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## Traumatic Brain Injury Practice-Based Evidence Study: Design and Patients, Centers, Treatments, and Outcomes

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### Abstract

**Objective**—To describe study design, patients, centers, treatments, and outcomes of a traumatic brain injury (TBI) practice-based evidence (PBE) study and to evaluate the generalizability of the findings to the US TBI inpatient rehabilitation population.

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- International Brain Injury Association (IBIA) Eighth World Congress in March 2010 in Washington, DC; March 2014 in San Francisco, CA.
- Annual Canadian Association of Physical Medicine and Rehabilitation meetings in May 2010 in Winnipeg, Manitoba.
- Federal TBI Interagency Conference in June 2011 in Washington, DC.
- Annual American Academy of Physical Medicine and Rehabilitation meetings in November 2012 in Atlanta, GA.

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**Design**—Prospective, longitudinal observational study

**Setting**—10 inpatient rehabilitation centers (9 US, 1 Canada)

**Participants**—Patients (n=2130) enrolled between October 2008 and Sept 2011, and admitted for inpatient rehabilitation after an index TBI injury

**Interventions**—Not applicable

**Main Outcome Measures**—Return to acute care during rehabilitation, rehabilitation length of stay, Functional Independence Measure (FIM) at discharge, residence at discharge, and 9 months post-discharge rehospitalization, FIM, participation, and subjective wellbeing.

**Results**—Level of admission FIM Cognitive score was found to create relatively homogeneous subgroups for subsequent analysis of best treatment combinations. There were significant differences in patient and injury characteristics, treatments, rehabilitation course, and outcomes by admission FIM Cognitive subgroups. TBI-PBE study patients overall were similar to US national TBI inpatient rehabilitation populations.

**Conclusions**—This TBI-PBE study succeeded in capturing naturally occurring variation within patients and treatments, offering opportunities to study best treatments for specific patient deficits. Subsequent papers in this issue report differences between patients and treatments and associations with outcomes in greater detail.

## Keywords

brain injuries; comparative effectiveness research; rehabilitation

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Traumatic brain injury (TBI) inpatient rehabilitation has been studied largely as an undifferentiated “black box”, with comparisons being made between patients who received rehabilitation and those who did not, between those who received it early versus late, or between those who received intensive treatment and those whose program was less intense.<sup>1–6</sup> However, Chestnut et al. observed that knowing time spent without knowing what impairments were being treated or what methods of treatment were used may be too blunt an instrument to identify important sources of variance in rehabilitation outcomes.<sup>7</sup> This assumption is supported by results of a stroke rehabilitation comparative effectiveness study: average time spent in physical therapy (PT) and occupational therapy (OT) per day did not increase percent of variance explained in outcomes, but average time spent in specific PT and OT activities per day did.<sup>8</sup>

High reviewed effectiveness studies of acute rehabilitation following TBI that described (1) gains made during rehabilitation, (2) effects of early intervention, and (3) effects of intensity of rehabilitation efforts.<sup>9</sup> His conclusions were consistent with those of an NIH Consensus Conference and the Chestnut et al. evidence-based review: persons with TBI unequivocally make functional gains during inpatient rehabilitation—including gains in ambulation, independence, and cognition.<sup>7,9,10</sup> However, it was less clear how much these gains can be attributed to specific rehabilitation therapies and interventions and how much should be attributed to age, natural recovery as modified by brain injury severity, and patient pre-injury characteristics. Also, there was insufficient evidence to inform what the timing of

interventions should be, what type and intensity of interventions are most appropriate, and for whom specific interventions are most effective.

Inpatient TBI rehabilitation practice remains highly variable, which, in part, reflects lack of empirical evidence of how the complex interweaving of rehabilitation treatments from different professionals, in conjunction with patient prognostic factors (e.g., comorbidities, injury severity), influences recovery. Understanding what treatment factors and processes lead to better outcomes, and for which patient subgroups, would allow development of more effective TBI rehabilitation. However, the information required to gain this understanding is very complex and requires capturing detailed information regarding injury type and severity, the types, timing, and amounts of interventions received, and how these factors affect outcomes across diverse types of patients. A necessary first step in deciphering the content of the “black box” is to develop a comprehensive index of patient prognostic factors that allows for standardized assessment of patient differences in illness and injury severity following TBI. Second, a standard taxonomy of TBI inpatient rehabilitation treatments for each discipline would allow researchers to capture reliably the targets of treatments, the types, intensities, and durations of rehabilitation activities performed, as well as other treatment process factors. We can then identify variance in outcomes, along with those patient and treatment factors that are associated with that variance. The evidence gleaned may be used to inform delivery of future treatment by patient characteristics, design of randomized controlled trials, guide clinical pathways development, or stimulate development of new and innovative treatment approaches.

It is likely that an interaction of interventions and patient factors influences outcomes—that is, what is optimal treatment for one patient subgroup may have no or very limited impact on another group with different needs or abilities to benefit. In rehabilitation, multiple interventions are provided daily by professionals from varied disciplines, backgrounds, and experiences, and nested within rehabilitation facilities with varied customs, cultures, and physical environments. Relatively small effects of a single intervention may be magnified when used in combination with other interventions.<sup>11</sup> Interventions that seem effective when studied in isolation may be antagonistic when provided together. In current TBI rehabilitation practice, the large variation in treatments delivered and outcomes produced, between as well as within facilities, affords an opportunity to compare the relative effectiveness of combinations and intensities of interventions among patients with TBI.

Practice-Based Evidence (PBE) study methodology provides an efficient, comprehensive means of implementing comparative effectiveness research.<sup>11</sup> The 5-year TBI rehabilitation project described in this paper and in other articles in this supplement used PBE research methodology to isolate specific components of rehabilitation treatments, as has been done in previous PBE rehabilitation inpatient treatment studies.<sup>8,12–14</sup> The specific aims of the TBI-PBE project were to: (1) identify individual patient characteristics, including demographic data, severity of brain injury, and severity of illness (complications and comorbidities), that may be associated with significant variation in treatments selected and in outcomes of acute rehabilitation for TBI, (2) identify medical procedures and therapy interventions, alone or in combination, that are associated with better outcomes, controlling for patient characteristics,

and (3) determine whether specific treatment interactions with age, severity/impairment, or time are associated with better outcomes.

In this introductory paper, we first provide an overview of the study design, centers, and methods. Second, we briefly describe the primary measures and variables used to describe patients who sustained TBI, with an emphasis on stratification by admission Functional Independence Measure (FIM) Cognitive Scale Score groupings, and the results in our sample. Third, we provide an overview of the point of care forms (POC) incorporating our treatment taxonomy used to capture information on treatments and the most common treatments used by each discipline. Fourth, we describe inpatient rehabilitation outcomes for our sample. Lastly, for the purposes of evaluating generalizability, we compare the project's US subsample to the US rehabilitation population of persons with TBI.

## METHODS

### Study Design

The TBI-PBE project was led by the first and second author, with local Co-investigators in the 10 participating centers listed in table 1. The process used was as follows:

1. A multi-center, trans-disciplinary Clinical Project Team was established that was comprised of Co-Investigators (medical director or lead researcher) and leads from each discipline (Rehabilitation Medicine, Nursing, PT, OT, Speech Language Pathology (SLP), Therapeutic Recreation, Social Work, and Neuropsychology) at 9 TBI rehabilitation centers in the US and 1 in Canada. Persons who had sustained a TBI several years prior and family members of persons with TBI were also part of this team. The Clinical Project Team (a) identified and defined all study variables including outcomes of interest, (b) proposed hypotheses for testing, (c) provided leadership and guidance through all phases of data collection and analysis, and (d) contributed to reporting and drawing conclusions. They fostered trans-disciplinary communication and training across traditional scientific and clinical boundaries.
2. Front-line clinicians developed a TBI Auxiliary Data Module (ADM) to capture detailed patient, process, and outcome data that are found in the patient's medical record. Many ADM variables had date and time fields so that they could be associated with other variables in time sequence. Examples of variables included in the ADM are demographic data, past medical history, injuries, injury severity, medical comorbidities and complications, rehabilitation interruptions, laboratory findings, vital signs, weight, height, use of restraints, weight bearing restrictions, presence of tracheostomy and gastrostomy tubes, and tube feeding information. Longitudinal data on rehabilitation progress and barriers were collected, including routinely measured functional independence, agitation, sleep, pain, and level of treatment engagement. To take into account each patient's comorbidities and severity of illness, we used the Comprehensive Severity Index (CSI<sup>®</sup>) as the primary severity adjustment measure.<sup>15-21</sup>
3. Data abstractors at each center were trained to collect ADM data using a web-based software system. These staff attended a 4-day training that included both didactic

and practice sessions. After training, we used weekly conference calls of all abstracters to address such issues as how to handle certain chart wording. Chart review occurred after patient discharge and took approximately 4 hours per subject. Reliability monitoring was conducted for abstracters after their first 4 charts were completed and again after 25 charts. Subsequently, reliability testing occurred periodically throughout the years when data were being collected. Charts were selected randomly from completed cases and re-abstracted by a reliability team member. A 95% agreement rate between the abstracter and reliability staff was required for each reliability test. Re-training was performed as needed if the data abstractor did not attain 95% agreement.

4. Using weekly conference calls, lead therapists of various disciplines from participating centers engaged in an iterative process to (a) identify and define individual components of each discipline's care process, (b) create discipline-specific documentation tools to document care processes not detailed in the medical record in order to quantify the delivery of those components (called POC documentation tools used for each therapy session), and (c) incorporate POC documentation into routine facility practices (See Appendix 1 containing POC tools). Clinicians created the POC tools based on their theoretical understanding, research evidence to date, existing guidelines, and their clinical experience. POC forms allowed recording of time spent on specific functional activities (e.g., sitting, transfers, sit-to-stand, pre-gait, gait, advanced gait, community mobility, etc. in PT).<sup>22</sup>
5. The Lead Therapist in each participating discipline at each center underwent extensive training using POC training materials established by the project team. Train-the-trainer sessions were held for Lead Therapists who conducted subsequent discipline-specific training programs for their colleagues to teach them how to use the POC documentation. In total, over 950 therapists were trained. During the 30 months of data collection, weekly discipline-specific conference calls of the Lead Therapists were held to address questions concerning documentation and ensure consistent POC data completion across centers. To check reliability, periodically clinicians were given case scenarios and asked to complete POC documentation based on the scenarios. Agreement with the answer key was measured and aggregated results for each discipline in each center were reported back to the center. Clinician-specific problems were identified, and if necessary, additional training was held if agreement was <90%. Each therapy session was documented by the treating therapist after the patient encounter. Group therapy was recorded and included documentation of the number of patients, therapists, and assistants involved in the group. Nurses documented pain, sleep, and agitation during each shift. Hardcopy POC information was entered into a web-based data collection system by research assistants.
6. Medication administration data were downloaded from center electronic medical record systems into the centralized research database.

7. Staff from each center was trained on how to track patients for follow-up after leaving inpatient care, as well as how to conduct follow-up interviews. Protocols used by the TBI Model Systems for tracking and interviewing were adapted for the study;<sup>23</sup> training was conducted by experienced TBI Model Systems researchers. The TBI Model Systems protocol for interviewing the “best source” of information—patient or proxy—was used in this study. Follow-up phone interviews with patients or their proxies were conducted at 3 and 9 months post-discharge, using a +/- 1-month window.
8. Short surveys (provider profiles) were used to collect information on clinician training and experience at each site. In addition, local investigators completed a facility survey with questions about structures and processes in the brain injury rehabilitation unit (See TBI-PBE study facility descriptions in this issue).<sup>24</sup>
9. Using site and patient ID the data center merged these data from multiple sources to create a patient-level database with all the data elements over the course of each patient’s rehabilitation stay and follow-up interviews.
10. Data were checked for completeness and accuracy (e.g., sensible value entries such as dates within the study time period and sequential timing of linked process steps or unrealistic values and obvious outliers). Data were cleaned before analysis was started.

### Study Sample

Ten participating rehabilitation centers enrolled all consenting eligible patients admitted to their specialty brain injury unit, resulting in a consecutive sample of adolescents and adults with TBI receiving inpatient rehabilitation between October 2008 and September 2011 (overall 82.5% of patients consented). We chose to include sites in the US as well as Canada in order to study a broad range of patient characteristics and treatment practices. The Institutional Review Board at each study center approved the study; each patient or his/her proxy gave informed consent.

The final study sample was 2130 patients (586 females and 1544 males; 113 between age 14 and 18) treated over 2.5 years. Inclusion criteria were:

1. Age over 14 years
2. Sustained a TBI, defined as damage to brain tissue caused by external force and evidenced by loss of consciousness, post-traumatic amnesia (PTA), skull fracture, or objective neurological findings
3. TBI was characterized with an International Classification of Diseases (ICD-9-CM) code consistent with the Centers for Disease Control and Prevention Guidelines for Surveillance of Central Nervous System Injury:<sup>1</sup>

800.0–801.9 – Fracture of the vault or base of the skull

803.0–804.9 – Other and unqualified multiple fractures of the skull

850.0–854.1 – Intracranial injury, including concussion, contusion, laceration, and hemorrhage

873.0–873.9 – Other open wound to the head

905.0 – Late effects of fracture of the skull and face

907.0 – Late effects of intracranial injury without mention of skull fracture

959.01 – Head injury, unspecified

4. Received their first, complete inpatient care on the designated adult brain injury rehabilitation unit

**Functional severity**—The FIM, used as a measure of the severity of functional deficits upon entry into treatment, consists of 18 items in two domains: Motor (13 items) and Cognitive-communicative (5 items). Each item is rated on a 7-category scale, ranging from 1: total assistance, to 7: complete independence. To eliminate distortion in quantifying the status of patients whose capability is at the extremes of the instrument's range, the Motor and Cognitive subscores were recoded separately using tables published by Heinemann et al. that were based on Rasch analysis of data of a large brain injury sample.<sup>25</sup>

**Comorbidity**—CSI, developed over a period of 30 years, defines severity as the physiologic and psychological complexity presented to medical personnel due to the extent and interactions of a patient's injury(s) and disease(s). CSI is age- and disease-specific, and is independent of treatments. It provides an objective, consistent method to operationalize patient severity of illness based on over 2,100 individual signs, symptoms, and physical findings and over 5,600 disease-specific criteria sets related to all of a patient's injury(s) and disease(s), not just on diagnostic information (ICD-9-CM coding) included in a discharge summary. CSI has been validated extensively in inpatient, ambulatory, rehabilitation, and long-term care studies since 1982.<sup>15–21</sup>

The CSI modification used in the present study allowed separation of severity of brain injury from severity of illness resulting from all other injuries, complications, and comorbidities. This use of CSI allowed detection of patient brain dysfunction differences that might otherwise be hidden or “washed out” by the effect of an overall injury severity score. Some criteria included in the brain CSI component were amount of intracranial bleeding, length of PTA, Glasgow Coma Scale (GCS), amount of compression, hydrocephalus, pupil reaction, etc.

CSI scores were calculated for three time spans of the patient's stay in rehabilitation:

- Admission CSI is based on all information available for the first 72 hours of the rehabilitation stay. It assesses how sick the patient was on admission to the rehabilitation facility.
- Discharge CSI reflects information from the last 72 hours before discharge.

- Maximum CSI uses information from the entire stay, including the admission and discharge periods. It measures the most aberrant findings, regardless of when they occurred.

**Patient Variables**—Variables describing patient characteristics, including demographics and injury characteristics, are included in table 2 overall and by admission FIM Cognitive subgroup.

**Process Variables**—As described above, we collected process variables in two ways: from therapy intervention POC forms and from chart review (ADM). Table 3 provides a selection of relevant findings. It also includes clinician experience calculated for the “average” clinician within a discipline who saw the patient as follows: Clinician experience index = ((sum of minutes by clinician #1 \* years experience of clinician #1) + (sum of minutes by clinician #2 \* years experience of clinician #2) + (etc))/(total minutes with included clinicians).

**Rehabilitation Course Variables**—Besides the patient data available on admission, we collected additional variables that describe the patients during the course of their rehabilitation unit stay using the ADM. These include descriptions of aphasia, dysphagia, ataxia, PTA (based on neuropsychologists’ ratings on one of two analogous standardized assessments, i.e., the Orientation Log and the Galveston Orientation and Amnesia Test), pain, agitation, sleep, and falls. Table 4 provides information on these data elements.

**Outcome Variables**—Three main outcome variables at discharge were: discharge FIM, length of stay (LOS) (which excludes days out of the rehabilitation facility for readmission to acute care), and discharge destination. We also examined readmission to acute care during rehabilitation as an outcome. In addition, outcomes collected post-discharge via telephone interview included hospitalizations post-discharge, employment, education, FIM, community participation (measured by the Participation Assessment with Recombined Tools Objective- PART-O, a 17-item objective tool representing functioning at the societal level),<sup>26</sup> and subjective well-being (measured by Satisfaction with Life Scale- SWLS, a 5-item instrument used to measure life satisfaction).<sup>27</sup> The summary score for the PART-O represents the average of item scores ranging from 0 to 5, while the SWLS Total score is a sum of the 5 items, ranging from 7–35. For both measures, higher scores represent better functioning or satisfaction. A summary of these data elements is provided in tables 5 and 6.

## Data Analyses

Analyses were performed using SAS version 9.2 (SAS Institute, Inc., Cary, NC). When data were missing, one or more adjustments were made depending on the variable and its intended use in analyses. Sometimes we categorized values simply as “unknown” (and included the category in analysis as a dummy variable representing missingness); sometimes we excluded patients with missing data from analysis; and sometimes we collapsed continuous variables with missing data into categorical variables and placed the cases with missing information into a category using corroborating data available. For example, we did not always have a patient’s Body Mass Index, but had other weight- and height-related



information (e.g., an order for a bariatric wheelchair) that allowed categorizing a patient broadly, e.g., as overweight or obese.

