

**Radiation Induced Cerebral Microbleeds in Pediatric Patients with Brain Tumors Treated with Proton Radiotherapy**

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### **Abstract**

#### **Purpose**

Proton beam radiotherapy (PBT) has been increasingly utilized to treat pediatric brain tumors, however, limited information exists regarding radiation induced cerebral microbleeds (CMBs) among these patients. The purpose was to evaluate the incidence, risk factors, and imaging appearance of CMBs in pediatric patients with brain tumors treated with PBT.

#### **Methods**

A retrospective study was performed on 100 pediatric patients with primary brain tumors treated with PBT. CMBs were diagnosed by examining serial MRIs including susceptibility-weighted imaging. Radiation therapy plans were analyzed to determine doses to individual CMBs. Clinical records were used to determine risk factors associated with the development of CMBs in these patients.

#### **Results**

The mean age at time of PBT was 8.1 years. The median follow-up duration was 57 months. The median time to development of CMBs was 8 months (mean 11 months; range 3-28 months). The percentage of patients with CMBs was 43%, 66%, 80%, 81%, 83%, and 81% at 1-year, 2-years, 3-years, 4-year, 5-years, and greater than 5 years from completion of proton radiotherapy. The majority (87%) of CMBs were found in areas of brain exposed to  $\geq 30$  Gy. Risk factors included maximum radiotherapy dose ( $P=0.001$ ), percentage and volume of brain exposed to  $\geq 30$  Gy ( $P=0.0004$ ;  $P=0.0005$ ), and patient age at time of PBT ( $P=0.0004$ ). Chemotherapy was not a significant risk factor ( $P=0.35$ ). No CMBs required surgical intervention.

#### **Conclusion**

CMBs develop in a high percentage of pediatric patients with brain tumors treated with proton radiotherapy within the first few years following treatment. Significant risk factors for development of CMBs include younger age at time of PBT, higher maximum radiotherapy dose, and higher percentage and volume of brain exposed to  $\geq 30$  Gy. These findings demonstrate similarities with CMBs that develop in pediatric brain tumor patients treated with photon radiotherapy.

Abbreviations: CMBs: cerebral microbleeds; DWI: diffusion-weighted; FLAIR: fluid attenuated inversion recovery; GRE: gradient echo; MPRAGE: magnetization prepared rapid acquisition gradient echo; PBT: proton beam therapy; SWI: susceptibility-weighted imaging; TSE: turbo spin echo

## Introduction

Radiotherapy is one of the primary therapies used to treat pediatric brain tumors. Adverse effects of radiotherapy on the brain include radiation necrosis, atrophy, gliosis, telangiectasia, microhemorrhages, cavernous malformations, and large vessel vasculopathy. MRIs performed following cranial radiotherapy frequently detect small parenchymal lesions which demonstrate susceptibility artifact and have been termed radiation-induced cerebral microbleeds (CMBs). CMBs have been found to be associated with worse executive function in pediatric brain tumor survivors treated with radiotherapy and among patients with nasopharyngeal carcinoma treated with radiotherapy.<sup>1,2</sup> Among patients without history of cranial radiotherapy, CMBs have also been associated with cognitive impairment in Alzheimers disease, stroke, vascular dementia, small vessel ischemic disease and increasing age.<sup>3-10</sup>

Compared with conventional (photon) radiation therapy, proton beam therapy (PBT) offers the advantages of the absence of an exit dose, a highly conformal dose distribution, and a reduced radiation dose to adjacent normal tissue.<sup>11</sup> Therefore, potential benefits of PBT in patients with pediatric brain tumors may include the reduction of negative long-term effects of radiation, such as cognitive deficits, endocrine abnormalities, vascular abnormalities, and secondary malignancies.<sup>12</sup> PBT has been used increasingly to treat pediatric brain tumors, including craniopharyngiomas, ependymomas, germinomas, and medulloblastomas; however, limited information exists regarding CMBs following PBT.<sup>13-16</sup> Although CMBs can be diagnosed on histopathology, the preferred method for detection of CMBs is with a brain MRI. Gradient echo (GRE) imaging and/or susceptibility-weighted imaging (SWI) MRI sequences are necessary for detection of CMBs because these MRI sequences have been developed to increase the conspicuity of the blood products that cause CMBs. SWI represents the most current and

advanced MRI sequence that is commercially available for detection of CMBs and has been shown to be much more sensitive than GRE for detection of CMBs.<sup>17-19</sup> Therefore, clinical research investigating the formation of CMBs should include SWI to allow the best possible imaging assessment.

The purpose of this research was to evaluate the incidence, imaging appearance, and risk factors for radiation induced CMBs among pediatric patients with brain tumors treated with PBT using MRI with SWI.

## **Materials and Methods**

Following institutional review board approval, a retrospective study was performed from January 2010 to January 2017 on pediatric patients age  $\leq 18$  with primary brain tumors who were treated with PBT. All patients were either those with a newly diagnosed primary brain tumor who were subsequently treated with PBT or those who had low-grade gliomas without prior treatment with radiation therapy who demonstrated tumor progression on chemotherapy necessitating treatment with PBT. Therefore, patients were excluded if there was any history of treatment with photon radiation therapy, including before PBT, concurrent with PBT, or after PBT. Patients were also excluded if there was more than one course of PBT. Patients without a minimum 3-month follow-up brain MRI following completion of PBT were excluded, including if death occurred before 3 months. Patients were also excluded if there was a clinical history of hypertension, known coagulopathy, collagen vascular disease or other medical history that would predispose to intracranial hemorrhage. PBT treatment doses followed the standard of care in the United States at a Children's Oncology Group treatment center.

