The IUPUI OA policy -- three years and 9,000 articles later:

What's working and what's not

COAPI Meeting, June 24, 2018
New Orleans, LA

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About the IUPUI OA Policy

• Faculty Council adopted (Oct. 7, 2014)
• Harvard model (rights retained, opt out)
• Publisher embargoes observed unless the author chooses to ignore them
• Mediated deposit (supplemented with some direct deposit)
• ~2 FTE in library labor
IUPUI OA Policy Implementation Timeline

Spring 2013
Faculty council subcommittee drafted policy

Oct. 7, 2014
Faculty council adopted (unanimous vote)

Jan, 2015
Policy site launched & all faculty notified

Spring, 2015
Mediated deposit workflow

Fall, 2015
Notification system designed

Jan, 2016
Notification system launched

https://openaccess.iupui.edu/

IUPUI Open Access Policy

The IUPUI Faculty Council adopted an open access policy on October 7th, 2014. This policy reinforces IUPUI’s commitment to disseminating the fruits of research and scholarship as widely as possible. Open access policies increase author rights, leadership and citation rates for scholarly articles. The optimal provision ensures that all faculty authors have the freedom to publish in the journal of their choice.

https://openaccess.iupui.edu/
“Unique” Factors of the IUPUI OA Policy

- Policy adoption initiated and led by library (librarians have faculty status)
- Advocacy focused on Faculty Council members
- Just-in-time implementation approach
- NIH Public Access Policy integration
- Emphasis on open access at the article-level

IUPUI Open Access Policy: Annual Report for 2017
http://hdl.handle.net/1805/16208
What’s “Working” for IUPUI

- COAPI: the norming power of a crowd
- Retrieving manuscripts (61% of all deposits) from PMC and other sources
- Item-level notification
  - Individualized attention to faculty concerns
- Mediated deposit: authors prefer emailing attachments (88%) over uploading them
- “Assessment”: Tracking and reporting at item-level
- Annual Reports
- Attitudinal change in the library
- Growth for the IR service
- 20 hours of student labor!

![Usage of Open Access Policy Works](chart.png)

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<th>2014</th>
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<th>2016</th>
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<tr>
<td>Downloads</td>
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<td>Abstract Views</td>
<td>552</td>
<td>9,817</td>
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<td>146,209</td>
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What’s not “Working” for IUPUI – Part 1

[#,-] author self-deposit

[#,x] school-level or departmental-level outreach
   * positive feedback; few deposits
   * complaints by inactive authors

[#] promoting the policy with “authors’ rights” or “the common good”
   * positive feedback; few deposits

[#,-] subject librarians
   * authors more likely to respond, but ...
   * custom-searching for articles, but ...

Key
# = hasn’t resulted in participation
x = we’re not working on it, for now
- = limitation of our approach
What’s not “Working” for IUPUI – Part 2

[x] rich metadata (e.g., ORCID in the IR)
[x] closing the feedback loop
  * need confirmation of deposit to authors
  * annual reports to department chairs or deans
  * usage reports to authors
[x] collecting qualitative assessment data
[x] integration with other public access policies & data requirements
[-] winning the struggle for hearts and minds

Key
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Suggested Resource:


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