Since we knew that our sample had patients with a wide range of functional disability, in the analysis our first step was to determine homogeneous subgroups of patients with TBI severity of brain injury. We tried different ways to create homogeneous subgroups and compared these ways based on how much variation in the outcomes was explained ( $R^2$  and  $c$  statistics) and how distinct the subgroups were. After exploring many possible approaches, including Case Mix Groups as defined for inpatient rehabilitation patients with TBI,<sup>28</sup> time to clear PTA, and various combinations of admission FIM motor and cognitive scores, we determined that the admission FIM cognitive score was the best way to form relatively homogenous subgroups of TBI patients and defined five subgroups (score 6, 7–10, 11–15, 16–20, 21).

We used frequencies and percentages for categorical patient, treatment, rehabilitation course, and outcome measures, and means, medians, and amount of variation (SD and range) to summarize continuous measures. We conducted bivariate analyses to examine how different the patients were across the 5 FIM cognitive subgroups. For categorical variables, we created contingency tables and used chi-squared tests to determine significance of bivariate associations. For continuous variables we used analysis of variance. A two-sided  $p$  value  $<0.05$  was considered statistically significant.

In order to examine how the TBI-PBE study patients compare to patients with TBI who received inpatient rehabilitation in the US during specific years, we used two sources of data regarding the total US TBI inpatient rehabilitation population (i.e., 99,438 for 2001–2007, and 156,447 for 2001–2010). Two papers provided most variables of interest (e.g. age group, LOS category, etc.) in percentages, which were converted to raw numbers by multiplying each with their respective US TBI population totals.<sup>29,30</sup> The 2001–2007 values were subtracted from the 2001–2010 values to get the 2008–2010 values. These raw numbers were then converted back into percentages using  $156,447 - 99,438 = 57,009$  as the denominator (our estimate for the US TBI population between 2008 and 2010). As done with previous comparisons to national data, differences less than 5% were considered *immaterial*; those 5% but  $< 10\%$  were considered *minor*; and those  $\geq 10\%$  were considered *important*.<sup>26</sup> Only US TBI-PBE patients were included in the comparison.

## RESULTS

The average age of the 2130 patients was 44.5 (SD=21.3), with 72.5% male and 74.4% white non-Hispanic, 15.1% black, 6.2% white Hispanic, and 4.4% in the Miscellaneous race/ethnicity group. In table 2 we show the patient pre-injury and injury characteristics overall and within each admission cognitive subgroup. The less impaired cognitive subgroups (score  $\geq 16$ ) generally were older and contained more retired people; had a greater percentage females; were better educated; had Medicare more often as payer and Medicaid less often; and were heavier (higher BMI). These groups had a lower percentage of patients with paralysis or diabetes; a lower admission CSI; and a higher percentage with injury due to falling with more mild impairment (GCS 13–15) immediately after injury. Higher

cognitive subgroups also had the following: less frequently midline shift present; fewer subarachnoid or intraventricular hemorrhages; fewer craniectomies performed; and less time from injury to rehabilitation admission. These patients also had less functional impairment as measured by FIM motor score.

The admission FIM cognitive subgroups had different percentages of patients receiving various medications, nutritional supports, and other treatments. The lowest admission cognitive subgroups (score = 10) had a greater percentage of patients being physically restrained and getting one-on-one observers during rehabilitation; more often had enteral and parental nutrition; more often had a tracheotomy; and received more psychoactive and other medication use.

The lower cognitive functioning subgroups also differed in percentage of patients receiving various therapy activities, as well as in amount of treatment (cumulatively over their stay) by each discipline for those patients receiving each activity. Treatment time differences were closely associated with LOS differences. Examples of these data are presented in table 3. The low functioning groups had fewer minutes/week of PT therapeutic exercise and more minutes/week gait training and standing. In OT, these subgroups had fewer minutes/week in upper extremity activity and lower body dressing and more minutes/week in cognitive activity. For SLP, lower functioning cognitive patients had fewer minutes/week of education and verbal reasoning, along with more minutes/week of verbal orientation review. In psychology, in general the highest percent of patients receiving each activity and for more minutes/week was the middle functioning cognitive subgroup (score 11–15); subgroups functioning at a lower level on admission tended to receive fewer minutes/week of psychology activities. Recreational therapy also tended to be given more frequently to patients in the middle cognitive functioning subgroup, but more minutes/week of most activities were given to patients in the higher functioning admission cognitive subgroups. A higher percent of patients in the lowest admission cognitive subgroup received social work/case management activities.

Whereas table 2 provides patient pre-injury and injury characteristics, table 4 offers information on events and experiences during the rehabilitation stay. As expected, patients in the lower admission cognitive functioning subgroups had moderate to severe aphasia, dysphagia, and ataxia more often, longer time in PTA, and a greater percentage of their stay characterized by an agitated state.

Outcomes at discharge and at approximately 3- and 9-months post-discharge (approximately 1-year post-injury for most) are presented in tables 5 and 6, respectively. Table 7 provides key information on the original sample of 2130 (last column), and the samples that we classified as having a 3-month post-discharge and a 9-month post discharge follow-up interview, as well as for ANY follow-up. For the 3-month interviews, the average time from discharge to the interview was 98.5 days (SD=28.0. range 56 – 189 days); for the 9-month interviews, the average time from discharge to the interview was 309.3 days (SD=43.3. range 208 – 402 days). In Table 7 we also included a description of patients who had a 1-year *post-injury interview*. Because the 1-year *post-injury* anniversary date could fall in the window for any post-discharge interview, depending on the patient's length of stay in acute

and rehabilitation settings, additional questions required for the 1-year post-injury interview for TBI Model Systems database participants were included in the follow-up interview that fell within the window for 3- or 9-month post-discharge interview. The outcomes generally show an association with the severity of the cognitive impairment at admission, with less impaired patients showing shorter LOS, more discharges to home, higher levels of functioning (FIM) at discharge, 3, and 9 months, fewer post-discharge hospitalizations, and fewer deaths post discharge.

In table 8 we compare the TBI-PBE US study patients to the US inpatient rehabilitation population. With such large numbers for the US TBI patients, all differences are statistically significant ( $p < .001$ ). The TBI-PBE patients tend to be younger, and hence are less often covered by Medicare and more often by Medicaid and private payers. TBI-PBE patients are more severely injured, with a higher percentage with an admission motor FIM  $\geq 23$  and admission cognitive FIM  $\geq 15$ ; there also is a greater percentage of patients in the most severe TBI Case Mix Group (207) and with a rehabilitation LOS of over 20 days. However, after we separated the TBI-PBE sample by age at  $<$  and  $\geq 65$  years, the vast majority of differences became immaterial or minor ( $<10\%$ ).

## DISCUSSION

There is a significant need for evidence in TBI rehabilitation that delineates the extent that differences in outcomes are attributable to patients' characteristics such as age, severity, time since injury, and pre-injury factors, and how much outcomes can be attributed to the timing and dose of specific rehabilitation interventions. Our large sample, 10-center, comparative effectiveness study using the PBE methodology provides information on a comprehensive set of patient prognostic factors; information on the types, intensity, and duration of key activities used in interdisciplinary rehabilitation using a separate taxonomy for each discipline; and outcomes at inpatient rehabilitation discharge and 3 and 9 months later.

Our sample of 2,130 was diverse with regard to demographics, injury (etiology, physiologic damage, and severity), and functioning (FIM Cognitive and Motor scores) at inpatient rehabilitation admission. Sample stratification into 5 levels of functional capacity based on admission FIM Cognitive scores resulted in sufficiently large subsamples (N range 339 to 504) for between group analyses. Strong evidence of differentiation between the 5 cognitive groups was observed with regard to acute brain injury severity (GCS scores), brain damage (midline shift and subarachnoid hemorrhage), nature of the acute care received (craniectomy, tracheotomy or ventilation, and length of stay), inpatient rehabilitation admission brain injury severity (CSI Brain Injury scores and presence of severe dysphagia, aphasia, and ataxia), and inpatient rehabilitation admission motor functioning.

Our POC forms developed as part of this study allowed clinicians to document a wide range of therapeutic activities potentially used within each discipline including PT (19 separate activities), OT (36), SLP (86), TR (43), PSY (8), and Social Work (6). In each discipline, significant heterogeneity in treatment activities delivered was observed within and between groups. For example, gait training was the most frequently delivered PT activity (about 80

minutes per week) across all subgroups but the consistently large SDs indicate that the average minutes per week of gait training ranged from 0 minutes to well over 3 hours within each group (table 3). Within and across subgroups, there is variation in whether or not patients get a particular treatment (%), and the average minutes they get per week. Across disciplines, persons in the highest functioning cognitive group participated in the most minutes of formal assessment/testing per week, likely reflecting a combination of short stays and greater ability to complete test requirements, resulting in less overall time in other activities.

Inpatient rehabilitation outcomes showed trends in the expected direction across the 5 admission cognitive categories. Patients admitted with more severe cognitive impairments had lower inpatient rehabilitation discharge cognitive and motor functional outcomes, higher inpatient rehabilitation discharge brain injury CSI scores, longer inpatient rehabilitation stay, and were more likely to be discharged to an institutional setting. Nine-month post discharge outcome data suggest that all patient subgroups had improved cognitive and motor functioning (table 6).

The quality of evidence to be derived from our prospective, multi-center, longitudinal study rests on standardized data collection tools, completeness of data collection, and very low attrition rates after inpatient rehabilitation discharge. The follow-up rate (79%) for one-year post-injury outcomes approached the benchmark of 80% for follow-up completeness. Examination of interactions and potential confounds as alternative explanations for the differences in outcomes between the 5 admission cognitive subgroups as well as evaluation of the effects of treatments on outcomes was beyond the scope of this introductory paper. Future analyses, including studies published in this supplement, will explore confounds when evaluating: (1) what percent of variation in treatment is accounted for by variation in patient characteristics, (2) what percent of variation in outcomes is accounted for by variation in treatment after controlling for patient and injury characteristics, and (3) what treatments and treatment patterns are most strongly related to positive outcomes for specific subgroups of patients.

Evidence from this study has important implications for future research as well as for the way that injury is categorized for persons with TBI receiving inpatient rehabilitation. The demographic, injury severity, and functional diversity of this large, multi-center sample along with the heterogeneity of both treatments delivered and outcomes observed within each of the cognitive subgroups increases the likelihood that statistical modeling will identify treatments that are associated with outcomes of interest. Preliminary evidence suggests that categorization of patients with TBI based on functional cognition at inpatient rehabilitation admission produces associations with injury characteristics, inpatient rehabilitation admission level of motor functioning and secondary conditions, rehabilitation discharge outcomes, and one-year post-injury outcomes. Historically, case-mix stratification in rehabilitation, e.g., Case Mix Groups 201–207, has focused on the physical dimension of functioning, differentiating 7 levels of FIM motor functioning within TBI admissions. Cognitive functioning (dichotomized as FIM Cognitive scores  $\leq$  23.5 is used only to differentiate among patients with a (weighted) Motor score of more than 44.25. Yet, our preliminary data show that cognition- and behavior-focused activities are common if not

predominant in SLP, OT, and psychology interventions and that the current Case Mix Groups may undervalue the cognitive dimension. Our preliminary analysis indicates that additional levels of stratification by cognitive functioning in the TBI rehabilitation population yield important prognostic information. Further evidence that patients in specific cognitive subgroups substantially benefit from additional rehabilitation treatment not factored into current case-mix groups may argue for case-mix reform with more emphasis placed on the cognitive dimension in inpatient rehabilitation treatment.

Findings from the TBI-PBE study are likely to generalize to the US rehabilitation population of persons with TBI. A comparison of our sample to a concurrent group of U.S. patients, when dichotomized at age 65, indicated that persons in our sample were similar to persons in their respective age groups in the wider US TBI rehabilitation population.

## CONCLUSIONS

This prospective, 10-center, comparative effectiveness study using the PBE methodology succeeded in developing a standardized treatment taxonomy and prospectively capturing naturally occurring variation within patients and treatments. This preliminary information offers a basis for subsequent papers from this study to investigate best treatments for specific patient impairments and groups.

## Acknowledgments

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## Abbreviations

<b>ADM</b>	Auxiliary Data Module
<b>CSI</b>	Comprehensive Severity Index
<b>FIM</b>	Functional Independence Measure
<b>GCS</b>	Glasgow Coma Scale
<b>LOS</b>	Length of stay
<b>OT</b>	Occupational therapy
<b>PBE</b>	Practice-Based Evidence

<b>POC</b>	Point of care documentation forms
<b>PT</b>	Physical therapy
<b>PTA</b>	Post traumatic amnesia
<b>SLP</b>	Speech Language Pathology
<b>TBI</b>	Traumatic brain injury

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# Appendix 1

## TBI-PBE Physical Therapy Form v.3.19.09

**Session Info**  
 Patient Name(s): \_\_\_\_\_ Clinician ID: \_\_\_\_\_ Start Time: 08:18 AM Date: 08-16-09  
 Documenting For: Att, Student, Educ, PT, SLP, OT  
 Session Type: \_\_\_\_\_  
 Missed Session: \_\_\_\_\_  
 # of Session Participants: \_\_\_\_\_  
 Patients: PT, Att, Student, Supervisor/Consult/Preceptor, Other: \_\_\_\_\_

**Complete at End of ALL Individual Sessions**  
 Patient Level of Effort (Participation) Over Entire Session (Circle one): 1 2 3 4 5 6 7 N/A (Rancho 1-10)  
 Factors Influencing Session: Apathetic, Overwhelmed, Emotional Problems, Fatigue, Inattention, Lack of Initiative, Lack of Insight, Low Anxious, Medical Complications, Pain

**Intervention Codes**  
 Neuromotor: 01 Task Practice, 02 Balance Training, 03 Patient Relearning/Phonemes, 04 Motor Control/Coordination, 05 Use of Unloaded UE, 06 Gait, 08 Control Low/Weight Bearing L/E, 09 PLS, 10 Manual Control/Handling, 11 Reaching, 12 Hand/Grasping, 13 Manual Training, 14 Core Stabilization/Strengthening, 15 Strengthening, 17 Aerobic Conditioning Exercises, 18 General Endurance, 19 Education, 20 Patient/Program, 21 Staff, 22 Cognitive/Perceptual/Sensory, 23 Cognitive Training/Reassessment, 24 Memory Training/Reassessment, 25 Memory Self-Care Skills, 26 Motor Re-education, 27 Vestibular, 28 Manipulations, 29 Reflexive Stimulation, 30 Feedback, 31 Stimulation, 32 Transfer, 33 Posture, 34 Post, 35 Training, 36 Post-Therapy

**Assistive Devices**  
 Anklefoot Device, 07 Ankle Assistive Device, 08 Ankle Foot Orthosis, 09 Knee Assistive Device, 10 Knee Extension Assistance, 11 Dorsal Knee Cap, 12 Shoulder, 13 Training Device, 14 Hand Support, 15 Ankle Support, 16 PEGD Frame, 17 Hand Walker, 18 Open (Ankle Height), 19 Transfer, 20 AFO Walker, 21 AFO Walker, 22 Stair Equipment, 23 Stair, 24 Stair, 25 Standing Frame, 26 Transfer Belt, 27 Toilets, 28 Transfer Device, 29 Upper Extremity Sling, 30 Support/Transfer Assist, 31 Transfer, 32 Stair, 33 Stair, 34 Stair, 35 Training, 36 Post-Therapy

**Environment Key**  
 1 Quiet, 2 Acoustic Stimulation, 3 Moderate Stimulation, 4 Maximal Stimulation

## TBI-PBE Occupational Therapy Form v.11.19.08

**Session Info**  
 Patient Name(s): \_\_\_\_\_ Clinician ID: \_\_\_\_\_ Start Time: 08:18 AM Date: 08-16-09  
 Documenting For: Att, Student, OT, SLP, PT  
 Session Type: Individual, Group  
 # of Session Participants: \_\_\_\_\_  
 Patients: On Campus, Off Campus, Home, Other: \_\_\_\_\_

**Complete at End of ALL Individual Sessions**  
 Patient Level of Effort (Participation) Over Entire Session (Circle one): 1 2 3 4 5 6 7 N/A (Rancho 1-3)  
 Factors Influencing Session: Apathetic, Overwhelmed, Emotional Problems, Fatigue, Inattention, Lack of Initiative, Lack of Insight, Low Anxious, Medical Complications, Pain