Brain MRI consisted of imaging performed with 1.5T or 3T (Avanto and Verio; Siemens,

Erlangen, Germany) MR imaging units with standard MRI sequences at our institution including: sagittal T1-weighted (T1W) magnetization prepared rapid acquisition gradient echo (MPRAGE), axial T2-weighted (T2W) turbo spin echo (TSE), axial fluid attenuated inversion recovery (FLAIR), axial diffusion-weighted (DWI), axial susceptibility weighted imaging (SWI), coronal T1W TSE post-contrast with fat saturation, and axial 3D T1W MPRAGE post contrast pulse sequences. Post-contrast imaging was performed in all patients after 0.1-mmol/kg intravenous administration of gadobenate dimeglumine (MultiHance; Bracco Diagnostics, Princeton, New Jersey). SWI was performed with the following parameters: 1.5T flip angle 15, TR 49, TE 40, slice thickness; 3.0T Flip angle 30, TR 27, TE 20, slice thickness. In the majority of patients, brain MRIs were performed approximately every 1-3 months for 2-3 years, then every 6-12 months with shorter or longer follow-up depending on clinical need to assess tumor stability, clinical symptoms, and/or other complications including radiation necrosis. Patients were included if one or more of the follow-up brain MRIs included SWI. Patients were excluded if none of the follow-up brain MRIs included an SWI sequence. Because the SWI sequence was not available on all MRI scanners at our institution dating back to 2010, some patients did not have SWI on every follow up brain MRI. The number of patients who had SWI available for review at each yearly interval was indicated in the results by the total number of patients at each time point. Only patients with SWI on every follow-up brain MRI were used to determine the time to development of CMBs.

A fellowship-trained, board-certified neuroradiologist (S.K.) with certificate of added qualification in neuroradiology independently evaluated all brain MRIs of included patients. SWI sequences in conjunction with the other MRI sequences were evaluated for: presence, number, and largest size of CMBs or cavernous malformation. Clinical data included patient age at time

of PBT, patient gender, tumor pathology from surgical resection or biopsy, tumor location, total cranial radiation dose, chemotherapy, timing from completion of PBT to imaging diagnosis of CMBs, and surgical intervention for CMBs. CMBs were defined similarly to consensus MRI criteria described by Greenberg et al as an intraparenchymal small (5 mm or less) round or ovoid hypointensity on SWI imaging that did not correspond to vessels, tumor, border the surgical resection site, or expected location of mineralization and was not hyperintense on T1W or T2W imaging.<sup>20</sup> To distinguish CMBs from a cavernous malformation, a cavernous malformation was defined as a round or ovoid hypointensity on SWI that demonstrated hyperintense T1W and/or T2W appearance.<sup>20</sup>

To determine the specific amount of radiation received by CMBs, radiation dosimetric treatment plans were either overlaid and aligned with SWI MRI sequence using Eclipse image registration software (v13.7, Varian medical systems) to match voxel intensity values or locations of CMBs on SWI images were cross referenced to location on the treatment plan by side by side comparison. Overlaid MRI images were first registered to the planning CT scan using automatic match based on bony anatomy, after which the alignment was manually adjusted to correct for any errors. Dose information for individual CMBs were then recorded by adjusting the dose slider in 10 Gy increments. Based on a prior study indicating majority of CMBs occur at  $> 30$  Gy, the percentage and overall volume of brain receiving  $\geq 30$  Gy of total dose was calculated for each patient using the treatment planning software which determined total volumes of the contoured brain, and the dose volume histogram corresponding to 30 Gy dose level.<sup>1</sup> This information was then used for statistical analysis.

Statistical analysis of risk factors for CMBs including age at time of PBT, chemotherapy, percentage and volume of brain exposed to  $\geq 30$  Gy, and maximum proton radiotherapy dose

was performed by using an unpaired t-test, Fisher exact test, or Pearson correlation coefficient where appropriate and a P value of  $<0.05$  was considered statistically significant. Statistics were performed using Graphpad Prism 7 Statistical Software (La Jolla, CA).

## Results

A total of 100 pediatric patients met inclusion criteria while 26 patients were excluded. Mean age at time of PBT was 8.1 years (range 0.75-18 years), and male:female ratio was 63:37. Median follow up duration was 57 months (mean 52 months, range 7-116 months). Patient characteristics are seen in Table 1.

The median time to development of CMBs was 8 months (mean 11 months; range 3-28 months). The percentage of patients with CMBs was 43% (16/37), 66% (27/41), 80% (20/25), 81% (26/32), 83% (35/42), and 81% (29/36) at 1-year, 2-years, 3-years, 4-year, 5-years, and greater than 5 years from completion of proton radiotherapy (Figure 1). The median number and range of CMBs per patient was 6 (0-49) at 1-year, 6 (0-63) at 2-years, 6 (0-72) at 3-years, 7 (0-130) at 4-years, 8 (0-136) at 5-years, and 11 (0-136) at greater than 5 years from completion of proton radiotherapy. (Figure 2). The median size of CMBs was 0.2 cm (range 0.1-0.5 cm). CMBs were detected in all areas of the brain, specifically the brainstem, cerebellum, thalami, basal ganglia, and cerebral hemispheres. No CMBs demonstrated resolution on follow up imaging. No CMBs required surgical intervention. Four patients (4%) demonstrated imaging appearance consistent with a cavernous malformation which developed at a median time of 46 months (range 14-72 months). Representative examples of CMBs and cavernomas are seen in Figures 3 and 4.



