N = 6)<sup>a</sup></b>	<b>Pembrolizumab 2 mg/kg + ipilimumab 1 mg/kg (N = 45)<sup>b</sup></b>
<i>ALK</i> translocation status, n (%)		
Wild type	5 (83)	41 (91)
Mutant	0	1 (2)
Undetermined <sup>c</sup>	1 (17)	3 (7)

ECOG, Eastern Cooperative Oncology Group; NSCLC, non-small-cell lung cancer; PD-L1, programmed death ligand 1; TPS, tumor proportion score.

<sup>a</sup>Three patients received pembrolizumab 10 mg/kg plus ipilimumab 1 mg/kg, and 3 patients received pembrolizumab 10 mg/kg plus ipilimumab 3 mg/kg.

<sup>b</sup>One patient in the pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg group had an original diagnosis of NSCLC that was later discovered to be metastatic melanoma and is excluded from efficacy analyses but included in safety analyses.

<sup>c</sup>Determination of *EGFR* mutation or *ALK* translocation was not required in patients with squamous histology; determination of *ALK* status was not required for patients with *EGFR* mutations. Determination of *EGFR* status was not required for patients with known *KRAS* mutations.

**Table 2. Summary of Response to Treatment**

	<b>Pembrolizumab 10 mg/kg + ipilimumab (N = 6)<sup>a</sup></b>	<b>Pembrolizumab 2 mg/kg + ipilimumab 1 mg/kg (N = 44)<sup>b</sup></b>
Best overall response, n (%)		
Complete response	0	1 (2)
Partial response	3 (50)	12 (27)
Stable disease	2 (33)	11 (25)
Progressive disease	1 (17)	13 (30)
Not evaluable	0	7 (16)
Objective response rate, % (95% CI)	50 (12–88)	30 (17–45)
<i>P</i> value (for objective response rate >20%) <sup>c</sup>	–	0.0858
Objective response rate by PD-L1 TPS status, n/N (%) <sup>d</sup>		
PD-L1 TPS <1%	–	5/20 (25)
PD-L1 TPS 1%–49%	–	7/18 (39)
PD-L1 TPS ≥50%	–	1/6 (17)
Time to response, median (range), mo	1.4 (1.4–20.7)	2.7 (1.3–6.2)
Duration of response, median (range), mo	Not reached (8.3+ to 28.8+)	10.5 (2.5+ to 21.0)

PD-L1, programmed death ligand 1; TPS, tumor proportion score.

<sup>a</sup>Three patients received pembrolizumab 10 mg/kg plus ipilimumab 1 mg/kg, and 3 patients received pembrolizumab 10 mg/kg plus ipilimumab 3 mg/kg.

<sup>b</sup>One patient in the pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg group had an original diagnosis of NSCLC that was later discovered to be metastatic melanoma and is excluded from efficacy analyses but included in safety analyses.

<sup>c</sup>One-sided *P* value based on exact binomial distribution for testing the null hypothesis that the objective response rate was ≤20% vs the alternative hypothesis that objective response rate was >20%.

<sup>d</sup>The small number of patients in the pembrolizumab 10 mg/kg + ipilimumab group precludes meaningful analysis of ORR data by PD-L1 TPS status.

**Table 3. Incidence of Adverse Events**

	<b>Pembrolizumab 10 mg/kg + ipilimumab (N = 6)<sup>a</sup></b>		<b>Pembrolizumab 2 mg/kg + ipilimumab 1 mg/kg (N = 45)<sup>b</sup></b>	
Treatment-related AEs, n (%)				
Any grade	6 (100)		29 (64)	
Grade 3–5 AE	1 (17)		13 (29)	
Led to discontinuation	1 (17)		9 (20)	
Led to death	0		1 (2)	
Treatment-related AEs occurring in ≥3 patients in the pembrolizumab 2 mg/kg + ipilimumab 1 mg/kg group, n (%)	Any grade	Grade 3–5	Any grade	Grade 3–5
Fatigue	3 (50)	0	12 (27)	1 (2)
Hypothyroidism	1 (17)	0	10 (22)	0
Decreased appetite	2 (33)	0	5 (11)	0
Diarrhea	2 (33)	0	5 (11)	1 (2)
Pruritus	1 (17)	0	5 (11)	0
Arthralgia	1 (17)	0	3 (7)	0
Myalgia	1 (17)	0	3 (7)	0
Rash	1 (17)	0	3 (7)	0
Headache	0	0	3 (7)	0
Nausea	0	0	3 (7)	0
Mucosal inflammation	0	0	3 (7)	0
Pneumonitis	0	0	3 (7)	1 (2)
Thyroiditis	0	0	3 (7)	0
Immune mediated AEs and infusion reactions, n (%)	Any grade	Grade 3–5	Any grade	Grade 3–5
Hypothyroidism	1 (17)	0	11 (24)	0
Pneumonitis	1 (17)	0	3 (7)	1 (2)
Uveitis	1 (17)	0	0	0
Thyroiditis	0	0	4 (9)	0
Severe skin reactions	0	0	3 (7)	3 (7)
Colitis	0	0	2 (4)	2 (4)
Hyperthyroidism	0	0	2 (4)	0

	<b>Pembrolizumab 10 mg/kg + ipilimumab (N = 6)<sup>a</sup></b>		<b>Pembrolizumab 2 mg/kg + ipilimumab 1 mg/kg (N = 45)<sup>b</sup></b>	
Adrenal insufficiency	0	0	1 (2)	1 (2)
Myasthenic syndrome	0	0	1 (2)	0
Pancreatitis	0	0	1 (2)	1 (2)
Type 1 diabetes mellitus	0	0	1 (2)	1 (2)

AE, adverse event.

<sup>a</sup>Three patients received pembrolizumab 10 mg/kg plus ipilimumab 1 mg/kg, and 3 patients received pembrolizumab 10 mg/kg plus ipilimumab 3 mg/kg.

<sup>b</sup>One patient in the pembrolizumab 2 mg/kg plus ipilimumab 1 mg/kg group had an original diagnosis of NSCLC that was later discovered to be metastatic melanoma and is excluded from efficacy analyses but included in safety analyses.