**Intervention Codes**  
 Neuromusculoskeletal Interventions: 01 Balance Training, 02 Postural Assessment, 03 Strengthening, 04 Strengthening, 05 Manual/Functional Therapy, 06 ROM, 07 Energy Control, 08 Controlled Induced Movement Therapy, 09 Taping, 10 Post-Intervention, 11 Tense and Speedy Management, 12 Fine Motor Coordination, 13 Motor Learning, 14 Biomechanical (PPT/FACT/WDing), 15 Energy Conservation, 16 One-Handed Techniques, 17 Environmental Adaptation, 18 Adaptive Equipment, 19 DME, 20 Cognitive Compensatory Strategies, 21 Dressing, 22 Dressing/Personal Care, 23 Area Involvement/Non-Functional, 24 Home, 25 Home, 26 Home, 27 Behavioral Management, 28 Memory Training, 29 Attention Training, 30 Executive Functioning, 31 Memory Integration, 32 Perceptual Training, 33 Visual Training, 34 Sensory Training, 35 Height/Safety Assessment, 36 Emotional Support, 37 Communication, 38 Patient Assessment, 39 Education, 40 Assessment, 41 Neuromusculoskeletal Therapy, 42 Manual, 43 Manual, 44 Manual, 45 TENS, 46 Manual, 47 Manual, 48 Manual, 49 Manual, 50 Manual, 51 Assistive Device, 52 Staff, 53 Assistive Device, 54 Assistive Device, 55 Assistive Device, 56 Assistive Device, 57 Assistive Device, 58 Assistive Device, 59 Assistive Device, 60 Assistive Device, 61 Assistive Device, 62 Assistive Device, 63 Assistive Device, 64 Assistive Device, 65 Assistive Device, 66 Assistive Device, 67 Assistive Device, 68 Assistive Device, 69 Assistive Device, 70 Assistive Device, 71 Assistive Device, 72 Assistive Device, 73 Assistive Device, 74 Assistive Device, 75 Assistive Device, 76 Assistive Device, 77 Assistive Device, 78 Assistive Device, 79 Assistive Device, 80 Assistive Device, 81 Assistive Device, 82 Assistive Device, 83 Assistive Device, 84 Assistive Device, 85 Assistive Device, 86 Assistive Device, 87 Assistive Device, 88 Assistive Device, 89 Assistive Device, 90 Assistive Device, 91 Assistive Device, 92 Assistive Device, 93 Assistive Device, 94 Assistive Device, 95 Assistive Device, 96 Assistive Device, 97 Assistive Device, 98 Assistive Device, 99 Assistive Device, 100 Assistive Device

**Environment Key**  
 1 Quiet, 2 Acoustic Stimulation, 3 Moderate Stimulation, 4 Maximal Stimulation



# TBI-PBE Speech and Language Pathology Form v. 1.15.09

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Session Info		Start Time		Date		Integrator		Activity	
Clinician ID	Start Time	Day	Time	Year	Month	Day	Present	Yes	No
Documentation	Assessment	Student	Full	Other	Other	Other	Other	Other	Other
Total Session Time		Minutes		Complete at End of ALL Individual Sessions		Patient Level of Effort (Participation) Over Entire Session			
Co-Train		Yes/No		Circle one: 1 2 3 4 5 6 7 N/A (Range 1-1)		Factors Influencing Session			
Session Type		Individual/Group		# of Session Participants		Subtype		Other	
Family/Involvement		Observed/Not present		SLPs		Other		Other	
Activities: Language		Activities: Cognition		Activity Code		Interventions Used		Deficits Addressed	
Activities: Other		Deficits Key		Interventions Key		Other		Other	

**RITS SCALE OVER THE ENTIRE SESSION**

This scale should take no more than a few seconds to complete. Choose the number that best fits your initial impression. This scale should reflect the normal distribution of the population, which means that a score of 1 or 7 is uncommon. Most people tend to fall into the categories of 3, 4, and 5. This is not a measure of the patient's ability. Choose the number that best fits what you observed the patient DO - not whether s/he did better/worse.

**7 Superior Effort** - Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient consistently initiates activity, seeks performance feedback and/or self-monitors performance, adjusts activity based on feedback, and requests more challenging activities. The patient perseveres with therapy tasks, even when activities are extremely physically or mentally challenging.

**6 Very Good Effort** - Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient sometimes initiates activity, may seek performance feedback, and adjusts activity based on feedback. The patient perseveres with therapy tasks that are physically or mentally challenging without encouragement or prompting.

**5 Above Average Effort** - Patient sustains full attention and goal-directed activity during the majority of the therapy session. The patient rarely initiates activity or seeks performance feedback but consistently adjusts activity when performance feedback is provided. The patient perseveres with therapy tasks that are physically or mentally challenging with some encouragement or prompting.

**4 Average Effort** - Patient is generally attentive, follows instructions, and works toward goals during the therapy session. The patient relies on the therapist to direct all tasks. The patient does not seek feedback but sometimes adjusts activity when performance feedback is provided. The patient requires prompting and/or encouragement to continue with therapy tasks that are physically or mentally challenging.

**3 Below Average Effort** - Patient is inattentively attentive and may require repetition of instructions and/or redirection toward therapy session goals. The patient is generally unresponsive to performance feedback and rarely adjusts activity when feedback is provided. The patient gives up easily when therapy tasks become physically or mentally challenging.

**2 Minimal Effort** - Patient is inattentively attentive, and requires frequent repetition of instructions and/or redirection toward therapy session goals. The patient may refuse to comply with the therapist's instructions and/or requests and may end the session early. The patient does not attempt therapy tasks that are physically or mentally challenging.

**1 Absence of Effort** - Patient is rarely attentive and is engaged in virtually no goal-oriented activity. The patient either refuses or is unable to comply with the therapist's instructions and/or requests and may lead to early termination of the session.

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**GOAT SCORE**

Date: \_\_\_\_\_

GOAT score: \_\_\_\_\_

**GOAT Not applicable. Reason:**

\_\_\_ Communication deficit, but aware and responsive

\_\_\_ Unconscious or minimally conscious

\_\_\_ GOAT Screen (year, month, city) failed

\_\_\_ Never in PTA during rehab (confirmed through 2 consecutive GOAT tests >75)

\_\_\_ Emerged (confirmed through 2 consecutive GOAT tests >75)

Instructions: The GOAT should be completed twice a week for patients who have not cleared PTA. If the GOAT is not applicable, please indicate why by selecting the correct line. Once the patient scores > 75 on the GOAT, the test should be repeated the following day to confirm the patient has emerged from PTA.

**RITS SCALE OVER THE ENTIRE SESSION**

This scale should take no more than a few seconds to complete. Choose the number that best fits your initial impression. This scale should reflect the normal distribution of the population, which means that a score of 1 or 7 is uncommon. Most people tend to fall into the categories of 3, 4, and 5. This is not a measure of the patient's ability. Choose the number that best fits what you observed the patient DO - not whether s/he did better/worse.

**7 Superior Effort** - Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient consistently initiates activity, seeks performance feedback and/or self-monitors performance, adjusts activity based on feedback, and requests more challenging activities. The patient perseveres with therapy tasks, even when activities are extremely physically or mentally challenging.

**6 Very Good Effort** - Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient sometimes initiates activity, may seek performance feedback, and adjusts activity based on feedback. The patient perseveres with therapy tasks that are physically or mentally challenging without encouragement or prompting.

**5 Above Average Effort** - Patient sustains full attention and goal-directed activity during the majority of the therapy session. The patient rarely initiates activity or seeks performance feedback but consistently adjusts activity when performance feedback is provided. The patient perseveres with therapy tasks that are physically or mentally challenging with some encouragement or prompting.

**4 Average Effort** - Patient is generally attentive, follows instructions, and works toward goals during the therapy session. The patient relies on the therapist to direct all tasks. The patient does not seek feedback but sometimes adjusts activity when performance feedback is provided. The patient requires prompting and/or encouragement to continue with therapy tasks that are physically or mentally challenging.

**3 Below Average Effort** - Patient is inattentively attentive and may require repetition of instructions and/or redirection toward therapy session goals. The patient is generally unresponsive to performance feedback and rarely adjusts activity when feedback is provided. The patient gives up easily when therapy tasks become physically or mentally challenging.

**2 Minimal Effort** - Patient is inattentively attentive, and requires frequent repetition of instructions and/or redirection toward therapy session goals. The patient may refuse to comply with the therapist's instructions and/or requests and may end the session early. The patient does not attempt therapy tasks that are physically or mentally challenging.

**1 Absence of Effort** - Patient is rarely attentive and is engaged in virtually no goal-oriented activity. The patient either refuses or is unable to comply with the therapist's instructions and/or requests and may lead to early termination of the session.

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**GOAT SCORE**

Date: \_\_\_\_\_

GOAT score: \_\_\_\_\_

**GOAT Not applicable. Reason:**

\_\_\_ Communication deficit, but aware and responsive

\_\_\_ Unconscious or minimally conscious

\_\_\_ GOAT Screen (year, month, city) failed

\_\_\_ Never in PTA during rehab (confirmed through 2 consecutive GOAT tests >75)

\_\_\_ Emerged (confirmed through 2 consecutive GOAT tests >75)

Instructions: The GOAT should be completed twice a week for patients who have not cleared PTA. If the GOAT is not applicable, please indicate why by selecting the correct line. Once the patient scores > 75 on the GOAT, the test should be repeated the following day to confirm the patient has emerged from PTA.

# TBI-PBE Therapeutic Recreation Form v.10.6.08

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<b>Session Information</b>		<b>Session Type:</b> Individual • Family • Group		Date: / /	Start Time: :00 :15 AM	<b>Complete at End of Session</b>	
Patient Name: _____		Total Session Time: _____ Minutes		Patient Assessment: _____ Minutes		Patient Level of Effort (Participation) Over Entire Session (see key): 1 2 3 4 5 6 7 Not Testable	
Client ID: _____		Group: _____		Activity Location: _____		Factors Influencing Session (see key): 1 2 3 4 5 6 7 Not Testable	
Documenting For: Self, Specialist (music, pot, etc.) Aide, Student		Group: Family • Family Training • Family (no patient)		Activity Location: On Campus / Off Campus		Agitation / Disorientation Emotional Problems / Fatigue / Irritability Lack of Initiation / Lack of Insight Low Arousal / Medical Complications / Pain	
<b># of Session Participants:</b>		Student: _____		RT Aide/Tech: _____		<b>Therapeutic Objectives Key:</b>	
Patients: PT _____ OT _____		Safety Coach: _____		Assistant: _____		Neuropsych: _____	
RT: _____		Other: _____		Rehab Engineer: _____		SLP: _____	
<b>LEISURE ACTIVITIES:</b>		<b>Activity Code</b>		<b>Activity Minutes</b>		<b>Therapeutic Objective Key Codes</b>	
1 Billiards 7 Weight Room/Gym							
2 Baseball 8 Softball/Soft Football							
3 Soccer 9 Bowling							
4 Volleyball 10 Active Tabletop Games							
5 Swimming 11 Skiing/Haired Cycling							
6 Disc/Frisbee 12 Golf/Putting/Croquet							
13 Bocce Ball							
<b>Art</b>							
14 Self Expression 17 Crafts							
15 Cooking/Baking 18 Dance/Drama							
19 Creative Writing							
<b>Music</b>							
10 Musical Instruments							
20 Operating COMPS							
21 Music Games							
<b>Cognitive Activities</b>							
22 Puzzles 26 Computer Email/Games							
23 Reading 27 Movement/Performance							
24 Observation 28 Card Games							
25 Relaxation 29 Board Table Games							
30 Other							
31 Special Events 37 Gardening							
32 Scavenger Hunts 38 Leisure Edu.							
33 Sensory Activities 39 Fishing							
34 Reality Orientation 40 Ladder Golf							
35 Preparing for Chating 41 Other							
36 Pst Therapy							
<b>Community Reintegration (Off Patient Unit)</b>		Level of Assist for Outing Therapeutic Objectives (1-7)		Patient recognizes & communicates the need to help appropriately		Never Sometimes Frequently Always	

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### KEY

#### Level of Assist for Outing Therapeutic Objectives (1-7)

- 1=Total Assist (less than 25%)
- 2=Max Assist (25%-49%)
- 3=Mod Assist (50%-74%)
- 4=Min Assist (75% or more)
- 5=Supervision
- 6=Mod Independence (requires a device for independence)
- 7=Independent

#### Participation Scale

1 2 3 4 5 6 7 Not Testable

Not Testable=Patients at Rancho level 1-3 (Patients at these levels either do not respond to sights, sounds, touch, or movement; or respond slowly and the same to every sight, sound, touch, movement; or react slowly and inconsistently, but can follow some simple directions like "yes" and "no" head nods.)

7=Superior Effort – Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient consistently initiates activity; seeks performance feedback and/or self-monitors performance; adjusts activity based on feedback; and requests more challenging activities. The patient perseveres with therapy tasks, even when activities are extremely physically or mentally challenging.

6=Very Good Effort – Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient sometimes initiates activity; may seek performance feedback; and adjusts activity based on feedback. The patient perseveres with therapy tasks that are physically or mentally challenging without encouragement or prompting.

5=Above Average Effort – Patient sustains full attention and goal-directed activity during the majority of the therapy session. The patient rarely initiates activity or seeks performance feedback but consistently adjusts activity when performance feedback is provided. The patient perseveres with therapy tasks that are physically or mentally challenging with some encouragement or prompting.

4=Average Effort – Patient is generally attentive, follows instructions, and works toward goals during the therapy session. The patient relies on the therapist to direct all tasks. The patient does not seek feedback but sometimes adjusts activity when performance feedback is provided. The patient requires prompting and/or encouragement to continue with therapy tasks that are physically or mentally challenging.

3=Below Average Effort – Patient is inconsistently attentive and may require repetition of instructions and/or redirection toward therapy session goals. The patient is generally unresponsive to performance feedback and rarely adjusts activity when feedback is provided. The patient gives up easily when therapy tasks become physically or mentally challenging.

2=Minimal Effort – Patient is inconsistently attentive, and requires frequent repetition of instructions and/or redirection toward therapy session goals. The patient may refuse to comply with the therapist's instructions and/or requests and may end the session early. The patient does not attempt therapy tasks that are physically or mentally challenging.

1=Absence of Effort – Patient is rarely attentive and is engaged in virtually no goal-oriented activity. The patient either refuses or is unable to comply with the therapist's instructions and/or requests and may lead to early termination of the session.

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### KEY

#### Level of Assist for Outing Therapeutic Objectives (1-7)

- 1=Total Assist (less than 25%)
- 2=Max Assist (25%-49%)
- 3=Mod Assist (50%-74%)
- 4=Min Assist (75% or more)
- 5=Supervision
- 6=Mod Independence (requires a device for independence)
- 7=Independent

#### Participation Scale

1 2 3 4 5 6 7 Not Testable

Not Testable=Patients at Rancho level 1-3 (Patients at these levels either do not respond to sights, sounds, touch, or movement; or respond slowly and the same to every sight, sound, touch, movement; or react slowly and inconsistently, but can follow some simple directions like "yes" and "no" head nods.)

7=Superior Effort – Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient consistently initiates activity; seeks performance feedback and/or self-monitors performance; adjusts activity based on feedback; and requests more challenging activities. The patient perseveres with therapy tasks, even when activities are extremely physically or mentally challenging.

6=Very Good Effort – Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient sometimes initiates activity; may seek performance feedback; and adjusts activity based on feedback. The patient perseveres with therapy tasks that are physically or mentally challenging without encouragement or prompting.

5=Above Average Effort – Patient sustains full attention and goal-directed activity during the majority of the therapy session. The patient rarely initiates activity or seeks performance feedback but consistently adjusts activity when performance feedback is provided. The patient perseveres with therapy tasks that are physically or mentally challenging with some encouragement or prompting.

4=Average Effort – Patient is generally attentive, follows instructions, and works toward goals during the therapy session. The patient relies on the therapist to direct all tasks. The patient does not seek feedback but sometimes adjusts activity when performance feedback is provided. The patient requires prompting and/or encouragement to continue with therapy tasks that are physically or mentally challenging.

3=Below Average Effort – Patient is inconsistently attentive and may require repetition of instructions and/or redirection toward therapy session goals. The patient is generally unresponsive to performance feedback and rarely adjusts activity when feedback is provided. The patient gives up easily when therapy tasks become physically or mentally challenging.

2=Minimal Effort – Patient is inconsistently attentive, and requires frequent repetition of instructions and/or redirection toward therapy session goals. The patient may refuse to comply with the therapist's instructions and/or requests and may end the session early. The patient does not attempt therapy tasks that are physically or mentally challenging.

1=Absence of Effort – Patient is rarely attentive and is engaged in virtually no goal-oriented activity. The patient either refuses or is unable to comply with the therapist's instructions and/or requests and may lead to early termination of the session.

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# TBI-PBE Psychology Form v.10.6.08

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Session Information		Complete at End of ALL Individual Sessions	
Study Patient Name(s):	Documenting For: <input type="checkbox"/> Self <input type="checkbox"/> Other Clinician	Telephone Interaction: <input type="checkbox"/> Yes <input type="checkbox"/> No	RITS Scale Over Entire Patient Session (circle one): 1 2 3 4 5 6 7
Session Type: <input type="checkbox"/> Individual <input type="checkbox"/> Group	Co-Treat: <input type="checkbox"/> Yes <input type="checkbox"/> No	Co-Treat (circle all that apply): DT, PT, SLP, TR, NDD, MD, Clergy, SW/Case Manager, Psychologist, Neuropsychology, Rehabilitation, Peer Monitor	Language Scale: Circle one: 1 2 3 4 5 6 7 8 9 10
Direct Clinical Intervention (Minutes)	Information & History Gathering	Crisis Intervention	Cognitive Remediation
General TBI Education	Patient-Specific TBI Education	Neurobehavioral Status Assessment	Neuropsych Testing
Psychosocial and Behavioral	Target of Intervention	Approach Used for Intervention	Indirect Patient Interventions
Staff Consultation	Minutes	Topic of Consultation	Creating a Behavior Plan

**RITS SCALE OVER THE ENTIRE SESSION**

This scale should take no more than 4 to 6 minutes to complete. Choose the number that best fits your initial impression. This scale should reflect the normal distribution of the population, which means that a score of 1 or 7 is uncommon. Most people tend to fall into the categories of 3, 4, and 5. This is not a measure of the patient's ability. Choose the number that best fits what you observed the patient DO, not whether she did better!