Evaluation of radiation dose to individual CMBs demonstrated that 87% of CMBs occurred in areas of brain exposed to  $\geq 30$  Gy (32.8% for 30-40 Gy, 18.8% for 40-50 Gy, 35.7% for  $> 50$  Gy) and 13% exposed to  $< 30$  Gy (4.1% for  $< 10$  Gy, 0.6% for 10-20 Gy, and 7.9% for 20-30 Gy) (Figure 5). There were statistically significant differences among patients without CMBs and patients with CMBs in the percentage of brain exposed to  $\geq 30$  Gy (10.1% vs 42.1%;  $P=0.0004$ ) and volume of brain exposed to  $\geq 30$  Gy (133 cc vs 601 cc;  $P=0.0005$ ). There was a statistically significant positive correlation between the number of CMBs and percentage of brain exposed to  $\geq 30$  Gy ( $r = 0.39$ ;  $P=0.0002$ ).

There was a statistically significant difference in the mean age at time of PBT between patients with and without CMBs (6.9 vs 10.6 years;  $P=0.0004$ ). There was a statistically significant difference in maximum radiotherapy dose between patients with and without CMBs (55.9 versus 51.6 Gy;  $P=0.001$ ). Chemotherapy was not a statistically significant risk factor ( $P=0.35$ ) for development of CMBs between patients treated with chemotherapy versus without chemotherapy.

## Discussion

In this study, approximately 80% of pediatric brain tumor patients treated with PBT developed CMBs indicating a high frequency in this patient population. In comparison, the incidence of CMBs in pediatric patients with primary brain tumors treated with conventional/photon radiotherapy has been reported to occur over a wide range of frequencies of approximately 3-80%.<sup>1,21-23</sup> The high incidence found in our study is similar to a study by Passos et al of 100 childhood primary central nervous system tumors treated with photon radiotherapy, where CMBs were identified in 80.6% of patients, however, Passos et al also reported cavernous

malformations in 52.8% of patients which is different from the 4% in our study.<sup>23</sup> A potential explanation for differences in cavernous malformations may be a difference in follow up duration of approximately 4.5 years in this study compared to 11 years in the study from Passos et al.<sup>23</sup> The wide range in incidence of CMBs and cavernous malformations following photon radiotherapy is also very dependent on whether GRE, SWI, or neither MRI sequence was used to detect them. Careful review of the research study methods is necessary as studies reporting CMBs using GRE for detection will tend to demonstrate a lower incidence of CMBs compared to studies using SWI. For example, Burn et al reported an incidence of 3.4% but did not use GRE or SWI for detection of CMBs, while Roddy et al reported an incidence of 48.8% but used a combination of GRE and SWI imaging.<sup>1,21</sup> Undoubtedly, the use of SWI to detect CMBs in this study results in an incidence that is at the high end for the range reported with photon radiotherapy. We believe using SWI, rather than GRE, to detect CMBs in our study is a major advantage because it represents the most sensitive of the currently available MRI technology clinically available and allows the best imaging depiction of what is present histopathologically. If MRI technology advances from SWI to an even greater level of sensitivity for detection of CMBs, future studies would be necessary to determine if the incidence is even greater in these patients.

CMBs appeared at a median time of 8 months following completion of PBT. Similar to our results, Peters et al demonstrated that in children treated with photon radiotherapy, CMBs may appear as early as 5 months and much more variable rate of formation and faster rates than observed in adults.<sup>24</sup> Tanino et al demonstrated a wider time range to development of CMBs of 3 months to 9 years (mean, 33 months), however this study included children and adults with a mean age of patients of 49 years (range 13-78 years).<sup>25</sup> These results suggest that development of

CMBs is affected by patient age at time of radiotherapy.

In this study, we have identified that volume of brain exposed to  $\geq 30$  Gy, higher maximum PBT dose, and younger age at time of PBT are risk factors for development of CMBs. These risk factors likely in part explain why some patients do not develop CMBs. Our results demonstrated that 87% of CMBs occurred in areas exposed to  $\geq 30$  Gy which is similar to 91% occurring in areas receiving  $> 30$  Gy reported by Roddy et al who evaluated patients treated with photon radiotherapy. Our results are not completely similar however to Tanino et al who reported in a smaller study of 34 pediatric and adult patients treated with photon radiotherapy that all CMBs were in areas exposed to  $>25$  Gy. The percentage of patients with CMBs stabilized at 3 years following completion of PBT indicating if a patient is to develop CMBs, it will most likely occur within 3 years from completion of proton radiotherapy. However, the number of CMBs per patient continued to increase over time. Similar to these findings, Lupo et al demonstrated that the rate of CMBs increased after 2 years following radiotherapy for adult patients with high grade gliomas.<sup>26</sup> We also demonstrate that younger age at time of PBT was a risk factor for development of CMBs. Similarly, Passos et al demonstrated that age at time of radiotherapy was a risk factor for development of CMBs.<sup>23</sup> Lastly, in this study, chemotherapy was not associated with development of CMBs which is similar to the previous study by Passos et al.<sup>23</sup>

