Survey Instrument
for
Survey of IUPUI Faculty Attitudes About Scholarly Communication

August 2013

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Thank you for responding to the invitation to participate in this survey of faculty attitudes and practices regarding scholarly publishing. The data collection is anonymous.

First, a few questions about you:

My faculty rank is:
- Full Professor or Full Librarian
- Associate Professor or Associate Librarian
- Assistant Professor or Assistant Librarian
- Clinical Faculty
- Lecturer
- Other

My current position:
- is not tenure track
- is tenure track
- is as a tenured professor/librarian

In which department is your primary university appointment?

What is your discipline, defined as the discipline of your highest degree?

In which of the following areas do you publish or disseminate your work at the present time? (Select all that apply.)
- Humanities
- Social sciences
- Life sciences
- Health or Medical sciences
- Mathematics or Computer science
- Physical sciences
- Engineering
- Law
- Management, Business or Finance
- Information sciences, Library science, or Museum studies
- Art, Architecture or Design
- Music
- Other

If your work is not well described in terms of these general categories please briefly describe your field.
YOUR CURRENT PRACTICES

Practices and norms vary across disciplines. The next questions ask about the ways in which you disseminate your work.

Reflecting on the past 5 years, please indicate all the ways in which you have disseminated your work, and estimate the number of items in each category.

<table>
<thead>
<tr>
<th>Published a monograph</th>
<th>None</th>
<th>1-5 items</th>
<th>6-10 items</th>
<th>More than 10 items</th>
</tr>
</thead>
<tbody>
<tr>
<td>Edited a collection of original material</td>
<td>☐</td>
<td>☑</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Contributed a chapter to an edited book</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Published an article in a peer-reviewed journal</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Published a peer-reviewed conference paper in a conference proceedings</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Published a dataset in a journal</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Published an item in a magazine or periodical read by members of the university community, e.g., Chronicle of Higher Education</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Presented my research in the media</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Created a scholarly website or blog</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Created a learning object or other multi-media resource that can be used and re-used</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Had work in an adjudicated competition, exhibition, or installation</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Posted a journal article on a personal or departmental website</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Submitted a scholarly work to an institutional repository</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Submitted a scholarly work to a disciplinary repository</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Gave a formal presentation at a conference</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Was invited to give a guest lecture, or keynote a conference</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

Please comment on your dissemination practices, if desired:

_________________________________________
What is the norm in terms of authorship when you publish or disseminate your work?

- The majority of my publications/works are co-authored
- Some of my publications/works are co-authored
- None of my publications/works are co-authored
- Other (please specify):
  (Select one)

Other (please specify):

---

**When considering where to submit your work for publication, how important to you are each of the following factors?**

<table>
<thead>
<tr>
<th>Factor</th>
<th>Very important</th>
<th>Important</th>
<th>Not important</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reputation of the book or journal publisher</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reputation of the journal title</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Being able to submit my manuscript online</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Quality of the peer review</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Weight of the publication venue in tenure and promotion considerations in my department</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Readership or audience</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Journal impact factor</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Speed of publication</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A paper issue or print volume is produced</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A digital version is available</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>The full-text is accessible online to anyone who finds it</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>My ability to retain some of the rights (i.e. copyright)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>The ability to self-archive my work (i.e., to upload to a personal or institutional website)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Please comment on your publishing choices, if desired:
To what extent do you agree or disagree that the existing tenure, merit and promotion processes in your department or faculty ...

<table>
<thead>
<tr>
<th>Strongly agree</th>
<th>Agree</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>lead me to publish in print publications, rather than electronic-only forms of dissemination</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>cause me to forego using alternative forms of dissemination</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>encourage new forms of high-quality (peer-reviewed) scholarly communication</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>are keeping up with the evolution of scholarly communication</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

Please comment, if desired, on promotion and tenure processes in your department or faculty:

With new forms of digital production emerging, are scholars in your field re-evaluating what constitutes a research contribution for purposes of tenure, merit and promotion? (Examples might include: the contribution of executable code to a collaborative database in genomics, the design of a serious game in education, a digital interactive model of a historic site, etc.)

Please comment, if desired, on changes in promotion and tenure related to digital scholarship:

☐ Yes, this is already happening
☐ Yes, we are talking about it
☐ I don't know
☐ No
How do you approach the copyright terms in your publication contracts?

- I don't examine the copyright terms of the contract -- I just sign it as is.
- I examine the copyright terms of the contract and usually sign it as is.
- I modify the copyright terms of the contract before signing.

In what ways have you modified the terms in your contracts with publishers?

- I have replaced the publisher's contractual terms with my own.
- I have attached an addendum such as the CIC Addendum or the SPARC Author Addendum.
- I have replaced the entire agreement with one of my choosing.
(Select all that apply)

Please comment, if desired, on the practice of modifying publishing contracts:

Are there instances in which you have refused to sign a publication contract because of concern about the copyright terms, thereby foregoing the opportunity to publish in that journal?