**7 Superior Effort** - Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient consistently initiates activity, seeks performance feedback and/or self-monitoring performance, adjusts activity based on feedback, and requests more challenging activities. The patient perseveres with therapy tasks, even when activities are extremely physically or mentally challenging.

**6 Very Good Effort** - Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient sometimes initiates activity, may seek performance feedback, and adjusts activity based on feedback. The patient perseveres with therapy tasks that are physically or mentally challenging without encouragement or prompting.

**5 Above Average Effort** - Patient sustains full attention and goal-directed activity during the majority of the therapy session. The patient rarely initiates activity or seeks performance feedback but consistently adjusts activity when performance feedback is provided. The patient perseveres with therapy tasks that are physically or mentally challenging with some encouragement or prompting.

**4 Average Effort** - Patient is generally attentive, follows instructions, and works toward goals during the therapy session. The patient relies on the therapist to direct all tasks. The patient does not seek feedback but sometimes adjusts activity when performance feedback is provided. The patient requires prompting and/or encouragement to continue with therapy tasks that are physically or mentally challenging.

**3 Below Average Effort** - Patient is inattentively attentive and may require repetition of instructions and/or redirection toward therapy session goals. The patient is generally unresponsive to performance feedback and rarely adjusts activity when feedback is provided. The patient perseveres with therapy tasks that are physically or mentally challenging.

**2 Minimal Effort** - Patient is inattentively attentive, and requires frequent repetition of instructions and/or redirection toward therapy session goals. The patient may refuse to comply with the therapist's instructions and/or requests and may end the session early. The patient does not attempt therapy tasks that are physically or mentally challenging.

**1 Absence of Effort** - Patient is unresponsive and/or engaged in virtually no goal-oriented activity. The patient either refuses or is unable to comply with the therapist's instructions and/or requests and may lead to early termination of the session.

If the patient refuses the session, indicate this by completing the Session Information box and circling "1" on the RITS. Select the reason for refusal by checking "Severe" under the appropriate "Severity of Symptoms" or next to "Fatigue" or "Medical Complications". Select all that apply.

**LANGUAGE SCALE**

1. Session is conducted in a language in which both the patient and the therapist are native speakers.

2. Session is conducted in a language in which both the patient and the therapist are generally fluent.

3. Session is conducted in a language in which the patient is fluent, with translation.

4. Session is conducted in a language in which the patient is fluent and the therapist has basic conversational skills.

**DISCHARGE**

When a patient is ready to be discharged, please fill out the Session Information, and Complete Only at Discharge elements of the POC including those under the subheading of "Behavior Plan" by circling the appropriate answer.

If the patient is seen at this time, please also complete the Direct Clinical Interventions, RITS Scale, Rancho Scale, Language Scale, and Severity of Symptoms elements of the POC.

**RANCHO SCALE**

1. No Response: Does not respond to voices, sounds, light, touch; appears in a deep sleep.

2. Oriented Response: Limited, inconsistent, non-purposeful responses. ("reaction may be on deep gain; may open eyes, will not focus on anything in particular)

3. Localized Response: Inconsistent responses purposeful by reacting to more specific stimulus; may focus on presented object or follow simple commands.

4. Confused, Apathetic: Impaired state of activity; confusion; unable to do self-care; unaware of present events. Reacts to own inner confusion; fear, disorientation, avoidable behavior may be abusive or aggressive.

5. Confused, Inappropriate, Non-Agitated: Appears alert; responds to commands; follows tasks for 2-3 minutes but easily distracted by environment; frustrated; verbally inappropriate; does not learn new information.

6. Confused Appropriate: Follows simple directions consistently; needs cueing; can return old skills; serious memory problems but improving; attention improving; self-care tasks performed without help; some awareness of self & others.

7. Automatic Appropriate: If physically able, can carry out routine activities but may have rigid behavior; minimal confusion; often react; poor insight into condition; initiates tasks but needs structure; poor judgment; problem-solving; & planning skills; overall appears normal.

8. Purposeful Appropriate: Alert, oriented; recalls & integrates past events; learns new activities & can continue without supervision; independent in home & living skills; capable of driving; affects in stress tolerance, judgment, abstract reasoning; persons; many functions at reduced levels in severity.

9. Purposeful Appropriate with Standby Assist on Request: Aware of surroundings & able to cooperate; able to self-monitor behavior; may be integrated into community.

10. Purposeful Appropriate, Modified Independent: Consistent behavior in social situations; ability to think about consequences in context; may be slow; workplace integration may be possible.

**RITS SCALE OVER THE ENTIRE SESSION**

This scale should take no more than 4 to 6 minutes to complete. Choose the number that best fits your initial impression. This scale should reflect the normal distribution of the population, which means that a score of 1 or 7 is uncommon. Most people tend to fall into the categories of 3, 4, and 5. This is not a measure of the patient's ability. Choose the number that best fits what you observed the patient DO, not whether she did better!

**7 Superior Effort** - Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient consistently initiates activity, seeks performance feedback and/or self-monitoring performance, adjusts activity based on feedback, and requests more challenging activities. The patient perseveres with therapy tasks, even when activities are extremely physically or mentally challenging.

**6 Very Good Effort** - Patient sustains full attention and goal-directed activity throughout the entire therapy session. The patient sometimes initiates activity, may seek performance feedback, and adjusts activity based on feedback. The patient perseveres with therapy tasks that are physically or mentally challenging without encouragement or prompting.

**5 Above Average Effort** - Patient sustains full attention and goal-directed activity during the majority of the therapy session. The patient rarely initiates activity or seeks performance feedback but consistently adjusts activity when performance feedback is provided. The patient perseveres with therapy tasks that are physically or mentally challenging with some encouragement or prompting.

**4 Average Effort** - Patient is generally attentive, follows instructions, and works toward goals during the therapy session. The patient relies on the therapist to direct all tasks. The patient does not seek feedback but sometimes adjusts activity when performance feedback is provided. The patient requires prompting and/or encouragement to continue with therapy tasks that are physically or mentally challenging.

**3 Below Average Effort** - Patient is inattentively attentive and may require repetition of instructions and/or redirection toward therapy session goals. The patient is generally unresponsive to performance feedback and rarely adjusts activity when feedback is provided. The patient perseveres with therapy tasks that are physically or mentally challenging.

**2 Minimal Effort** - Patient is inattentively attentive, and requires frequent repetition of instructions and/or redirection toward therapy session goals. The patient may refuse to comply with the therapist's instructions and/or requests and may end the session early. The patient does not attempt therapy tasks that are physically or mentally challenging.

**1 Absence of Effort** - Patient is unresponsive and/or engaged in virtually no goal-oriented activity. The patient either refuses or is unable to comply with the therapist's instructions and/or requests and may lead to early termination of the session.

If the patient refuses the session, indicate this by completing the Session Information box and circling "1" on the RITS. Select the reason for refusal by checking "Severe" under the appropriate "Severity of Symptoms" or next to "Fatigue" or "Medical Complications". Select all that apply.

**LANGUAGE SCALE**

1. Session is conducted in a language in which both the patient and the therapist are native speakers.

2. Session is conducted in a language in which both the patient and the therapist are generally fluent.

3. Session is conducted in a language in which the patient is fluent, with translation.

4. Session is conducted in a language in which the patient is fluent and the therapist has basic conversational skills.

**DISCHARGE**

When a patient is ready to be discharged, please fill out the Session Information, and Complete Only at Discharge elements of the POC including those under the subheading of "Behavior Plan" by circling the appropriate answer.

If the patient is seen at this time, please also complete the Direct Clinical Interventions, RITS Scale, Rancho Scale, Language Scale, and Severity of Symptoms elements of the POC.

**RANCHO SCALE**

1. No Response: Does not respond to voices, sounds, light, touch; appears in a deep sleep.

2. Oriented Response: Limited, inconsistent, non-purposeful responses. ("reaction may be on deep gain; may open eyes, will not focus on anything in particular)

3. Localized Response: Inconsistent responses purposeful by reacting to more specific stimulus; may focus on presented object or follow simple commands.

4. Confused, Apathetic: Impaired state of activity; confusion; unable to do self-care; unaware of present events. Reacts to own inner confusion; fear, disorientation, avoidable behavior may be abusive or aggressive.

5. Confused, Inappropriate, Non-Agitated: Appears alert; responds to commands; follows tasks for 2-3 minutes but easily distracted by environment; frustrated; verbally inappropriate; does not learn new information.

6. Confused Appropriate: Follows simple directions consistently; needs cueing; can return old skills; serious memory problems but improving; attention improving; self-care tasks performed without help; some awareness of self & others.

7. Automatic Appropriate: If physically able, can carry out routine activities but may have rigid behavior; minimal confusion; often react; poor insight into condition; initiates tasks but needs structure; poor judgment; problem-solving; & planning skills; overall appears normal.

8. Purposeful Appropriate: Alert, oriented; recalls & integrates past events; learns new activities & can continue without supervision; independent in home & living skills; capable of driving; affects in stress tolerance, judgment, abstract reasoning; persons; many functions at reduced levels in severity.

9. Purposeful Appropriate with Standby Assist on Request: Aware of surroundings & able to cooperate; able to self-monitor behavior; may be integrated into community.

10. Purposeful Appropriate, Modified Independent: Consistent behavior in social situations; ability to think about consequences in context; may be slow; workplace integration may be possible.

### TBI-PBE Agitated Behavior Scale (ABS) - Nursing POC v.10.1.08

**Instructions**

When: Start- This should be done daily upon admission for ALL patients and reinitiated if agitation is demonstrated during any shift. End- Collect until there is a score of 21 (or less) for three consecutive days.

How: Use the boxes and the key to indicate behavior presence (a '1' is selected when patient is sleeping) at the end of each shift. Please rate based on the most extreme case.

Patient Name: \_\_\_\_\_

Shift: 7am-3pm (Day)    3pm-11pm (Evening)    11pm-7am (Night)

Nurse ID: \_\_\_\_\_    Nurse ID: \_\_\_\_\_    Nurse ID: \_\_\_\_\_

Date: / /

**Behavior Key**

- 1 = Absent: The behavior is not present.
- 2 = Present to a Slight Degree: The behavior is present, but does not prevent the conduct of other contextually appropriate behavior. (The individual may redirect spontaneously or the continuation of the agitated behavior does not disrupt appropriate behavior.)
- 3 = Present to a Moderate Degree: The individual needs to be redirected from an agitated to an appropriate behavior, but benefits from such cueing.
- 4 = Present to an Extreme Degree: The individual is not able to engage in appropriate behavior due to the interference of the agitated behavior, even when external cueing or redirection is provided.

1. Short attention span, easy distractibility, inability to concentrate
2. Impulsive, impatient, low tolerance for pain or frustration
3. Uncooperative, resistant to care
4. Violent and/or threatening violence toward people or property
5. Explosive and/or unpredictable anger
6. Rocking, rubbing, moaning, or other self-stimulating behavior
7. Pulling at tubes, restraints, etc.
8. Wandering from treatment areas
9. Restlessness, pacing, excessive movement
10. Repetitive behaviors, motor and/or verbal
11. Rapid, loud, or excessive talking
12. Sudden changes of mood
13. Easily initiated or excessive crying and/or laughter
14. Self-abusiveness, physical and/or verbal

TOTAL SCORE:         

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### TBI-PBE Nursing Form v.10.1.08

**General Information**

A. Is the patient currently menstruating? Circle one: Yes No N/A

B. Is the patient up at liberty? Circle one: Yes No  
(i.e., does the patient have a doctor's order to move around the unit independently without supervision?)

Patient Name: \_\_\_\_\_

Date: / /

7am-3pm Day Shift	3pm-11pm Evening Shift	11pm-7am Night Shift
1. Hours spent out of bed, "if not up at liberty" Round to the nearest hour. <input type="text"/> 29 minutes and under rounds down <input type="text"/> 30 minutes and over rounds up 2. Did the patient sleep during the shift? Circle one: Yes No 3. Did the patient fall during the shift? Circle one: Yes No 3.a. If yes, was it an assisted fall? Circle one: Yes No N/A	1. Hours spent out of bed, "if not up at liberty" Round to the nearest hour. <input type="text"/> 29 minutes and over rounds up <input type="text"/> 30 minutes and over rounds up 2. Did the patient sleep during the shift? Circle one: Yes No 3. Did the patient fall during the shift? Circle one: Yes No 3.a. If yes, was it an assisted fall? Circle one: Yes No N/A	1. Hours spent out of bed, "if not up at liberty" Round to the nearest hour. <input type="text"/> 29 minutes and over rounds up <input type="text"/> 30 minutes and over rounds up 2. Did the patient fall during the shift? Circle one: Yes No 2.a. If yes, was it an assisted fall? Circle one: Yes No N/A

**Sleep Chart Instructions**

1. Conduct an hourly check to determine whether patient is asleep or awake.
2. Check the appropriate box.
3. Do not leave any hour blank.
4. The Sleep Chart is collected on all patients.

Hour	Asleep	Awake	Patient off unit
7:00 pm			
8:00 pm			
9:00 pm			
10:00 pm			
11:00 pm			
12:00 am			
1:00 am			
2:00 am			
3:00 am			
4:00 am			
5:00 am			
6:00 am			

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### TBI-PBE SW/CM ADMISSION FORM v.10.6.08

Clinician ID: \_\_\_\_\_

Patient Name: \_\_\_\_\_

Date: / /

**Answer 1-4 for the Primary Caregiver ONLY**

1. What is his/her relationship to the patient? \_\_\_\_\_  
(Example: "Sister")
2. Engagement with the Rehab Process: (Check one)
  - Engaged
  - Mostly Engaged
  - Somewhat Engaged
  - Minimally Engaged
  - Unengaged
  - N/A (no family/significant support network)
3. Level of Understanding of the Injury and its Consequences: (Check one)
  - Anticipatory Understanding
  - Emergent Understanding
  - Intellectual Understanding
  - Limited Understanding
  - Unable to Complete (no family/significant support network/ cannot communicate sufficiently with therapist)
4. Check here if a Primary Caregiver has not yet been identified.

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**ADMISSION FORM-KEY**

The primary social worker or case manager assigned to the patient should complete the admission form after meeting the family, but within the first 72 hours after admission.

At the top of the admission form, write your assigned clinician ID, the patient's name, and the date. The admission form has four questions:

**1. Caregiver's Relationship to Patient**  
Clarify how the patient knows the caregiver. Examples may include: sister, brother, mother, grandmother, girlfriend, son, roommate, etc.

**2. Caregiver Engagement with the Rehab Process**  
The goal of this scale is to capture the primary caregiver's level of engagement with the rehab process and with the rehab team during the first three days of rehabilitation. The scale measures the **average level** of the caregiver's interaction with the rehab team, so consider information provided from other team members. Select the level of engagement that **best** describes the caregiver. Options include:

**Engaged:** The caregiver appears goal-directed. The caregiver proactively anticipates patient needs at discharge. The caregiver adjusts involvement based on feedback from the rehab team. The caregiver is willing to be involved in the goal making process, willing to accept agreed upon tasks, and demonstrates follow through. The caregiver is an active partner in the rehab process.

**Mostly Engaged:** The caregiver is goal-directed, but the caregiver's goals are not consistent with the rehab team's goals. The caregiver does not consistently adjust involvement based on feedback from the rehab team. The caregiver is willing to accept agreed upon tasks, and demonstrates some follow through. The caregiver is active in the rehab process.

**Somewhat Engaged:** The caregiver relies on the social worker/case manager to direct all tasks. The caregiver is receptive to accepting responsibility for some tasks. The caregiver benefits from encouragement and prompting to follow through with tasks. The caregiver may or may not initiate contact with the social worker/case manager.

**Minimally Engaged:** The caregiver relies on the rehab team to set goals, and is often unresponsive. The caregiver requires frequent redirection, prompting, and encouragement to follow through with tasks. The caregiver does not initiate contact with the social worker/case manager.

**Unengaged:** The caregiver refuses to or is unable to comply with the social worker/case manager's instructions or requests.

**N/A:** The patient has no primary caregiver, family or significant support network.

**3. Level of Understanding of the Injury and its Consequences**  
Circle the level of understanding that **best** describes the primary caregiver. Options include:

**Anticipatory Understanding:** The caregiver is able to anticipate that a problem will occur as the result of some deficit. The ability to anticipate the occurrence of a problem requires knowledge that a problem exists and recognition of problems that occur. The caregiver is able to acknowledge cognitive and physical consequences of the brain injury.

**Emergent Understanding:** The caregiver is developing the ability to apply intellectual knowledge in some situations. He/she is learning to respond to changes in patient status and relate these changes to the brain injury, as well as to recognize and act on problems as they occur. For example, (1) the caregiver may understand the patient's physical deficits, but is still trying to understand the associated cognitive deficits or (2) if a patient is over-stimulated by having too many people in the room, the caregiver realizes he/she needs to clear the room.

**Intellectual Understanding:** The caregiver is able to verbalize general information about brain injuries, but is not able to apply the knowledge to specific situations with the patient.

**Limited Understanding:** When prompted, the caregiver can pinpoint things that are different about the patient, but does not associate them with a brain injury. He/she may normalize the patient's behavior ("Mom was always like that") or seek alternate explanations for changes ("He's just acting like that because he isn't at home"). The caregiver does not understand the extent of the consequences of the injury.

**Unable to Complete:** Caregiver cannot communicate effectively enough for the SW/CM to determine his/her level of understanding. Alternatively, the patient has no family or primary caregiver.