This study has a few important limitations. First, pathologic correlation to confirm these lesions represent CMBs was not performed, and it is the presumption that these CMBs are secondary to radiotherapy. It is not standard clinical care to biopsy these areas, and prior research has demonstrated that CMBs are not seen in patients treated only with chemotherapy.<sup>23,27-28</sup> One potential explanation for the association between radiotherapy and CMBs is that vascular

endothelial growth factor is increased following radiotherapy.<sup>29-31</sup> There are rare genetic causes of multiple cavernomas in which patients have numerous cavernomas although this is unlikely in our patient population. Genetic testing was not performed in these patients given that none of these patients had multiple cavernomas prior to PBT which would be expected with a familial cavernoma syndrome, as well as the fact that a high percentage of patients (~80%) develop CMBs after PBT indicating an unlikelihood for an etiology of a rare genetic syndrome. However, it is possible that there are unknown genetic differences among these patients that predispose some patients to being more susceptible to CMB formation following exposure to radiotherapy. While CMBs can also be caused by systemic hypertension, amyloid angiopathy, or diffuse axonal injury, our patient population does not support these as potential etiologies which is why we believe the CMBs in these patients are related to PBT. Second, our criteria for including patients with SWI imaging resulted in some patients that could not be evaluated at every time interval from completion of PBT. SWI has been replacing GRE at many institutions and represents the most sensitive MRI technique for the detection of hemorrhage currently available for clinical use. Several factors can affect the image quality and sensitivity of the SWI sequence including echo time, flip angle, slice thickness, and magnetic field strength, however, the optimal parameters have yet to be determined.<sup>4</sup> Because this was a retrospective study, we could not control for patients with SWI sequence performed at either 1.5T and 3T. We chose to include all patients with SWI performed at 1.5T or 3T and acknowledge this could impact our results. Lastly, we did not assess cognitive function in these patients. Because of the retrospective nature of this study, not all patients had neuropsychology testing, and the neuropsychology testing was neither performed at a consistent time following PBT nor standardized to provide an adequate analysis. We anticipate a similar negative impact on cognitive function associated with CMBs

which has been previously reported.<sup>1,2</sup> Future research would be useful in correlating CMBs with neuropsychology testing, and longer follow-up duration to assess development of cavernous malformations.

## Conclusion

CMBs are seen with high frequency and develop in the first few years following PBT for brain tumors in pediatric patients. Risk factors for development of CMBs include younger age at time of PBT, percentage and volume of brain exposed to  $\geq 30$  Gy, and higher maximum radiotherapy dose. These findings demonstrate similarities with CMBs that develop in pediatric brain tumor patients treated with photon radiotherapy.

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Figure 1. Percentage of patients with CMBs versus time from completion of PBT

Figure 2. Median number of CMBs versus time from completion of PBT

Figure 3. Example of an SWI MRI image overlaid onto the radiation dosimetric treatment plan of a 7 year old with multifocal PNET treated with craniospinal PBT. CMBs (arrows) are demonstrated within varying radiation doses in cGy as indicated by the color gradient.

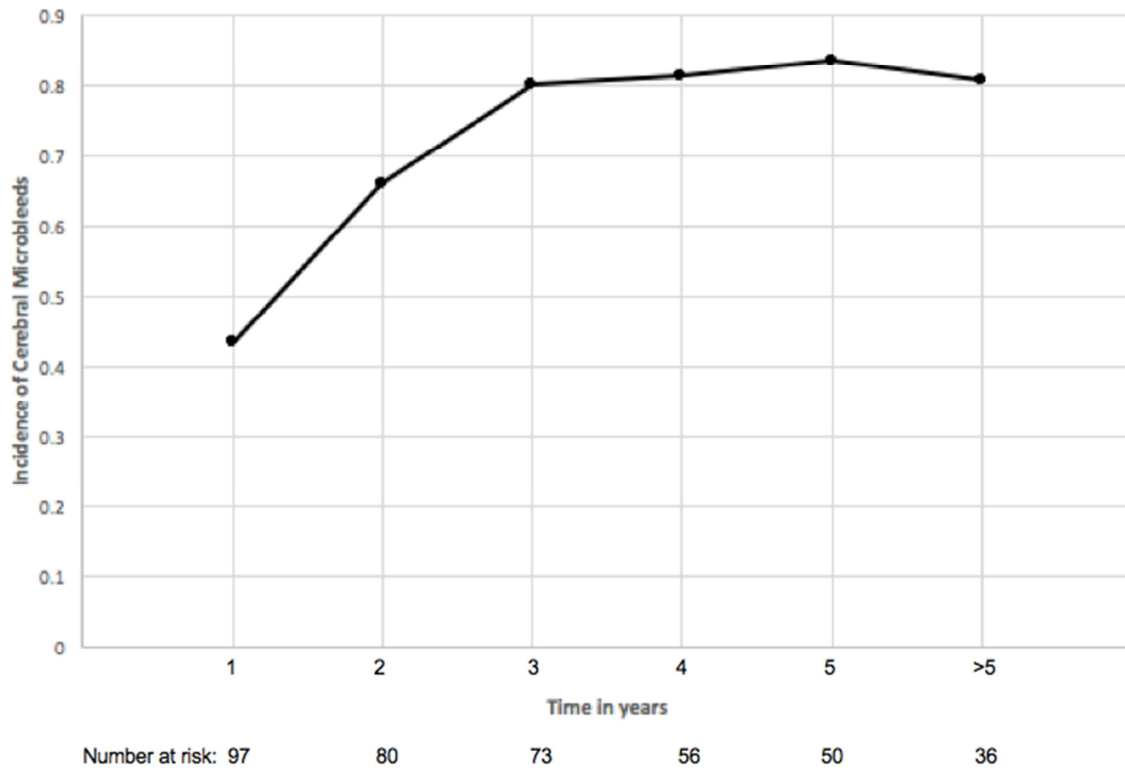
Figure 4. Formation of CMBs and a cavernous malformation following PBT. A 3 year-old with history of medulloblastoma treated with PBT. Axial SWI (A) demonstrates multiple cerebral microbleeds in both cerebral hemispheres in addition to a right frontal lobe cavernous malformation which demonstrates hyperintense signal on T1W (B) and T2W (C) images.