- Yes
- No

Please comment, if desired, on your decisions regarding refusals to sign a publication contract:

In cases where you would prefer to retain some rights but do not negotiate with publishers to do so, what is the most important factor that prevents you from doing this?

- It's too much trouble to negotiate with the publisher.
- I don't have the knowledge to negotiate.
- I need to publish in the journal to get tenure, merit increases, or promotion and I am concerned that raising this issue could lead the publisher to refuse to publish my work.
- I haven't thought about this issue.
- Other (please specify)

Other factors relevant to decisions to not negotiate with publishers:

To what extent do you agree or disagree that scholars' management of copyright is ...

<table>
<thead>
<tr>
<th>An important factor in the evolution of scholarly communication?</th>
<th>Strongly agree</th>
<th>Agree</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>An important factor in my own scholarly publishing?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A topic colleagues need to discuss?</td>
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<td></td>
</tr>
</tbody>
</table>
Are you concerned that transferring copyright to a publisher may limit your ability to ...

<table>
<thead>
<tr>
<th>Concerned</th>
<th>Not concerned</th>
<th>I haven't thought about it</th>
</tr>
</thead>
<tbody>
<tr>
<td>put the materials on a departmental website or in an institutional repository?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>use the materials in a class that you or others are teaching without asking for permission from the publisher?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>make the materials available for course management systems (such as Oncourse) without asking for permission from the publisher?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>use or submit the materials to an anthology?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>create a derivative work based on the material, e.g., a multi-media work?</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

Please comment, if desired, if you have other concerns about how transferring copyright limits the use of your work:

What factors would help you the most in negotiating or modifying the copyright terms of a publication contract? (Select up to three.)

☐ If I had precise instructions and examples of how to do it.
☐ If I had the advice and support of my institution.
☐ If I had the advice and support of my funder.
☐ If I knew I would not be penalized for refusing to sign the standard contract.
☐ Other (please specify):

Other (please specify):

Which of the following activities would you be willing to undertake? (Select all that apply.)

☐ I would be willing to encourage the publication boards of the societies/associations to which I belong to make their copyright policy more author-friendly.
☐ I would be willing to encourage the societies/associations to which I belong to seek alternative sources of revenue, rather than relying on subscription fees to support society activities.
☐ Before signing a publishing contract, I would be willing to strikeout and modify its language to change the contract from granting "exclusive" rights to the publisher to granting "non-exclusive" rights to the publisher.
☐ I would be willing to submit my scholarly output solely to publishers who require only the right of first publication and no other right.
☐ I would be willing to encourage publishers to experiment with business models in order to reduce or eliminate barriers, including subscription costs, to readers.
☐ None of the above.
☐ Other (please specify):

Other activities:
To what extent do you agree or disagree with the following?

<table>
<thead>
<tr>
<th></th>
<th>Strongly Agree</th>
<th>Agree</th>
<th>Disagree</th>
<th>Strongly Disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>I am happy to be a reviewer for journals/conferences or presses that I value.</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Serving as a reviewer is part of my responsibility as a member of the community.</td>
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</tr>
<tr>
<td>I am receiving too many requests to review papers today.</td>
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<td></td>
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<tr>
<td>There are too many graduate students doing peer review in my field.</td>
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<tr>
<td>The traditional peer review process is working well for me.</td>
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</tr>
<tr>
<td>Open peer review systems where both authors and reviewers are identified result in high quality peer reviews in my field.</td>
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</tr>
<tr>
<td>There are serious problems with the peer review system today.</td>
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</tbody>
</table>

Please comment, if desired, on the state of peer review:

________________________________________________________________________

Are you aware of any experiments underway in your discipline/field that explore questions of peer review? □ Yes □ No

If yes, could you please describe these experiments briefly?

________________________________________________________________________
OPEN ACCESS REPOSITORIES, POLICIES AND MANDATES

With widespread access to digital publishing and communication technologies and to the internet, alternative ways to disseminate scholarship are emerging. The next questions have to do with open access.

"Open access (OA) literature is digital, online, free of charge, and free of most copyright and licensing restrictions. .... OA is entirely compatible with peer review." - Peter Suber, A Very Brief Introduction to Open Access

Have you heard of Open Access (OA)?

☐ Yes
☐ No

Have you made any of your publications available on an open access basis?

☐ Yes, through self-archiving (i.e., posting to a website or submitting to an institutional or discipline repository)
☐ Yes, through publishing in an OA journal
☐ Yes, both through self-archiving and publishing in OA journals
☐ No

If no, why not?

☐ Yes
☐ No

Are you aware of whether there are open access (OA) journals being published in your field?
To what extent do you agree or disagree with the following statements?

<table>
<thead>
<tr>
<th>Statement</th>
<th>Strongly agree</th>
<th>Agree</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Open access will dramatically change scholarly communications in my discipline or field in the next two years.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Making my work openly accessible to everyone with access to the internet - not only to those whose universities can afford the licensing fees - is a benefit to me.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Open access is likely to lead to an increase in the number of citations to my work.</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>The principle of free access for all to the results of publicly funded research is important to me.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Library subscriptions are a critical source of revenue for scholarly societies and associations.</td>
<td></td>
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</tr>
</tbody>
</table>

Please comment, if desired, on the role and impact of open access:

A repository is a digital collection of publicly accessible research outputs from an institution, discipline or community. Have you heard of IUPUI's research repository, IUPUI ScholarWorks?