**4. Primary Caregiver Not Yet Assigned**  
If a primary caregiver has not been identified within the first 72 hours of admission (time slot in which to complete this form), check the box in number 4.

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**ADMISSION FORM-KEY**

The primary social worker or case manager assigned to the patient should complete the admission form after meeting the family, but within the first 72 hours after admission.

At the top of the admission form, write your assigned clinician ID, the patient's name, and the date. The admission form has four questions:

**1. Caregiver's Relationship to Patient**  
Clarify how the patient knows the caregiver. Examples may include: sister, brother, mother, grandmother, girlfriend, son, roommate, etc.

**2. Caregiver Engagement with the Rehab Process**  
The goal of this scale is to capture the primary caregiver's level of engagement with the rehab process and with the rehab team during the first three days of rehabilitation. The scale measures the **average level** of the caregiver's interaction with the rehab team, so consider information provided from other team members. Select the level of engagement that **best** describes the caregiver. Options include:

**Engaged:** The caregiver appears goal-directed. The caregiver proactively anticipates patient needs at discharge. The caregiver adjusts involvement based on feedback from the rehab team. The caregiver is willing to be involved in the goal making process, willing to accept agreed upon tasks, and demonstrates follow through. The caregiver is an active partner in the rehab process.

**Mostly Engaged:** The caregiver is goal-directed, but the caregiver's goals are not consistent with the rehab team's goals. The caregiver does not consistently adjust involvement based on feedback from the rehab team. The caregiver is willing to accept agreed upon tasks, and demonstrates some follow through. The caregiver is active in the rehab process.

**Somewhat Engaged:** The caregiver relies on the social worker/case manager to direct all tasks. The caregiver is receptive to accepting responsibility for some tasks. The caregiver benefits from encouragement and prompting to follow through with tasks. The caregiver may or may not initiate contact with the social worker/case manager.

**Minimally Engaged:** The caregiver relies on the rehab team to set goals, and is often unresponsive. The caregiver requires frequent redirection, prompting, and encouragement to follow through with tasks. The caregiver does not initiate contact with the social worker/case manager.

**Unengaged:** The caregiver refuses to or is unable to comply with the social worker/case manager's instructions or requests.

**N/A:** The patient has no primary caregiver, family or significant support network.

**3. Level of Understanding of the Injury and its Consequences**  
Circle the level of understanding that **best** describes the primary caregiver. Options include:

**Anticipatory Understanding:** The caregiver is able to anticipate that a problem will occur as the result of some deficit. The ability to anticipate the occurrence of a problem requires knowledge that a problem exists and recognition of problems that occur. The caregiver is able to acknowledge cognitive and physical consequences of the brain injury.

**Emergent Understanding:** The caregiver is developing the ability to apply intellectual knowledge in some situations. He/she is learning to respond to changes in patient status and relate these changes to the brain injury, as well as to recognize and act on problems as they occur. For example, (1) the caregiver may understand the patient's physical deficits, but is still trying to understand the associated cognitive deficits or (2) if a patient is over-stimulated by having too many people in the room, the caregiver realizes he/she needs to clear the room.

**Intellectual Understanding:** The caregiver is able to verbalize general information about brain injuries, but is not able to apply the knowledge to specific situations with the patient.

**Limited Understanding:** When prompted, the caregiver can pinpoint things that are different about the patient, but does not associate them with a brain injury. He/she may normalize the patient's behavior ("Mom was always like that") or seek alternate explanations for changes ("He's just acting like that because he isn't at home"). The caregiver does not understand the extent of the consequences of the injury.

**Unable to Complete:** Caregiver cannot communicate effectively enough for the SW/CM to determine his/her level of understanding. Alternatively, the patient has no family or primary caregiver.

**4. Primary Caregiver Not Yet Assigned**  
If a primary caregiver has not been identified within the first 72 hours of admission (time slot in which to complete this form), check the box in number 4.

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# TBI-PBE SW/CM DISCHARGE FORM v.10.6.08

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Clinician ID <input type="text"/> Patient Name <input type="text"/> Date <input type="text"/> / <input type="text"/> / <input type="text"/>	1. How many "family members" were "actively involved" with the patient during rehab? # <input type="text"/> 2. Is the family type "chaotic"? YES / NO <input type="checkbox"/>	
Answer 3-7 for the Primary Caregiver ONLY	3. What is his/her relationship to the patient? <input type="text"/> (Example: "Sister")	
5. Engagement with the Rehab Process: (Check one) <input type="checkbox"/> Engaged <input type="checkbox"/> Mostly Engaged <input type="checkbox"/> Somewhat Engaged <input type="checkbox"/> Minimally Engaged <input type="checkbox"/> Unengaged <input type="checkbox"/> N/A (No family/significant support network)	6. Level of Understanding of the Injury and its Consequences: (Check one) <input type="checkbox"/> Anticipatory Understanding <input type="checkbox"/> Emergent Understanding <input type="checkbox"/> Intellectual Understanding <input type="checkbox"/> Limited Understanding <input type="checkbox"/> Unable to Complete (No family/significant support network/cannot communicate sufficiently with therapist)	4. Did the majority of your interaction occur via phone? YES / NO <input type="checkbox"/> 7. Coping Style: (Check the 2 primary coping styles.) <input type="checkbox"/> Escape/Avoidance <input type="checkbox"/> Confrontational/Challenging <input type="checkbox"/> Problem-solving <input type="checkbox"/> Reliance on Outside Resources <input type="checkbox"/> Seeking Emotional/Instrumental Support
8. Limitations & Barriers to Rehab & Reaching Independence (Check ALL applicable barriers)		
<input type="checkbox"/> Culture <input type="checkbox"/> Language/Speaking <input type="checkbox"/> Medical Coverage <input type="checkbox"/> Citizenship Issues <input type="checkbox"/> Limited Community Resources (distance to outpatient, limited homecare/transport, etc.) <input type="checkbox"/> Physical Barriers (that caregiver, obese patient, incontinent, etc.) <input type="checkbox"/> Distance from Center <input type="checkbox"/> Limited Household Income <input type="checkbox"/> Psychosocial Issues <input type="checkbox"/> Education Level <input type="checkbox"/> Limited Social Resources <input type="checkbox"/> Substance/Alcohol Abuse <input type="checkbox"/> Envir. Barrier at Discharge Loc. <input type="checkbox"/> Literacy <input type="checkbox"/> Unrealistic Expectations of Rehab <input type="checkbox"/> Family Dynamics		
9. Referrals Identified by Discharge (Check ALL that apply)		
Home Health: <input type="checkbox"/> OT <input type="checkbox"/> PT <input type="checkbox"/> SLP <input type="checkbox"/> Aide <input type="checkbox"/> RN <input type="checkbox"/> SW <input type="checkbox"/> DME <input type="checkbox"/> Acute: <input type="checkbox"/> Care <input type="checkbox"/> Other Rehab Outpatient: <input type="checkbox"/> OT <input type="checkbox"/> PT <input type="checkbox"/> SLP <input type="checkbox"/> PSY <input type="checkbox"/> SW <input type="checkbox"/> TR <input type="checkbox"/> Day Rehab/Treatment <input type="checkbox"/> Aquatic Therapy <input type="checkbox"/> Voc Rehab Care: <input type="checkbox"/> Hospice/Palliative <input type="checkbox"/> Long Term <input type="checkbox"/> LTAC/Complex Continuing <input type="checkbox"/> Substance Abuse <input type="checkbox"/> VA Facility SNF: <input type="checkbox"/> Sub-acute Rehab <input type="checkbox"/> Skilled Nursing Facility Residential: <input type="checkbox"/> Group Home <input type="checkbox"/> Post-Acute Rehab <input type="checkbox"/> Shelter <input type="checkbox"/> Supported Living <input type="checkbox"/> TBI Community Community: <input type="checkbox"/> Case Management <input type="checkbox"/> Culturally Specific <input type="checkbox"/> Disabled Parking <input type="checkbox"/> Transport <input type="checkbox"/> School Assistance: <input type="checkbox"/> Homemaking <input type="checkbox"/> Meals-on-Wheels/Food Delivery <input type="checkbox"/> Pharmacy Services: <input type="checkbox"/> Aging <input type="checkbox"/> Brain Injury Association <input type="checkbox"/> Caregiver Support Groups <input type="checkbox"/> Legal Aid <input type="checkbox"/> Respite Financial: <input type="checkbox"/> Medicaid/Medi-Cal <input type="checkbox"/> Medicaid/Medi-Cal Waiver <input type="checkbox"/> SSI/SSI <input type="checkbox"/> State Welfare/Food Stamps		

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**DISCHARGE FORM-KEY**

The primary social worker or case manager assigned to the patient should complete this form within 72 hours of patient discharge. At the top of the form, write your assigned clinician ID, patient's name, and date. The discharge form has nine questions:

- How many family members, friends, etc. were "actively involved" with the patient during rehab?** Actively involved: refers to people who come to therapy, family trainings, and family conferences, will accept follow up care responsibilities, return calls, and discuss the patient's discharge plans with the rehab team.
- Is the family type "chaotic"?** Chaotic family: a family that does not function well as a unit, has poor communication among members, provides inconsistent information, has a lack of consensus, and does not work well together as a group.
- Caregiver's Communication Via Phone** Was the majority of communication with the primary caregiver conducted over the phone?
- Caregiver's Relationship to Patient** Clarify how the patient is related to the caregiver. Examples may include: sister, brother, mother, grandmother, girlfriend, son, roommate, neighbor, etc.
- Caregiver's Engagement with the Rehab Process** The goal of this scale is to capture the primary caregiver's level of engagement with the rehab process and with the rehab team over the entire rehabilitation. The scale measures the **average level** of the caregiver's interaction with the rehab team, so consider information provided from other team members. Select the level of engagement that **best** describes the caregiver. Options include:  
**Engaged:** The caregiver appears goal-directed. The caregiver proactively anticipates patient needs at discharge. The caregiver adjusts involvement based on feedback from the rehab team. The caregiver is willing to be involved in the goal making process, willing to accept agreed upon tasks, and demonstrates follow through. The caregiver is an active partner in the rehab process.  
**Mostly Engaged:** The caregiver is goal-directed, but the caregiver's goals are not consistent with the rehab team's goals. Caregiver does not consistently adjust involvement based on feedback from the rehab team. The caregiver is willing to accept agreed upon tasks, and demonstrates some follow through. The caregiver is active in the rehab process.  
**Somewhat Engaged:** The caregiver relies on the social worker/case manager to direct all tasks. The caregiver is receptive to accepting responsibility for some tasks. The caregiver benefits from encouragement and prompting to follow through with tasks. The caregiver may or may not initiate contact with the social worker/case manager.  
**Minimally Engaged:** The caregiver relies on the rehab team to set goals, and is often unresponsive. The caregiver requires frequent redirection, prompting, and encouragement to follow through with tasks. The caregiver does not initiate contact with the social worker/case manager.  
**Unengaged:** The caregiver refuses to or is unable to comply with the social worker/case manager's instructions or requests.  
**N/A:** The patient has no primary caregiver.
- Level of Understanding of the Injury and its Consequences** Circle the level of understanding that **best** describes the primary caregiver. Options include:  
**Anticipatory Understanding:** The caregiver is able to anticipate that a problem will occur as the result of some deficit. The ability to anticipate the occurrence of a problem requires knowledge that a problem exists and recognition of problems that occur. The caregiver is able to acknowledge cognitive and physical consequences of the brain injury.  
**Emergent Understanding:** The caregiver is developing the ability to apply intellectual knowledge in some situations. He/she is learning to respond to changes in patient status and relate these changes to the brain injury, as well as to recognize and act on problems as they occur. For example, (1) the caregiver may understand the patient's physical deficits, but is still trying to understand the associated cognitive deficits or (2) if a patient is over-stimulated by having too many people in the room, the caregiver realizes he/she needs to clear the room.  
**Intellectual Understanding:** The caregiver is able to verbalize general information about brain injuries, but is not able to apply the knowledge to specific situations with the patient.  
**Limited Understanding:** When prompted, the caregiver can pinpoint things that are different about the patient, but does not associate them with a brain injury. He/she may normalize the patient's behavior ("Mom was always like that") or seek alternate explanations for changes ("He's just acting like that because he left at home"). The caregiver does not understand extent of the consequences of the injury.  
**Unable to Complete:** Caregiver cannot communicate effectively enough for the SW/CM to determine his/her level of understanding. Alternatively, the patient has no family or primary caregiver.
- Caregiver's Coping Styles** Indicate the primary caregiver's two principal coping styles by checking the appropriate boxes. If only one style is appropriate, check only one box.  
**Escape/Avoidance:** This type of family rarely visits the patient, is unable to discuss the injury, and/or has difficulty discussing and planning for discharge.  
**Confrontational/Challenging:** This type of caregiver argues with or challenges the staff and/or others concerning what is best for the patient. Challenges may be indirect, such as critiquing one team member to another.  
**Problem-Solving:** This type of caregiver approaches the injury as a challenge and looks for ways to solve problems associated with rehab and discharge.  
**Reliance on Outside Resources:** This type of caregiver relies on others (rehab team members, lawyers, case workers, etc.) to make decisions for him/her concerning the patient.  
**Seeking Emotional/Instrumental Support:** This type of caregiver seeks assistance, information, advice, empathy, and/or emotional support from others, but the caregiver makes final decisions.
- Limitations and Barriers to Rehab and Reaching Independence** Circle the limitations and barriers to rehabilitation treatment and to continuing treatment post-discharge that you identified within the family during the patient's stay (all that apply).
- Referrals identified by discharge** Circle the referrals you made for patient and caregiver during the rehab stay. Select all relevant referrals.

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**DISCHARGE FORM-KEY**

The primary social worker or case manager assigned to the patient should complete this form within 72 hours of patient discharge. At the top of the form, write your assigned clinician ID, patient's name, and date. The discharge form has nine questions.

- 1. How many family members, friends, etc. were "actively involved" with the patient during rehab?** Actively involved refers to people who come to therapy, family trainings, and family conferences, will accept follow up care responsibilities, return calls, and discuss the patient's discharge plans with the rehab team.
- 2. Is the family type "chaotic"?** Chaotic family: a family that does not function well as a unit, has poor communication among members, provides inconsistent information, has a lack of consensus, and does not work well together as a group.
- 3. Caregiver's Communication Via Phone** Was the majority of communication with the primary caregiver conducted over the phone?
- 4. Caregiver's Relationship to Patient** Clarify how the patient is related to the caregiver. Examples may include: sister, brother, mother, grandmother, girlfriend, son, roommate, neighbor, etc.
- 5. Caregiver's Engagement with the Rehab Process** The goal of this scale is to capture the primary caregiver's level of engagement with the rehab process and with the rehab team over the entire rehabilitation. The scale measures the average level of the caregiver's interaction with the rehab team, so consider information provided from other team members. Select the level of engagement that **best** describes the caregiver. Options include:  
**Engaged:** The caregiver appears goal-directed. The caregiver proactively anticipates patient needs at discharge. The caregiver adjusts involvement based on feedback from the rehab team. The caregiver is willing to be involved in the goal making process, willing to accept agreed upon tasks, and demonstrates follow through. The caregiver is an active partner in the rehab process.  
**Mostly Engaged:** The caregiver is goal-directed, but the caregiver's goals are not consistent with the rehab team's goals. Caregiver does not consistently adjust involvement based on feedback from the rehab team. The caregiver is willing to accept agreed upon tasks, and demonstrates some follow through. The caregiver is active in the rehab process.  
**Somewhat Engaged:** The caregiver relies on the social work/case manager to direct all tasks. The caregiver is receptive to accepting responsibility for some tasks. The caregiver benefits from encouragement and prompting to follow through with tasks. The caregiver may or may not initiate contact with the social work/case manager.  
**Minimally Engaged:** The caregiver relies on the rehab team to set goals, and is often unresponsive. The caregiver requires frequent redirection, prompting, and encouragement to follow through with tasks. The caregiver does not initiate contact with the social work/case manager.  
**Unengaged:** The caregiver refuses to or is unable to comply with the social work/case manager's instructions or requests.  
**N/A:** The patient has no primary caregiver.

**6. Level of Understanding of the Injury and its Consequences** Circle the level of understanding that **best** describes the primary caregiver. Options include:  
**Anticipatory Understanding:** The caregiver is able to anticipate that a problem will occur as the result of some deficit. The ability to anticipate the occurrence of a problem requires knowledge that a problem exists and recognition of problems that occur. The caregiver is able to acknowledge cognitive and physical consequences of the brain injury.  
**Emergent Understanding:** The caregiver is developing the ability to apply intellectual knowledge in some situations. He/she is learning to respond to changes in patient status and relate these changes to the brain injury, as well as to recognize and act on problems as they occur. For example, (1) the caregiver may understand the patient's physical deficits, but is still trying to understand the associated cognitive deficits or (2) a patient is over-stimulated by having too many people in the room, the caregiver realizes he/she needs to clear the room.  
**Intellectual Understanding:** The caregiver is able to verbalize general information about brain injuries, but is not able to apply the knowledge to specific situations with the patient.  
**Limited Understanding:** When prompted, the caregiver can pinpoint things that are different about the patient, but does not associate them with a brain injury. He/she may normalize the patient's behavior ("Mom was always like that") or seek alternate explanations for changes ("He's just acting like that because he isn't at home"). The caregiver does not understand extent of the consequences of the injury.  
**Unable to Complete:** Caregiver cannot communicate effectively enough for the SW/CM to determine his/her level of understanding. Alternatively, the patient has no family or primary caregiver.