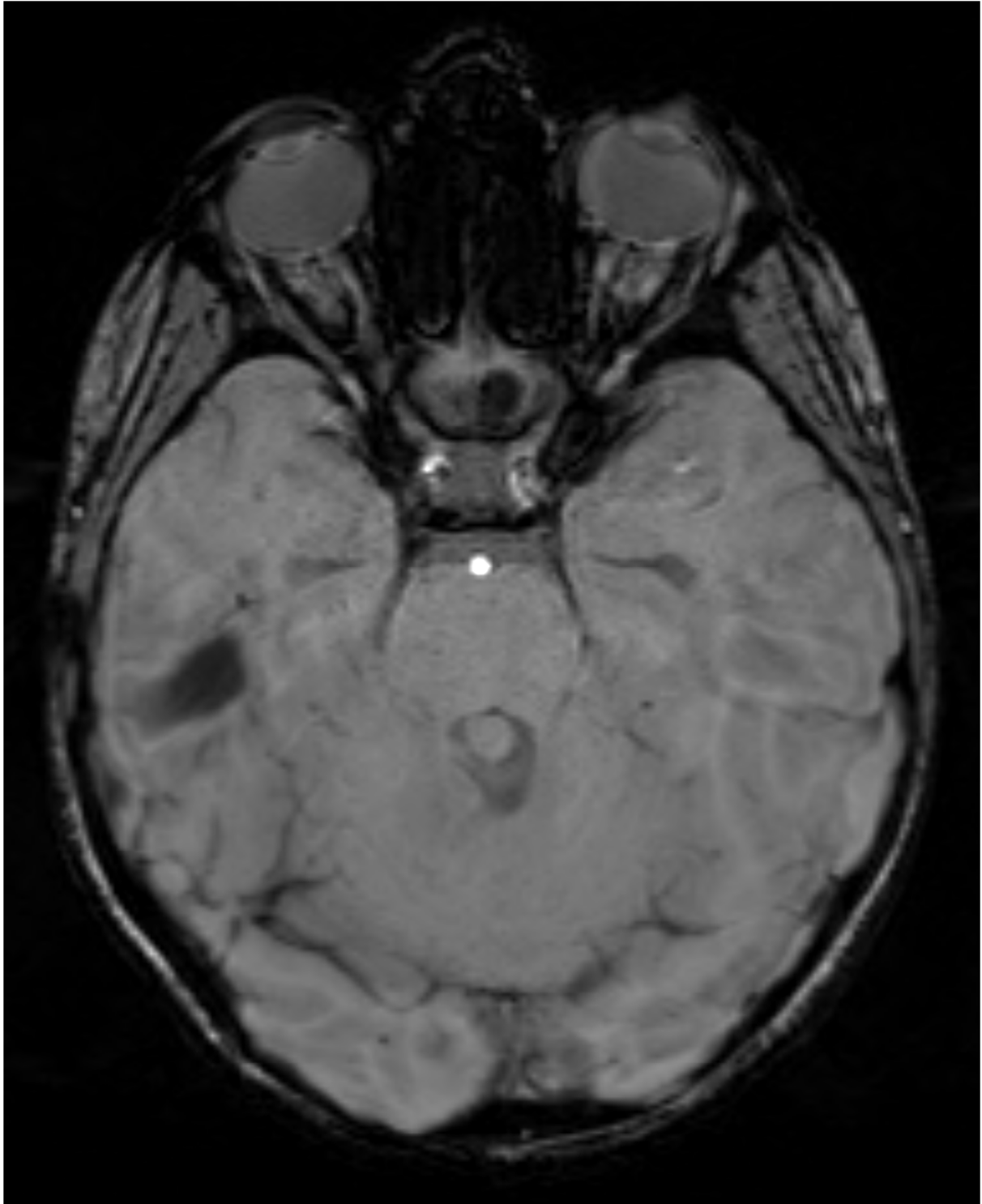
**Table 1: Patient Characteristics**

<b>Category</b>	<b>Characteristics</b>
<b>Age</b>	Mean 8.1 years (range 1.5-18 years)
<b>Gender</b>	Male/Female 63:37
<b>Tumor Pathology</b>	Medulloblastoma/PNET 28 Ependymoma 19 Craniopharyngioma 17 Pilocytic/Pilomyxoid astrocytoma 9 Germinoma 7 GBM/Anaplastic Astrocytoma 4 ATRT 3 Brainstem glioma 5 Mature teratoma 3 Pineal Parenchymal Tumor 2 Pineoblastoma 1 Pituitary adenoma 1 Meningioma 1
<b>Tumor Location</b>	Supratentorial 50 - Sella/Suprasellar/Optic Pathway 27 - Cerebral Hemisphere 12 - Pineal 11 Infratentorial 48 -Cerebellar/4 <sup>th</sup> ventricle 43 -Brainstem 5 Multifocal 2
<b>Total cranial radiation dose</b>	Mean 54.6 Gy (range 30-59.4 Gy)