- [ ] Yes
- [ ] No

Is any of your work in IUPUI ScholarWorks?

- [ ] Yes
- [ ] No
- [ ] I don't know

What does or would motivate you to have your scholarly works included in IUPUI ScholarWorks? (Select up to three reasons.)

- [ ] If it increases exposure of my previously-published work (e.g., post-prints).
- [ ] If it provides exposure for work not previously published (e.g., seminar papers, working papers).
- [ ] If it broadens the dissemination of academic research generally.
- [ ] If it is the norm in my academic department/faculty/unit.
- [ ] If it increases an academic institution's ability to negotiate with commercial publishers.
- [ ] If it increases my own commercial publishing opportunities.
- [ ] If it increases my promotion and tenure prospects.
- [ ] Other (please specify): (Select up to three reasons.)
Have you ever come across citations to items in IUPUI ScholarWorks in the process of doing your research?

- Yes
- No

Harvard University, MIT, Stanford University, University of Kansas and faculty at more than 100 other U.S. institutions have passed mandates or policies for faculty to routinely grant a limited, non-exclusive license to place their scholarly publications in a non-commercial publicly-accessible online repository. To what extent are you aware of these types of mandates and policies? (Select one.)

- Not aware
- Aware, but don't know much about them
- Knowledgeable
- Am actively involved in this issue

Should IUPUI faculty consider implementing a similar policy?

- Strongly agree
- Agree
- Disagree
- Strongly disagree
- I don't know

Why or why not? _____________________________________________

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COSTS

The following questions address the issue of costs associated with scholarly communications.

Have you ever paid page charges, processing fees or other charges, e.g., for illustrations, to have your peer-reviewed article or book published?

- Yes
- No

Where do you get the funds to pay these charges? (Select all that apply)

- From my research grant
- My department/faculty pays these charges
- I pay them myself
- Other (please specify):

Other (please specify): _____________________________________________
Scholarly communication costs money. There will be costs associated with every option. To what extent do you agree or disagree with the following statements about scholarly publishing?

<table>
<thead>
<tr>
<th>Statement</th>
<th>Strongly agree</th>
<th>Agree</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>There are new opportunities in the digital era to simultaneously reduce costs and increase access to the scholarly literature.</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>There is need for greater transparency in terms of the costs of publishing and disseminating scholarly books and journals.</td>
<td></td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>New business models for scholarly communication should be explored.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Scholars need to play a greater role in shaping the future of scholarly communications.</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>There is need to reform the scholarly communications system.</td>
<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

Please comment, if desired, on the costs of scholarly communications:

Who do you think should be responsible for the costs associated with maintaining the scholarly communication system? (Select all that apply)

- Publishers
- Those who fund the research
- The university should establish a special fund
- The university library through institutional subscriptions and licensing agreements (i.e., current model)
- Your department/faculty
- You, the author
- You, the reader
- Other (please specify):

Other (please specify):

Additional comments on who should be responsible for the costs of scholarly communications:
**FINAL QUESTIONS**

IUPUI offers many different dissemination services to the academic community. Which of the statements below best characterizes your level of awareness about each of these services?

<table>
<thead>
<tr>
<th>Service</th>
<th>I haven't heard of this</th>
<th>I've heard of this, but I'm not involved</th>
<th>I'm actively involved in this</th>
</tr>
</thead>
<tbody>
<tr>
<td>Digitizing and providing online access to historical and cultural collections</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Depositing Electronic Theses &amp; Dissertations (ETDs)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Journal publishing software, e.g., OJS: Open Journal System</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Hosting and archiving services for audio/visual materials</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Mediated deposit of faculty publications in the institutional repository, IUPUI ScholarWorks</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Data management planning and data sharing support</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Federal public access policy support and consultations</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Digital humanities support and consultations</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Author's rights and copyright consultations</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

Which of the following would be the most effective sources for keeping you updated about changes in scholarly communication? (Select up to three.)

- JagNews
- Departmental and faculty meetings
- Higher education press (e.g., Chronicle of Higher Education)
- Campus library/librarians
- Discipline-specific literature
- Ad hoc conversation with colleagues
- Academic conferences
- Other (please specify): (Select up to three.)

Other (please specify):

Overall, how would you characterize the general state of the scholarly communications system in the fields in which you primarily disseminate your research?

- We are experimenting with a number of new ideas and forms
- There is considerable resistance to change
- The system works fine as it is
- Other (please specify):

Other (please specify):

Please add any comments about this survey or the issues it raises.

Thank you for completing this survey! For more about IUPUI's Digital Scholarship services, please visit: http://www.ulib.iupui.edu/digitalscholarship