**7. Caregiver's Coping Styles** Indicate the primary caregiver's two principal coping styles by checking the appropriate boxes. If only one style is appropriate, check only one box.  
**Escape/Avoidance:** This type of family rarely visits the patient, is unable to discuss the injury, and/or has difficulty discussing and planning for discharge.  
**Confrontational/Challenging:** This type of caregiver argues with or challenges the staff and/or others concerning what is best for the patient. Challenges may be indirect, such as outguing one team member to another.  
**Problem-Solving:** This type of caregiver approaches the injury as a challenge and looks for ways to solve problems associated with rehab and discharge.  
**Reliance on Outside Resources:** This type of caregiver relies on others (inhab team members, lawyers, case workers, etc.) to make decisions for him/her concerning the patient.  
**Seeking Emotional/Instrumental Support:** This type of caregiver seeks assistance, information, advice, sympathy, and/or emotional support from others, but the caregiver makes final decisions.

**8. Limitations and Barriers to Rehab and Reaching Independence** Circle the limitations and barriers to rehabilitation treatment and to continuing treatment post-discharge that you identified within the family during the patient's stay (all that apply).

**9. Referrals Identified by discharge** Circle the referrals you made for patient and caregiver during the rehab stay. Select all relevant referrals.

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### TBI-PBE Social Work/Case Management Form v.10.6.08

<b>Weekly Information</b>	<b>Family Care Conference</b>	<b>Family Care Conference: A formal, scheduled meeting with family &amp; rehab team (patient may or may not be present) to discuss rehab care plan &amp; discharge plan.</b>
Patient Name: _____	Time Spent: Patient Present	<b>Team Meeting: A weekly or daily meeting with the rehab team to discuss rehab care planning, team goals, discharge goals, barriers to goals/discharge, LOC, &amp; patient needs upon discharge. [Document below]</b>
Date Week Starts: / / (Monday)	minutes Yes No (circle one)	
Clinician ID: _____		

Time Spent (in minutes):	Patient	Family	Patient & Family	Staff	Staff & Patient &/ or Family	Behalf of Patient
<b>Monday</b>						
Psychosocial Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Discharge Planning/Referrals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Crisis Intervention	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Utilization Review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Patient Advocacy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Education/Support	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Time Spent in Team Meeting: <input type="text"/> minutes					
<b>Tuesday</b>						
Psychosocial Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Discharge Planning/Referrals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Crisis Intervention	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Utilization Review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Patient Advocacy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Education/Support	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Time Spent in Team Meeting: <input type="text"/> minutes					
<b>Wednesday</b>						
Psychosocial Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Discharge Planning/Referrals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Crisis Intervention	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Utilization Review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Patient Advocacy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Education/Support	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Time Spent in Team Meeting: <input type="text"/> minutes					
<b>Thursday</b>						
Psychosocial Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Discharge Planning/Referrals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Crisis Intervention	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Utilization Review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Patient Advocacy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Education/Support	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Time Spent in Team Meeting: <input type="text"/> minutes					
<b>Friday</b>						
Psychosocial Assessment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Discharge Planning/Referrals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Crisis Intervention	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Utilization Review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Patient Advocacy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Education/Support	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Time Spent in Team Meeting: <input type="text"/> minutes					

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**Table 1**

## Participating Rehabilitation Centers

<b>Facility</b>	<b>Location</b>
Wexner Medical Center*	Columbus, OH
Carolinas Rehabilitation, Carolinas HealthCare System*	Charlotte, NC
Mount Sinai Medical Center*	New York, NY
National Rehabilitation Hospital	Washington, DC
Shepherd Center	Atlanta, GA
Intermountain Medical Center	Salt Lake City, UT
Rush University Medical Center	Chicago, IL
Brooks Rehabilitation Hospital	Jacksonville, FL
Loma Linda University Rehabilitation Institute	Loma Linda, CA
Toronto Rehabilitation Institute	Toronto, Ontario

\* TBI Model System center

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**Table 2**

Patient Characteristics by Admission FIM Cognitive Score Subgroup

Characteristics	Overall (n=2130)	Admission FIM Cognitive Score*					P
		≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	≥21 (n=504)	
<b>Demographics</b>							
Male (%)	72.5	71.7	76.5	75.8	71.3	67.7	0.018 †
Age at rehabilitation admission (mean, SD)	44.5 (21.3)	43.0 (21.9)	42.3 (20.0)	43.1 (20.9)	46.9 (21.6)	46.8 (21.8)	<.001 ‡
Race/Ethnicity (%)							
Black	15.1	15.3	13.9	16.8	15.4	13.9	0.083 †
White non Hispanic	74.4	77.9	73.5	73.5	74.3	73.4	
White Hispanic	6.2	5.0	8.0	5.7	6.6	5.8	
Other and unknown§	4.4	1.8	4.5	4.0	3.7	6.9	
Highest education achieved (%)							
Some high school, no diploma	23.0	20.4	26.2	25.3	26.5	17.5	0.008 †
High school diploma	25.9	25.1	27.5	28.1	25.7	22.6	
Work towards or completed Associate's degree	16.2	15.9	13.9	14.9	17.9	18.1	
Work towards or completed Bachelor's degree	19.7	21.2	20.3	18.8	15.9	22.0	
Work towards or completed Master's/Doctoral degree	9.7	11.5	8.0	8.3	8.3	12.1	
Unknown	5.7	5.9	4.0	4.6	5.6	7.7	
Marital status prior to injury (%)							
Single/never married	42.6	43.7	44.9	44.8	38.2	40.9	0.267 †
Married/common law	36.5	36.3	35.6	35.8	37.0	37.9	
Previously married	17.5	16.2	15.5	16.2	22.5	17.1	
Other/unknown //	3.5	3.8	4.0	3.2	2.2	4.2	
Occupation prior to injury (%)							
Employed and student	4.0	4.1	4.0	4.4	2.9	4.4	0.006 †
Employed only	47.1	45.4	48.4	47.5	43.9	49.4	
Unemployed	13.3	13.6	15.8	13.7	14.2	10.3	
Retired	23.1	20.9	17.9	21.0	28.4	26.4	
Student only	11.4	13.6	12.0	12.7	9.6	9.3	

Characteristics	Admission FIM Cognitive Score*						P
	Overall (n=2130)	≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	≥21 (n=504)	
Unknown	1.1	2.4	1.9	0.6	1.0	0.2	
Able to drive before injury (%)							0.128 †
Yes	73.1	70.2	75.7	75.6	69.9	73.0	
No	10.8	9.4	10.7	9.5	13.9	10.5	
Unknown	16.1	20.4	13.6	14.9	16.2	16.5	
Primary payer (%)							<.0001 †
Medicare	19.4	18.0	15.0	18.4	23.0	22.2	
Medicaid	15.5	20.9	18.2	16.4	17.2	7.7	
Private insurance	24.5	26.3	24.6	30.1	24.0	17.9	
Centralized (single payer system)	6.9	0.3	1.9	2.4	6.4	20.2	
Worker's compensation	6.8	5.9	8.6	6.7	6.1	6.5	
Self pay	2.2	0.9	3.5	3.4	1.5	1.4	
MCO/HMO	14.3	13.9	18.4	14.1	13.2	12.3	
No-fault auto insurance	4.5	7.1	4.0	3.2	2.9	5.8	
None	2.4	3.2	2.4	2.0	2.0	2.8	
Other/unknown	3.4	3.5	3.5	3.2	3.7	3.0	
Secondary payer (%)							<.0001 †
Medicare	1.8	2.1	1.3	1.6	2.7	1.4	
Medicaid	4.2	4.4	4.5	4.8	4.2	3.2	
Private insurance	12.7	14.5	8.8	8.5	14.2	17.3	
Worker's compensation	0.3	0.0	0.0	0.2	0.2	0.8	
Self pay	3.4	1.2	4.8	4.9	2.9	2.6	
MCO/HMO	2.4	3.5	1.6	2.6	1.7	2.4	
No-fault auto insurance	6.7	8.9	5.3	6.3	6.6	6.5	
None	42.0	51.0	45.2	42.1	38.0	36.9	
Other/unknown	26.7	14.5	28.3	29.1	29.4	29.0	
Admission body mass index (%)							<.0001 †
<16	1.4	2.4	2.1	1.6	1.0	0.4	
16-≤18.5	8.5	11.8	11.0	8.1	8.1	5.2	
>18.5-≤25	49.7	55.5	55.1	50.5	46.3	43.1	

Characteristics	Overall (n=2130)	Admission FIM Cognitive Score*					P
		≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	≥21 (n=504)	
>25-≤30	23.6	18.0	18.7	25.1	26.5	27.6	
>30-≤35	7.9	6.5	7.5	7.9	8.3	9.1	
>35-≤40	2.3	1.8	1.3	2.4	1.7	3.6	
>40	1.3	0.0	1.3	1.2	1.2	2.4	
Unknown	5.3	4.1	2.9	3.2	6.9	8.7	
<b>Pre-existing and co-existing conditions</b>							
History of alcohol use before injury (%)	44.6	38.3	50.0	48.1	44.6	41.1	0.005 †
Alcohol use at time of injury (%)	19.1	18.6	17.4	20.2	20.1	18.5	0.816 †
History of alcohol abuse before injury (%)	35.6	30.4	36.9	39.0	37.3	33.7	0.091 †
History of drug abuse before injury (%)	20.5	17.7	22.5	20.8	25.0	17.1	0.024 †
Drug abuse at time of injury (%)	6.4	7.7	8.0	5.9	6.9	4.6	0.217 †
ADHD (%)	7.6	6.2	7.2	7.9	8.6	7.7	0.799 †
Anxiety (%) †	40.9	32.5	46.5	45.3	41.4	37.5	<.001 †
CAD (%)	8.9	7.1	6.4	10.3	9.8	9.9	0.166 †
CHF (%)	3.7	1.8	4.3	4.4	3.2	4.4	0.235 †
Depression (%) †	48.9	47.5	52.9	54.1	49.8	41.1	<.001 †
Diabetes (%)	16.8	21.2	15.2	21.0	14.2	12.9	<.001 †
Hypertension (%)	43.5	46.6	41.7	44.8	45.3	39.9	0.246 †
Paralysis (%)	38.0	47.5	45.5	43.6	30.2	26.8	<.001 †
Renal failure (%)	8.4	8.6	8.6	8.1	7.8	8.7	0.989 †
Previous brain injury (%)	8.9	5.6	7.2	9.9	12.0	8.9	0.023 †
Number of previous brain injuries (mean, SD) #	1.3 (0.7)	1.3 (0.7)	1.3 (0.6)	1.3 (0.6)	1.4 (1.1)	1.2 (0.5)	0.649 †
Tracheotomy or ventilation on admission (%)	22.1	51.0	34.0	22.2	7.6	5.4	<.001 †
<b>Brain injury and severity information</b>							
Cause of injury (%)							
Fall	31.9	28.0	26.2	30.1	35.0	38.3	0.001 †
Motor vehicle crash	55.6	63.7	57.8	57.2	52.5	49.2	

Characteristics	Overall (n=2130)	Admission FIM Cognitive Score*					P
		≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	≥21 (n=504)	
Sports	1.8	1.2	2.4	1.0	2.2	2.4	
Violence	7.0	4.4	7.8	8.3	7.1	7.1	
Miscellaneous	3.6	2.7	5.9	3.4	3.2	3.0	
GCS score immediately after injury or upon arrival in acute care (%)							<.001 †
Mild (13-15)	14.7	6.8	9.1	11.1	17.9	25.6	
Moderate (9-12)	7.7	4.1	4.8	8.3	7.6	12.1	
Severe (3-8)	32.3	46.0	42.0	35.4	24.5	18.8	
Intubated/sedated	12.2	12.4	15.0	11.7	13.7	8.7	
Unknown	33.0	30.7	29.1	33.5	36.3	34.7	
Nature of brain injury (%)							0.045 †
Skull closed, contusion/hemorrhage present	71.1	74.9	72.7	69.7	71.6	68.8	
Skull closed, no contusion/hemorrhage	21.6	15.3	19.5	24.0	21.6	24.6	
Skull open, contusion/hemorrhage present	7.3	9.7	7.8	6.3	6.9	6.5	
Facial fracture (%)	13.6	10.3	16.8	14.3	15.9	10.9	0.020 †
Skull fracture (%)	26.8	25.1	32.6	28.3	25.7	23.0	0.022 †
Brain injury location (%)							0.034 †
Bilateral brain involvement	64.2	64.9	68.7	61.8	64.7	62.3	
Left brain involvement only	18.4	22.4	16.3	20.0	16.4	17.3	
Right brain involvement only	17.5	12.7	15.0	18.2	18.9	20.4	
Midline shift (%)							<.001 †
No midline shift	30.5	22.4	23.8	26.5	35.8	40.9	
>0-≤5 mm of midline shift	12.4	13.6	13.6	12.5	12.5	10.9	
>5 mm of midline shift	12.1	13.9	17.4	11.3	11.0	8.5	
Midline shift, mm not specified	11.1	15.3	9.1	10.7	9.6	11.5	
Unknown	33.9	34.8	36.1	39.0	31.1	28.2	
Subdural hematoma (%)	46.8	49.3	52.1	46.5	45.6	42.9	0.075 †
Epidural hematoma (%)	8.2	8.8	8.3	8.9	6.4	7.9	0.672 †
Subarachnoid hemorrhage (%)	59.2	71.1	65.0	58.2	55.1	51.0	<.001 †

Characteristics	Admission FIM Cognitive Score*					P	
	Overall (n=2130)	≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)		≥21 (n=504)
Intraventricular hemorrhage (%)	18.6	29.2	23.8	18.0	14.2	11.7	<.001 †
Brain stem involved at injury (%)	5.7	7.7	6.1	5.3	4.4	5.2	0.362 †
Craniotomy during care episode (%)	20.3	18.6	24.1	20.6	20.6	18.5	0.289 †
Craniectomy during care episode (%)	7.2	12.7	9.6	6.9	2.9	5.4	<.001 †
Weight bearing precaution during rehabilitation (%)	26.0	25.1	24.3	21.8	30.4	27.8	0.038 †
Days from injury to rehabilitation admission (mean, SD)	29.3 (34.3)	36.5 (38.7)	34.2 (37.6)	27.5 (32.3)	26 (33.5)	24.9 (30.1)	<.001 ‡
Brain injury component of admission CSI score (mean, SD)	44.7 (23.7)	71.4 (19.3)	62 (17.6)	47.7 (14.3)	33.2 (12.6)	19.7 (10.0)	<.001 ‡
Non-brain injury component of admission CSI score (mean, SD)	16.9 (15.0)	19.1 (16.1)	20.3 (15.2)	18.1 (14.7)	16.1 (15.7)	12.2 (11.9)	<.001 ‡
Moderate to severe dysphagia on admission (%)	53.4	89.1	75.4	56.4	36.0	23.4	<.001 †
Moderate to severe aphasia on admission (%)	46.5	74.3	68.2	50.3	37.3	15.1	<.001 †
Moderate to severe ataxia on admission (%)	15.4	21.8	21.4	17.0	12.7	6.5	<.001 †
<b>Functional independence measures</b>							
Admission FIM motor score - untransformed (mean, SD)	34.7 (19.7)	17.3 (8.8)	24.0 (13.1)	33.5 (16.2)	40.8 (16.4)	50.8 (20.0)	<.001 ‡
Admission FIM motor score - Rasch transformed (mean, SD)	33.2 (19.3)	11.5 (14.5)	23.2 (16.4)	34.2 (14.8)	40.5 (12.5)	48.3 (15.2)	<.001 ‡
Admission FIM cognitive score - untransformed (mean, SD)	14.8 (7.2)	5.3 (0.4)	8.6 (1.1)	13.1 (1.4)	17.9 (1.4)	24.9 (3.3)	<.001 ‡
Admission FIM cognitive score - Rasch transformed (mean, SD)	37.2 (19.5)	2.5 (4.4)	25.7 (4.8)	38.4 (3.0)	47.5 (2.4)	59.6 (7.7)	<.001 ‡

NOTE: Abbreviations: MCO/HMO, Managed care organization/Health maintenance organization; CHF, Congestive heart failure; CAD, Coronary artery disease; ADHD, Attention deficit hyperactivity disorder; GCS, Glasgow Coma Scale; CSI, Comprehensive Severity Index;

\* n=10 patients missing admission FIM cognitive score.

† Chi-Square analysis.

‡ Analysis of variance test.

§ Miscellaneous includes 69 Asians, 8 Native Americans, 7 Pacific Islanders, and 3 with unknown race.

// Other/unknown includes 62 Separated status, 2 listed as Significant Other, and 10 with unknown or missing status.

¶ Includes symptoms existing during rehab.