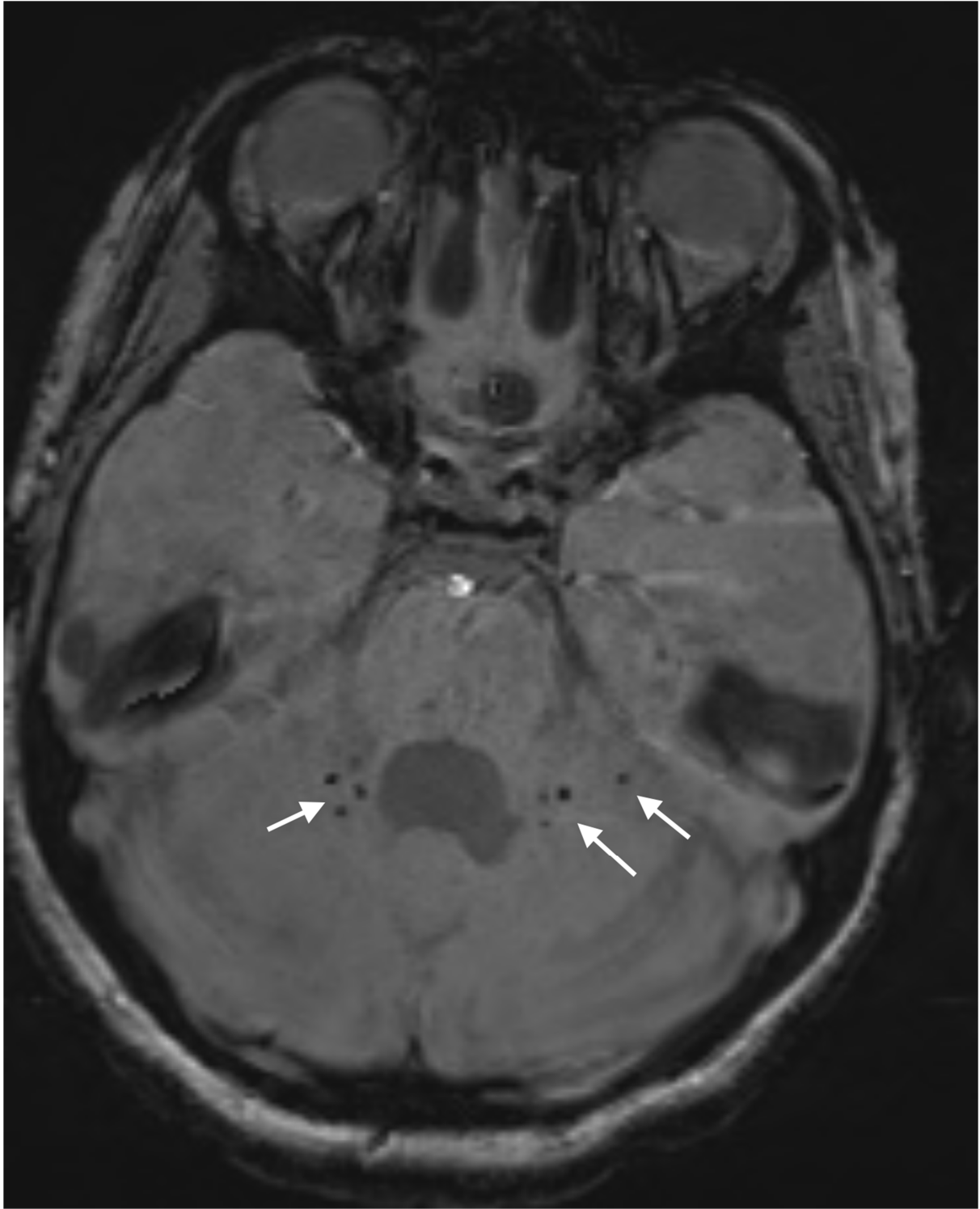
Abbreviations: PNET: primitive neuroectodermal tumor; GBM: glioblastoma multiforme; ATRT: atypical teratoid rhabdoid tumor