# Data include only patients who had previous brain injury before the current injury requiring rehabilitation.

**Table 3**

Rehabilitation Treatments by Admission FIM Cognitive Score Subgroup

Characteristics	Admission FIM Cognitive Score*					P	
	Overall (n=2130)	≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)		≥21 (n=504)
<b>Selected non-therapy treatments</b>							
Restraints used (%) †	56.7	85.0	76.5	64.2	44.9	25.0	<.001 ‡
Number of days of restraint use (mean, SD)§	23.8 (20.6)	32.9 (29.1)	27.6 (19.2)	21.4 (15.0)	16.6 (12.9)	11.2 (8.7)	<.001 //
Sitter used (%)	20.6	33.9	28.1	23.6	16.4	6.6	<.001 ‡
Number of days of sitter use (mean, SD)§	14.9 (14.9)	22.2 (19.2)	15.3 (13.9)	11.2 (10.1)	10.0 (8.4)	11.0 (16.8)	<.001 //
Enteral nutrition (%)	36.1	77.6	58.3	35.8	15.9	8.3	<.001 ‡
Number of days of enteral nutrition (mean, SD)§	20.3 (17.9)	26.6 (20.1)	19.5 (15.4)	15.9 (17.6)	12.9 (10.0)	11.9 (12.4)	<.001 //
Parenteral nutrition administered (%)	6.1	13.9	9.4	6.1	2.5	0.8	<.001 ‡
<b>Medications</b>							
Medications administered (%)							
Analgesic narcotic/opioid	74.3	75.9	75.9	74.5	75.9	70.2	0.214 ‡
Analgesic non-narcotic	80.2	87.0	83.2	80.7	78.6	74.4	<.001 ‡
Anticholinergic	52.4	76.5	61.0	48.1	46.3	38.7	<.001 ‡
Anticoagulant	72.1	85.2	80.5	78.4	68.7	53.8	<.001 ‡
Anticonvulsant	50.1	54.8	53.4	49.8	49.5	44.6	0.033 ‡
Antidepressant	69.2	81.3	77.8	69.3	69.9	53.8	<.001 ‡
Antitumor	73.9	83.4	80.8	77.4	73.9	58.8	<.001 ‡
Trazadone	54.8	68.1	65.9	56.6	52.2	37.5	<.001 ‡
<b>Therapy activities</b>							
% of study population receiving any PT	99.3	100.0	99.7	99.0	99.5	98.8	0.172 ‡
Total minutes/week (mean, SD)§	314.2 (109.5)	343.8 (95.0)	319.2 (98.8)	308.7 (98.5)	304.9 (115.0)	303.3 (127.6)	<.001 //
% of study population receiving any OT	99.2	99.7	99.5	99.2	99.5	98.4	0.212 ‡
Total minutes/week (mean, SD)§	298.1 (101.3)	321.8 (89.6)	304.5 (88.4)	299.3 (92.1)	283.9 (107.9)	287.5 (117.1)	<.001 //
% of study population receiving any speech language pathology	96.7	100.0	98.9	98.4	97.3	90.7	<.001 ‡

Characteristics	Admission FIM Cognitive Score*					P	
	Overall (n=2130)	≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)		≥21 (n=504)
Total minutes/week (mean, SD) <sup>§</sup>	253.6 (114.9)	268 (108.1)	290.5 (99.7)	281.5 (109.4)	239.9 (107.8)	194.2 (118.8)	<.001 //
% of study population receiving any psychology	75.2	71.4	82.1	84.0	77.7	61.7	<.001 †
Total minutes/week (mean, SD) <sup>§</sup>	85 (82.0)	56.5 (62.0)	71.7 (62.3)	97.6 (75.8)	92.2 (91.8)	96.3 (101.9)	<.001 //
% of study population receiving any recreational therapy	72.0	71.7	78.6	82.2	71.8	57.3	<.001 †
Total minutes/week (mean, SD) <sup>§</sup>	91.6 (71.2)	66.4 (50.5)	87.6 (62.4)	105 (79.8)	102.5 (71.8)	87 (75.1)	<.001 //
% of study population receiving any social work/case management services	84.1	89.1	82.1	83.6	84.3	82.3	0.069 †
Total minutes/week (mean, SD) <sup>§</sup>	118.5 (74.7)	101.3 (64.2)	106.1 (73.3)	117.6 (66.8)	126.3 (72.5)	133.7 (87.5)	<.001 //
PT activities (Three most frequently used)							
Therapeutic exercise							
% patients receiving	95.0	98.2	97.3	94.9	94.1	91.7	<.001 †
Minutes per week (mean, SD) <sup>§</sup>	56 (41.9)	50.7 (35.0)	45 (33.0)	49 (37.9)	59.2 (40.3)	73.5 (51.6)	<.001 //
Gait training							
% patients receiving	88.2	89.7	92.8	90.3	88.7	81.2	<.001 †
Minutes per week (mean, SD) <sup>§</sup>	80.6 (70.3)	92.4 (71.7)	75.4 (61.4)	75 (61.0)	77 (68.4)	86.1 (85.1)	0.002 //
Standing							
% patients receiving	83.8	95.3	94.4	87.3	78.4	69.0	<.001 †
Minutes per week (mean, SD) <sup>§</sup>	31.4 (23.2)	33.5 (22.1)	31.4 (20.7)	31.3 (22.6)	30.2 (25.8)	30.5 (24.7)	0.411 //
OT activities (Three most frequently used)							
Cognitive activity							
% patients receiving	91.2	97.3	95.5	92.3	90.7	82.9	<.001 †
Minutes per week (mean, SD) <sup>§</sup>	68.9 (61.4)	83 (67.7)	66.1 (57.5)	66.2 (60.1)	65.3 (58.3)	67.1 (62.9)	<.001 //
Lower body dressing							
% patients receiving	82.0	92.9	95.7	86.7	79.7	61.5	<.001 †
Minutes per week (mean, SD) <sup>§</sup>	16.5 (12.9)	17.3 (11.7)	16.1 (11.5)	14.9 (11.3)	16.7 (13.6)	18 (16.4)	0.014 //
Upper extremity activity							
% patients receiving	79.6	92.9	84.5	82.6	76.7	66.3	<.001 †
Minutes per week (mean, SD) <sup>§</sup>	49.1 (42.4)	46.2 (40.4)	47.2 (36.9)	43.8 (35.6)	51.2 (40.4)	57.7 (55.6)	<.001 //

Characteristics	Admission FIM Cognitive Score*					P
	Overall (n=2130)	≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	
Speech language pathology activities (Three most frequently used):						
Education						
% patients receiving	72.4	79.4	79.9	79.0	70.8	56.7 <.001 ‡
Minutes per week (mean, SD)§	17.9 (14.1)	13.2 (12.3)	15.5 (11.7)	18.9 (13.7)	20.2 (13.9)	21.3 (17.1) <.001 //
Verbal reasoning						
% patients receiving	62.6	63.7	65.5	74.9	66.7	44.8 <.001 ‡
Minutes per week (mean, SD)§	19.8 (18.0)	11.6 (10.9)	18.4 (16.3)	22.6 (19.4)	21.1 (19.2)	23 (19.1) <.001 //
Verbal orientation review						
% patients receiving	59.6	87.6	84.0	70.7	45.1	22.8 <.001 ‡
Minutes per week (mean, SD)§	19.6 (18.2)	22.7 (19.9)	23.3 (19.6)	18.2 (17.2)	14.6 (13.3)	12.1 (11.8) <.001 //
Psychology activities (Three most frequently used):						
Neurobehavioral assessment						
% patients receiving	57.3	55.5	67.1	69.3	56.4	40.1 <.001 ‡
Minutes per week (mean, SD)§	19.2 (16.9)	18.3 (18.2)	21.4 (16.2)	20.3 (14.6)	17.1 (20.7)	17.8 (15.4) 0.023//
Psychotherapeutic and behavior intervention						
% patients receiving	44.6	37.8	50.5	55.4	46.6	31.9 <.001 ‡
Minutes per week (mean, SD)§	22.6 (22.0)	14.5 (14.0)	18.7 (16.6)	24.6 (20.0)	24.8 (22.8)	28 (31.1) <.001 //
Neuropsychological testing						
% patients receiving	38.5	23.9	36.6	53.1	43.1	31.5 <.001 ‡
Minutes per week (mean, SD)§	38.5 (36.9)	19.5 (16.9)	27.6 (21.3)	40.8 (32.4)	39.5 (34.1)	52.7 (54.5) <.001 //
Recreational therapy activities (Three most frequently used):						
Board/table top games						
% patients receiving	31.8	32.7	34.0	38.4	34.3	21.2 <.001 ‡
Minutes per week (mean, SD)§	25.3 (23.1)	14.3 (12.9)	21.1 (19.0)	23.1 (21.4)	31.5 (22.8)	38.1 (30.4) <.001 //
Card games						
% patients receiving	27.5	28.9	33.2	31.3	31.6	15.5 <.001 ‡
Minutes per week (mean, SD)§	29 (28.3)	15.7 (15.0)	25.8 (27.7)	30.3 (29.0)	36.9 (31.7)	35.8 (28.7) <.001 //



Characteristics	Admission FIM Cognitive Score*					P
	Overall (n=2130)	≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	
Community reintegration						
% patients receiving	24.4	26.5	27.8	33.9	21.8	12.5
Minutes per week (mean, SD)§	57.9 (47.2)	29.9 (22.6)	46.9 (32.2)	63.5 (47.0)	66.1 (43.0)	92.2 (69.4)
Social work/case management activities (Three most frequently used):						
Team meetings						
% patients receiving	80.3	87.9	79.9	82.6	79.2	74.0
Minutes per week (mean, SD)§	10.4 (6.4)	9.6 (5.3)	10.6 (6.3)	10.4 (5.8)	10.2 (6.2)	10.9 (7.9)
Discharge planning for patient						
% patients receiving	68.0	76.4	69.0	67.5	67.6	62.5
Minutes per week (mean, SD)§	21.3 (20.7)	15 (17.8)	18.7 (17.1)	21.2 (19.1)	22.7 (20.9)	28 (25.0)
Education/support for family						
% patients receiving	54.5	75.8	58.3	56.2	51.2	38.1
Minutes per week (mean, SD)§	18 (16.9)	16.1 (16.3)	18.6 (17.8)	19.2 (17.4)	19.9 (18.9)	15.6 (12.5)
<b>Therapist experience</b>						
<b>Clinician Experience Index in years (mean, SD)</b>						
Overall	4.8 (3.1)	4.6 (3.3)	4.3 (2.6)	4.6 (2.8)	5.2 (3.6)	5.0 (3.0)
Physical therapy	4.0 (4.4)	3.8 (4.0)	3.8 (4.7)	3.7 (3.9)	4.4 (4.7)	4.2 (4.9)
Occupational therapy	3.1 (3.0)	3.3 (2.9)	2.8 (2.6)	2.9 (2.9)	2.9 (3.0)	3.6 (3.5)
Speech language pathology	5.1 (3.1)	4.7 (2.9)	4.8 (3.1)	4.8 (3.1)	5.4 (3.1)	5.7 (3.3)
Recreational therapy	1.9 (2.1)	1.7 (2.0)	1.8 (2.1)	1.8 (2.2)	1.8 (2.0)	2.1 (2.3)
Psychology	4.5 (5.3)	4.9 (5.6)	4.3 (4.6)	4.3 (4.6)	5.1 (6.3)	4.2 (5.6)
Social work	8.7 (9.0)	8.9 (8.9)	8.2 (8.4)	9.1 (9.4)	9.3 (9.9)	8.3 (8.1)

NOTE:

\* n=10 patients missing admission FIM cognitive score.

† Restraint types include: posey rolls and vests, posey Swedish locking belt-beds, abdominal binders, bed alarms, bed side rails, bed nets/enclosures, cameras, bed, lap and, seat belts, mitts, limb holders, and wander guards.

‡ Chi-Square analysis.

§ Data include only patients who had the specified treatment.

// Analysis of variance test.

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**Table 4**  
**Events and Patient Characteristics during Rehabilitation by Admission FIM Cognitive Score Subgroup**

Characteristics	Admission FIM Cognitive Score*					P
	Overall (n=2130)	≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	
<b>Severity information</b>						
Maximum brain injury component of CSI score (mean, SD)	48.4 (24.8)	77.2 (18.3)	66.8 (16.6)	50.8 (15.6)	36.1 (13.3)	22 (10.2) <.001 †
Maximum non-brain injury component of CSI score (mean, SD)	24.8 (20.9)	30.6 (23.5)	30.7 (21.7)	25.8 (20.7)	22.7 (20.3)	16.8 (15.4) <.001 †
Moderate/severe dysphagia (%)	54.0	90.0	76.2	56.8	37.0	23.6 <.001 †
Moderate/severe aphasia (%)	47.8	76.4	69.3	50.9	38.7	16.5 <.001 †
Moderate/severe ataxia (%)	15.9	23.6	21.7	17.2	13.2	6.5 <.001 †
Days from injury to clearing PTA (mean, SD)	37.6 (42.7)	70.7 (54.4)	56.4 (45.9)	35.6 (36.1)	19.9 (23.1)	10.6 (14.0) <.001 †
Time of PTA clearance (%)						<.001 †
Prior to rehabilitation	42.2	2.1	8.3	27.9	66.2	89.1
During rehabilitation	34.3	44.5	48.4	49.7	26.2	8.3
After rehabilitation discharge	23.5	53.4	43.3	22.4	7.6	2.6
Days from rehabilitation admission to clearing PTA (for patients who cleared PTA during rehabilitation)	15.6 (13.5)	25.2 (16.9)	17.9 (13.7)	12.4 (9.9)	8.9 (7.2)	7.4 (5.0) <.001 †
<b>Pain, agitation, and falls</b>						
Pain (mean, SD)						
Percent of days with pain score ≥1	38.3 (32.8)	26.2 (23.8)	32.2 (27.8)	39.3 (32.6)	43.3 (34.7)	45.6 (36.9) <.001 †
Percent of days with pain score ≥3	32.9 (32.6)	18.6 (21.2)	26.8 (27.1)	35.0 (32.2)	39.3 (34.7)	39.8 (37.4) <.001 †
Percent of days with pain score ≥5	27.9 (30.9)	14.1 (18.3)	21.7 (24.9)	30.2 (30.5)	34.3 (33.4)	34.3 (35.8) <.001 †
Percent of days with pain score ≥7	17.2 (25.3)	7.0 (12.5)	11.5 (18.3)	18.1 (24.1)	24.2 (30.2)	21.6 (29.8) <.001 †
Average high pain score §						
Percent of rehabilitation days agitated (mean, SD)¶	4.6 (2.8)	4.0 (2.7)	4.6 (2.6)	5.0 (2.6)	5.1 (3.0)	4.3 (3.1) <.001 †
Average of three highest ABS scores (mean, SD)	8.9 (19.4)	18.8 (25.2)	15.9 (25.1)	8.5 (17.8)	4.1 (12.9)	1.2 (7.2) <.001 †
Fall (%)	21.8 (8.5)	27 (9.8)	25.6 (9.5)	22.6 (7.8)	19.2 (6.2)	16.6 (4.0) <.001 †
Number of falls (mean, SD)¶¶						
Fall with injury (%)	6.5	11.8	9.4	6.1	4.4	3.2 <.001 †
	1.2 (0.6)	1.3 (0.6)	1.2 (0.6)	1.1 (0.3)	1.4 (1.0)	1.0 (0.0) 0.147 †
	2.0	3.2	3.7	1.2	1.5	1.2 0.016 †

Characteristics	Admission FIM Cognitive Score*					P
	Overall (n=2130)	≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	
Number of falls with injury (mean, SD) <sup>†</sup>	1.1 (0.3)	1.1 (0.3)	1.2 (0.4)	1.0 (0.0)	1.0 (0.0)	0.394 <sup>‡</sup>

NOTE: Abbreviations: CSI, Comprehensive Severity Index; PTA, Post traumatic amnesia; ABS, agitated behavior scale;

\* n=10 patients missing admission FIM cognitive score.

<sup>†</sup> Analysis of variance test.

<sup>‡</sup> Chi-Square analysis.

<sup>§</sup> Average of highest of 3 daily pain scores over rehabilitation stay.

// Percent of rehabilitation days agitated, starting with the beginning of the first bout to the end of the last bout, interruptions between bouts excluded.

<sup>¶</sup> Data include only patients who fell.

**Table 5**

Rehabilitation Discharge Outcomes by Admission FIM Cognitive Score Subgroup

Characteristics	Overall (n=2130)	Admission FIM Cognitive Score*					P
		≤6 (n=339)	7-10 (n=374)	11-15 (n=495)	16-20 (n=408)	≥21 (n=504)	
<b>LOS and discharge disposition</b>							
Rehabilitation LOS - excludes interruptions (mean, SD)	26.5 (19.9)	40.4 (27.6)	32.5 (18.4)	24.4 (15.4)	21 (15.5)	19 (15.1)	<.001 †
Discharge disposition (%)							<.001 ‡
Private home	83.9	73.7	78.1	85.7	85.0	92.3	
Acute care hospital	2.0	4.1	2.7	0.6	2.7	0.8	
Other post acute setting	14.0	22.1	19.3	13.7	12.3	6.9	
<b>Severity scores</b>							
Discharge brain injury component of CSI score (mean, SD)	22.6 (15.3)	34.3 (19.9)	30.7 (15.8)	22.9 (10.8)	18.3 (8.8)	11.5 (7.6)	<.001 †
Discharge non-brain injury component of CSI score (mean, SD)	10.2 (11.1)	10.9 (12.6)	12.3 (11.5)	10.8 (10.6)	10 (11.5)	7.4 (8.8)	<.001 †
<b>Functional independence measures</b>							
Discharge FIM motor score - untransformed (mean, SD)	63.0 (18.8)	50.0 (21.1)	56.8 (18.8)	64.1 (16.2)	66.9 (16.0)	72.4 (14.8)	<.001 †
Discharge FIM motor score - Rasch transformed (mean, SD)	55.7 (15.9)	44.8 (17.5)	50.7 (13.7)	56 (12.6)	58.7 (13.2)	64.4 (15.4)	<.001 †
Discharge FIM cognitive score - untransformed (mean, SD)	22.0 (6.6)	15.9 (7.0)	18.0 (5.3)	21.2 (4.7)	23.9 (4.0)	28.4 (3.7)	<.001 †
Discharge FIM cognitive score - Rasch transformed (mean, SD)	54.4 (15.1)	40.2 (18.0)	47 (10.6)	53 (9.4)	57.9 (8.5)	68.3 (11.1)	<.001 †
Discharge FIM cognitive score (%)							
<=6	2.1	11.5	0.5	0.6	0.3	0.0	
7-10	3.9	15.3	7.5	0.6	0.0	0.0	
11-15	9.8	20.7	24.6	8.1	1.2	0.2	
16-20	21.8	25.1	35.6	34.6	16.2	1.6	
>=21	62.3	27.4	31.8	56.2	82.4	98.2	

NOTE: Abbreviations: CSI, Comprehensive Severity Index;

\* n=10 patients missing admission FIM cognitive score.