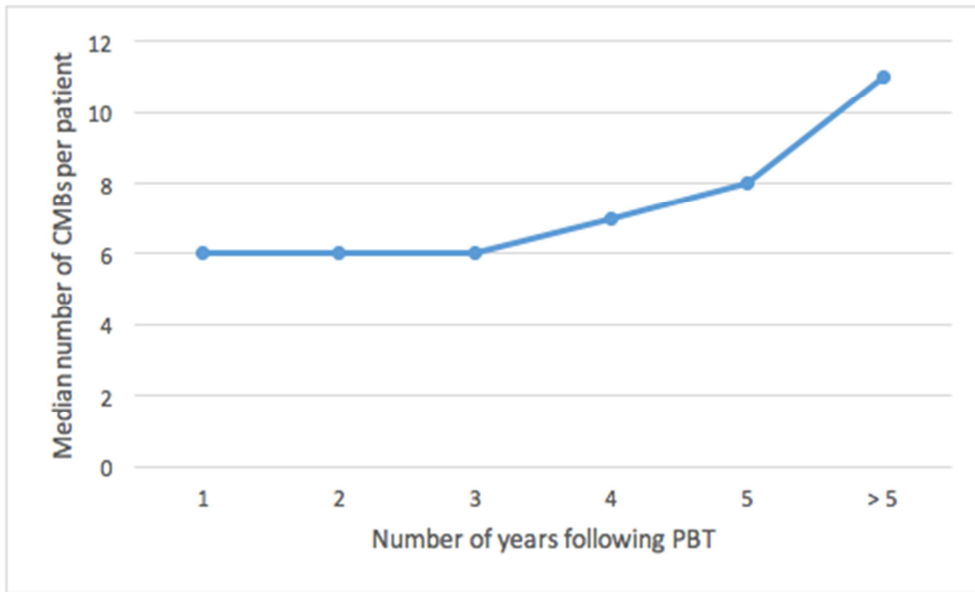


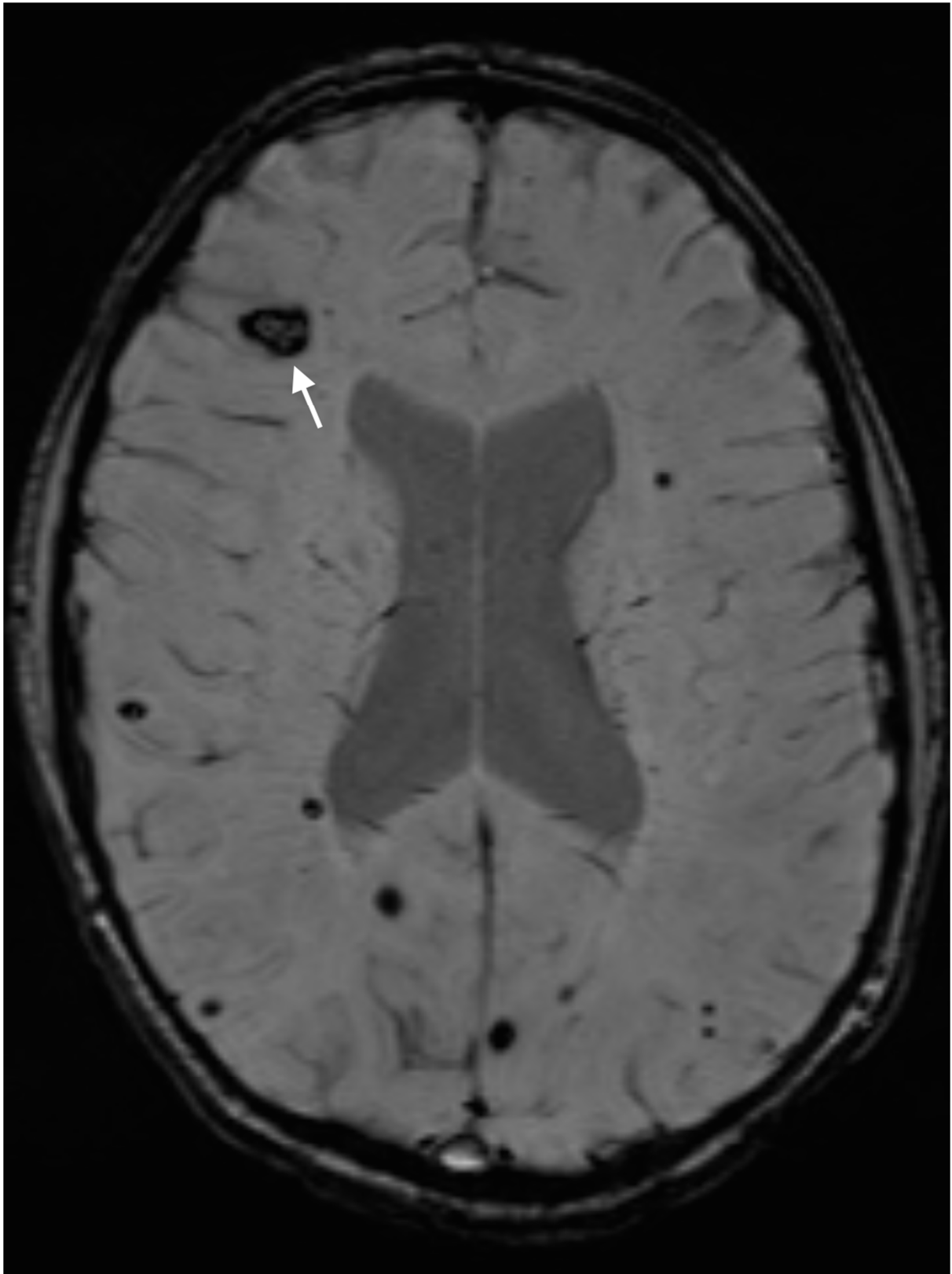
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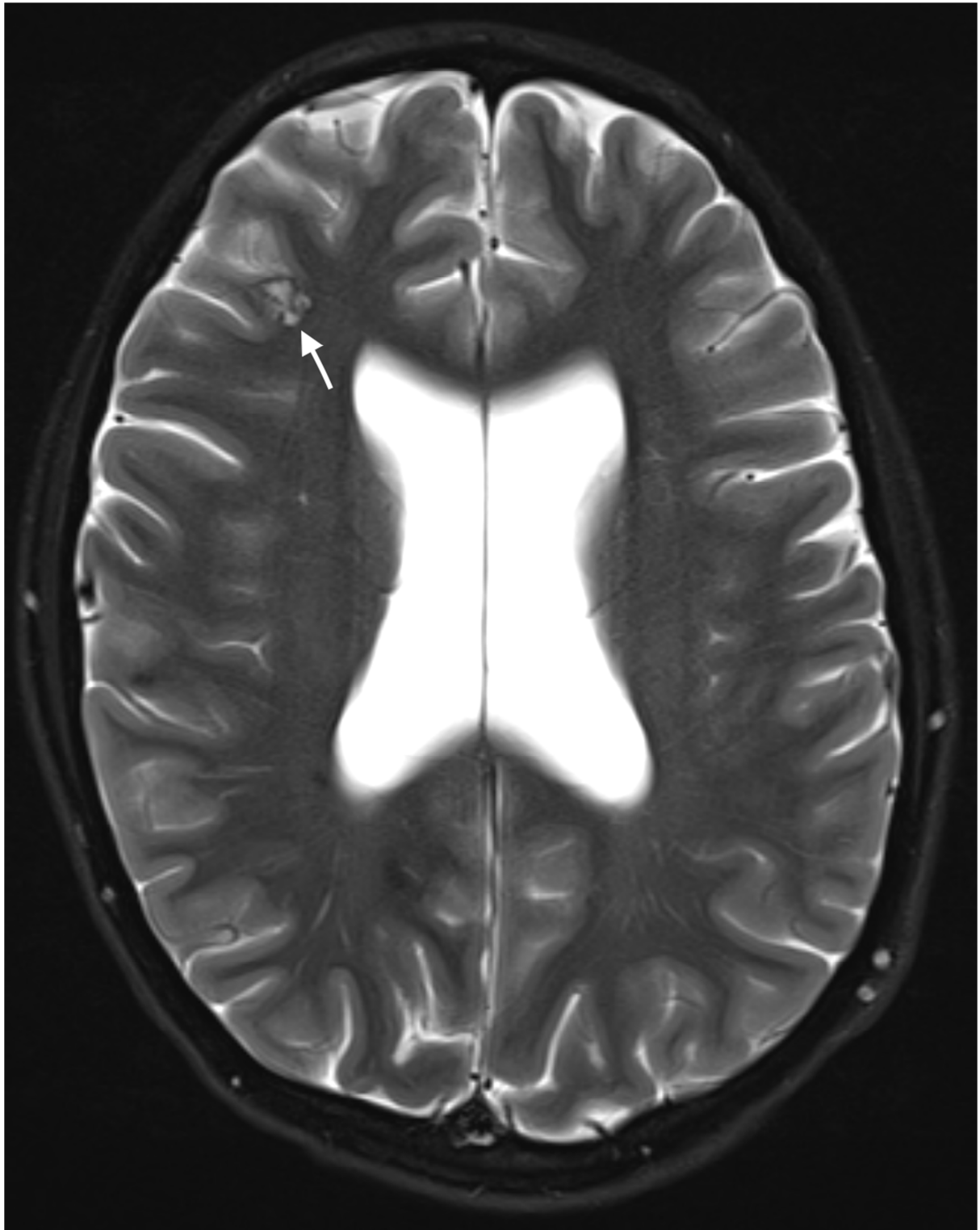