† Analysis of variance test.

‡ Chi-Square analysis.

**Table 6**  
None Month Post Discharge Outcomes by Admission Cognitive FIM Score Subgroup

Characteristics	Admission FIM Cognitive Score					P
	Overall (n=1850*)	≤6 (n=301)	7-10 (n=331)	11-15 (n=434)	16-20 (n=353)	
<b>Functional independence measures</b>						
9-month post discharge FIM motor score - untransformed, n=1538 (mean, SD)	82.6 (15.6)	75.9 (22.0)	80.6 (17.6)	83.5 (15.0)	84.9 (10.7)	86.2 (10.1) <.001 †
9-month post discharge FIM motor score - Rasch transformed, n=1538 (mean, SD)	80.8 (20.0)	72.3 (25.1)	77.9 (20.7)	82.5 (19.1)	83 (16.8)	85.4 (16.4) <.001 †
9-month post discharge FIM cognitive score - untransformed, n=1560 (mean, SD)	29.9 (5.7)	27.1 (7.5)	28.5 (6.4)	30.3 (5.0)	30.8 (4.7)	31.8 (3.7) <.001 †
9-month post discharge FIM cognitive score - Rasch transformed, n=1560 (mean, SD)	76.3 (18.0)	68.1 (20.8)	72.1 (18.8)	76.9 (16.6)	78.9 (16.3)	82.2 (14.9) <.001 †
9-month post discharge FIM cognitive score subgroups, n=1560 (%)						<.001 †
<=6	0.5	2.0	0.7	0.3	0.0	0.0
7-10	0.8	2.4	1.4	0.6	0.0	0.0
11-15	1.7	5.7	1.8	0.3	2.0	0.3
16-20	5.5	11.3	10.4	5.2	1.7	1.1
>=21	91.5	78.6	85.7	93.7	96.3	98.6
<b>Participation Assessment With Recombined Tools</b>						
PART score and subscores (mean, SD)						
Total score, n=1665	1.6 (0.7)	1.4 (0.7)	1.6 (0.7)	1.7 (0.7)	1.7 (0.7)	1.8 (0.7) <.001 †
Productivity score, n=1672	1.1 (1.0)	0.7 (0.8)	1.0 (0.9)	1.1 (0.9)	1.1 (1.0)	1.3 (1.0) <.001 †
Social relations score, n=1666	2.3 (1.0)	2.1 (1.0)	2.3 (0.9)	2.3 (0.9)	2.3 (1.0)	2.4 (0.9) 0.007 †
Out and about score, n=1669	1.6 (0.8)	1.5 (0.9)	1.6 (0.8)	1.6 (0.8)	1.6 (0.8)	1.7 (0.7) 0.034 †
<b>Selected outcomes</b>						
Employed at 9-month interview (%)	17.7	7.0	14.8	18.7	18.4	26.2 <.001 †
Pursuing education at 9-month interview (%)	10.8	9.3	10.6	13.1	9.4	11.1 0.412 †
Hospitalized overnight after rehabilitation discharge (%)	27.5	30.6	29.6	28.1	26.4	23.8 0.253 †
Seen in emergency department (%)	28.8	29.9	32.6	28.1	30.0	25.0 0.208 †
Overnight stay in a long term care facility (%)	15.0	21.9	22.7	11.1	16.7	6.8 <.001 †

Characteristics	Admission FIM Cognitive Score					P	
	Overall (n=1850 <sup>*</sup> )	≤6 (n=301)	7-10 (n=331)	11-15 (n=434)	16-20 (n=353)		≥21 (n=424)
Days from rehabilitation discharge to 9-month interview, n=1683 (mean, SD)	312.4 (46.0)	300.6 (47.2)	305.1 (44.8)	311.2 (42.9)	318.8 (47.7)	323.0 (44.3)	<.001 †
<b>Satisfaction with life scale</b>							
Satisfaction with life total score, n=1345 (mean, SD)	21.7 (8.4)	20.3 (8.6)	21.8 (8.2)	21.6 (8.4)	21.8 (8.5)	22.5 (8.3)	0.093 ‡
Patient satisfaction with life score ≥21, n=1345 (%)	56.9	52.5	57.3	56.4	56.3	59.7	0.654 ‡

NOTE:

\* When sample size is indicated in a characteristic label, it represents that the sample size is smaller than 1850 because interviewees did not answer every question.

† Analysis of variance test.

‡ Chi-Square analysis.

**Table 7**

**Follow-up Interview Rates and Subpopulation Comparison**

<u>Time of interview</u>	<u>3-month post-discharge</u>	<u>9-month post-discharge</u>	<u>1-year post-discharge</u>	<u>1-year post-injury*</u>	<u>Any follow-up data<sup>†</sup></u>	<u>Any follow-up data (n=1850)</u>	<u>Full Sample (n=2130)<sup>§</sup></u>
Interview conducted	1742	1649	1605	1850		43.8 (20.9)	44.5 (21.3)
Deceased or incarcerated	40	92	69	92			
Lost to follow-up	199	240	307	39			
Ineligible for follow-up	149	149	149	149			
% with interview conducted	81.8%	77.4%	75.4%	86.9%			
% with known outcome <sup>‡</sup>	83.7%	81.7%	78.6%	91.2%			
<b>Characteristic</b>	<b>3-month post-discharge (n=1742)</b>	<b>9-month post-discharge (n=1649)</b>	<b>1-year post-discharge (n=1605)</b>	<b>1-year post-injury (n=1850)</b>	<b>Any follow-up data (n=1850)</b>	<b>Full Sample (n=2130)<sup>§</sup></b>	
Age at rehabilitation admission (mean, SD)	43.7 (20.8)	43.3 (20.9)	43.3 (20.9)	43.3 (20.9)	43.8 (20.9)	44.5 (21.3)	
Male (%)	72.7	71.9	71.6	71.6	72.4	72.5	
Race/Ethnicity (%)							
Black	14.5	13.9	13.8	13.8	14.6	15.1	
White	77.0	77.2	77.8	77.8	76.1	74.4	
White Hispanic	5.1	5.3	5.0	5.0	5.6	6.2	
Other and unknown	3.5	3.6	3.4	3.4	3.7	4.4	
Highest education achieved (%)							
Some high school, no diploma	23.4	23.3	23.1	23.1	23.7	23.0	
High school diploma	25.9	26.0	26.1	26.1	26.2	25.9	
Work towards or completed	17.2	18.8	18.6	18.6	17.7	16.2	
Associate's degree							
Work towards or completed	20.2	20.4	20.7	20.7	19.9	19.7	
Bachelor's degree							
Work towards or completed	10.2	10.4	10.2	10.2	10.0	9.7	
Master's/Doctoral degree							
Unknown	3.0	1.2	1.2	1.2	2.5	5.7	
Primary payer for inpatient stay (%)							
Medicare	18.7	18.0	18.3	18.3	18.9	19.4	
Medicaid	16.7	15.6	15.6	15.6	16.4	15.5	



Characteristic	3-month post-discharge (n=1742)	9-month post-discharge (n=1649)	1-year post-injury (n=1605)	Any follow-up data (n=1850)	Full Sample (n=2130 <sup>§</sup> )
Private insurance	25.1	25.1	25.2	24.6	24.5
Centralized (single payer system)	6.2	6.4	5.9	6.3	6.9
Worker's compensation	5.9	5.9	5.9	5.9	6.8
Self pay/None	4.8	5.0	5.1	4.8	4.6
MCO/HMO	15.4	16.1	16.2	15.2	14.4
No-fault auto insurance	4.1	4.7	4.5	4.6	4.5
Other/unknown	3.0	3.2	3.2	3.2	3.4
Marital status at injury (%)					
Single/never married	43.7	44.0	44.2	43.1	42.6
Married/common law	35.8	35.9	35.8	36.3	36.5
Previously married	17.0	16.7	16.7	17.1	17.5
Other/unknown	3.5	3.4	3.4	3.5	3.5
Employment prior to injury (%)					
Employed and student	4.0	4.2	4.2	4.1	4.0
Employed only	46.8	47.7	47.3	47.0	47.1
Unemployed	14.4	13.8	14.1	14.2	13.3
Retired	21.9	21.3	21.5	22.3	23.1
Student only	11.8	11.8	11.8	11.4	11.4
Unknown	1.1	1.1	1.1	1.1	1.1
Brain injury component of admission CSI score (mean, SD)	45.7 (23.8)	46 (23.7)	45.7 (23.6)	45.5 (23.7)	44.7 (23.7)
Non-brain injury component of admission CSI score (mean, SD)	17.1 (15.1)	17.2 (14.9)	17.1 (15.1)	17.0 (15.1)	16.9 (15.0)
Admission FIM cognitive score - untransformed (mean, SD)	14.6 (7.2)	14.6 (7.1)	14.7 (7.2)	14.7 (7.2)	14.8 (7.2)
Admission FIM motor score - untransformed (mean, SD)	34.0 (19.4)	33.9 (19.5)	34.2 (19.5)	34.3 (19.5)	34.7(19.7)

NOTE: Abbreviations: MCO/HMO, Managed care organization/Health maintenance organization; CSI, Comprehensive Severity Index;

\* Because the anniversary date for a person's injury could fall in the window for any post-discharge interview (3 month had a window from 56 to 189 days post-discharge; 9-month had a window from 208 to 402 days post-discharge), the additional questions required were included in the follow-up interview that fell within the window of a post-discharge interview.

<sup>†</sup> At the commencement of the study there were also 6-month post-discharge interviews, however this facet of the study was discontinued due to feasibility issues.

<sup>‡</sup> Includes interviewed patients and those who were deceased or incarcerated at the indicated interview time.

<sup>§</sup> n=10 patients missing admission FIM cognitive score.

**Table 8** TBI-PBE sample and US TBI rehabilitation population: key demographic and clinical characteristics

Characteristics	All ages			Age less than 65			Age 65+		
	TBI-PBE US only (n=1981)	US National TBI* (n=757009)	Difference:	TBI-PBE US only (n=1562)	US National TBI (n=27146)	Difference:	TBI-PBE US only (n=419)	US National TBI (n=29863)	Difference:
Age at rehabilitation admission (%)									
<16	0.7	0.0	-0.7	0.9	0.0	-0.9	NA	NA	NA
16-19	10.2	4.4	-5.8	13.0	9.3	-3.7	NA	NA	NA
20-29	24.4	9.9	-14.5	31.0	21.3	-9.7	NA	NA	NA
30-39	12.6	6.4	-6.2	15.9	14.1	-1.8	NA	NA	NA
40-49	14.0	9.0	-5.0	17.7	19.5	1.8	NA	NA	NA
50-59	11.7	11.0	-0.7	14.9	23.4	8.5	NA	NA	NA
60-69	9.8	12.9	3.1	6.6	12.2	5.6	22.0	13.4	-8.6
70-79	8.6	19.7	11.1	NA	NA	NA	40.8	36.3	-4.5
80-89	6.9	22.5	15.6	NA	NA	NA	32.5	42.4	9.9
90-99	1.0	4.2	3.2	NA	NA	NA	4.5	7.9	3.4
100 and older	0.1	0.1	0.0	NA	NA	NA	0.2	0.0	-0.2
Missing	0.0	0.0	0.0	NA	0.0	NA	0.0	0.0	0.0
Gender (%)									
Male	72.5	62.7	-9.8	76.2	73.9	-2.3	58.7	52.4	-6.3
Female	27.5	37.4	9.9	23.8	25.8	2.0	41.3	47.6	6.3
Race/ethnicity (%)									
White	74.7	77.2	2.5	73.0	70.5	-2.5	80.7	83.2	2.5
African-American	15.8	8.4	-7.4	16.8	11.9	-4.9	11.9	5.1	-6.8
Hispanic	6.6	7.4	0.8	7.1	9.7	2.6	4.8	5.2	0.4
Other	2.8	5.6	2.8	2.9	5.8	2.9	2.6	4.9	2.3
Missing	0.1	1.6	1.5	0.1	1.5	1.4	0.0	1.3	1.3
Primary payer (%)									
Private	46.6	29.6	-17.0	56.6	54.3	-2.3	9.3	7.8	-1.5
Medicare	20.9	53.6	32.7	4.4	11.9	7.5	82.6	90.5	7.9
Medicaid	16.7	6.7	-10.0	20.2	13.9	-6.3	3.6	0.5	-3.1

Characteristics	All ages				Age less than 65				Age 65+					
	US National TBI* (n=57009)		TBI-PBE US only (n=1981)		TBI-PBE US only (n=1562)		US National TBI (n=27146)		TBI-PBE US only (n=419)		US National TBI (n=29863)		Difference:	
Workers' Compensation	7.3	2.8	-4.5	8.4	17.1	7.6	-9.5	4.7	3.1	0.7	-2.4			
Self-pay or no pay	4.9	4.8	-0.1	6.0	23.7	18.4	-5.3	10.2	1.0	0.2	-0.8			
Other	3.4	2.5	-0.9	4.2	15.9	14.1	-1.8	4.8	0.5	0.4	-0.1			
Missing	0.3	0.0	-0.3	0.3	0.3	0.0	-0.3	0.0	0.0	0.0	0.0			
FIM motor score at admission (%)														
13	16.0	5.8	-10.2	17.1	17.1	7.6	-9.5	7.6	11.9	4.4	-7.5			
14-23	24.4	18.3	-6.1	23.7	23.7	18.4	-5.3	18.4	27.0	18.3	-8.7			
24-33	17.1	18.5	1.4	15.9	15.9	14.1	-1.8	14.1	21.5	22.4	0.9			
34-43	15.5	21.0	5.5	13.9	13.9	16.9	3.0	16.9	21.5	24.7	3.2			
44-53	12.8	21.3	8.5	13.3	13.3	20.9	7.6	20.9	11.0	21.4	10.4			
54-63	9.1	11.5	2.4	10.1	10.1	15.6	5.5	15.6	5.5	7.7	2.2			
64-73	3.3	2.9	-0.4	3.8	3.8	4.9	1.1	4.9	1.4	0.7	-0.7			
74-83	1.0	0.5	-0.5	1.2	1.2	0.9	-0.3	0.9	0.2	0.2	0.0			
84-91	0.3	0.1	-0.2	0.3	0.3	0.1	-0.2	0.1	0.0	0.0	0.0			
Missing	0.5	0.0	-0.5	0.6	0.6	0.0	-0.6	0.0	0.0	0.0	0.0			
FIM cognitive score at admission (%)														
5	12.7	8.9	-3.8	12.4	12.4	12.5	0.1	12.5	14.1	5.9	-8.2			
6-15	47.2	34.9	-12.3	49.9	49.9	39.2	-10.7	39.2	37.2	31.2	-6.0			
16-25	32.6	40.4	7.8	31.1	31.1	35.5	4.4	35.5	37.9	44.3	6.4			
26-35	7.0	15.8	8.8	6.0	6.0	12.8	6.8	12.8	10.7	18.6	7.9			
Missing	0.5	0.0	-0.5	0.6	0.6	0.0	-0.6	0.0	0.0	0.0	0.0			
Case-mix groups <sup>‡</sup> (%)														
201 MotorWt <sup>§</sup> >53.36, Cog>23.5	2.3	2.5	0.2	2.4	2.4	3.9	1.5	3.9	1.7	1.1	-0.6			
202 44.25<MotorWt<53.35, Cog>23.5	1.8	5.0	3.2	1.8	1.8	4.9	3.1	4.9	1.9	4.8	2.9			
203 MotorWt≤44.25, Cog<23.5	13.8	10.7	-3.1	15.7	15.7	16.9	1.2	16.9	6.7	4.9	-1.8			
204 40.65<MotorWt<44.25	4.3	8.1	3.8	4.4	4.4	8.5	4.1	8.5	4.1	7.9	3.8			
205 28.75<MotorWt<40.65	20.3	28.4	8.1	19.0	19.0	23.5	4.5	23.5	25.3	32.6	7.3			
206 22.05<MotorWt<28.75	13.2	14.6	1.4	12.4	12.4	11.5	-0.9	11.5	16.2	17.7	1.5			
207 MotorWt<22.05	43.8	30.7	-13.1	43.7	43.7	30.9	-12.8	30.9	44.2	31.1	-13.1			

Characteristics	All ages		Age less than 65		Age 65+	
	TBI-PBE US only (n=1981)	US National TBI* (n~757009)	TBI-PBE US only (n=1562)	US National TBI (n=27146)	TBI-PBE US only (n=419)	US National TBI (n~29863)
Missing	0.5	-0.1	0.6	-5.2	0.0	0.0
		Difference: -0.6		Difference: -5.8		Difference: 0.0

\* N~57009 (2008–2010) based on US National TBI n=156447 (2001–2010) minus US National TBI n=99438 (2001–2007). Slight overlap TBI-PBE and 2009/2010 US TBI samples. TBI-PBE includes US facilities only.

† approximately.

‡ Centers for Medicare and Medicare Services case mix groups for payment of patients with TBI in rehabilitation centers.

§ Weighted FIM motor score from CMG definitions.