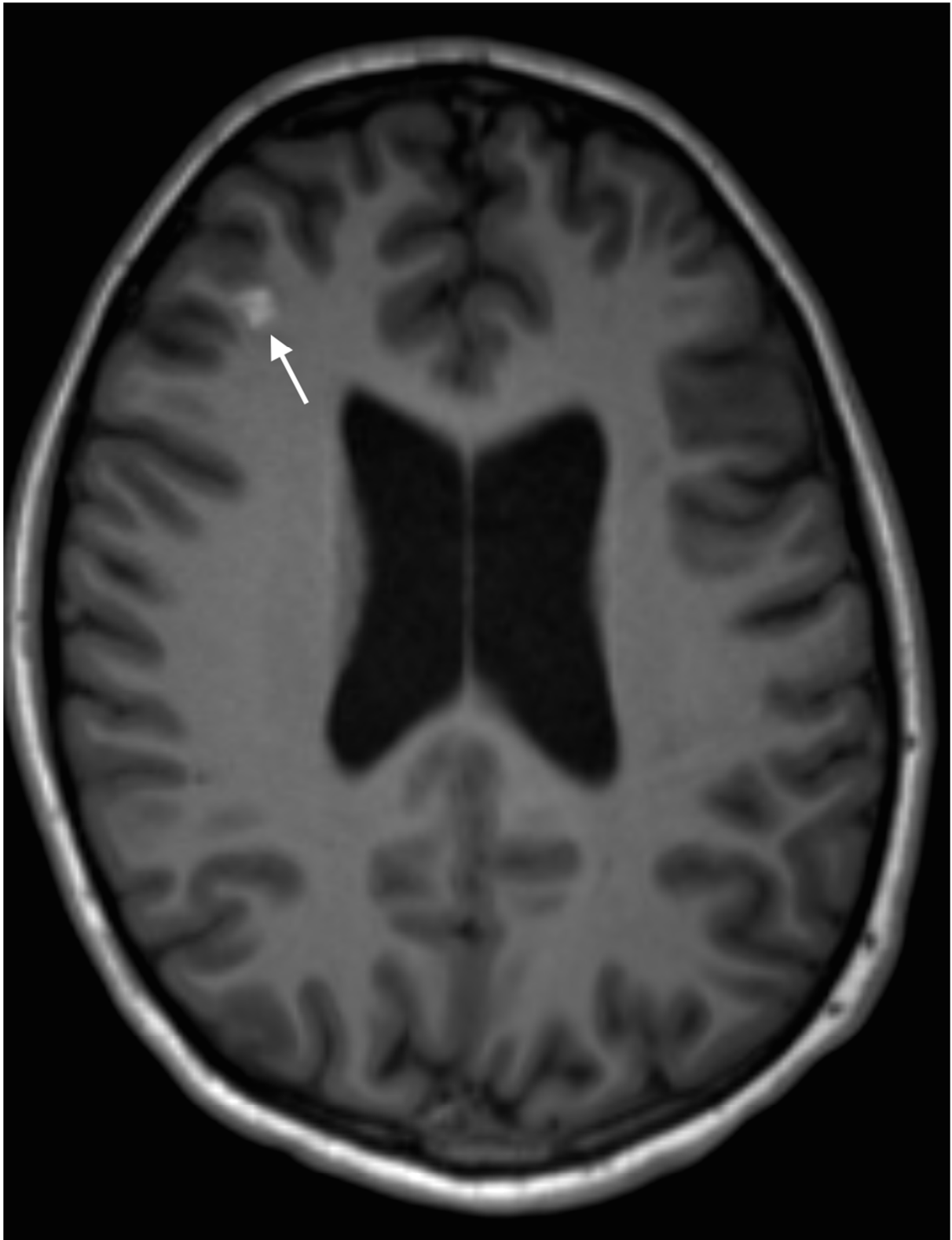
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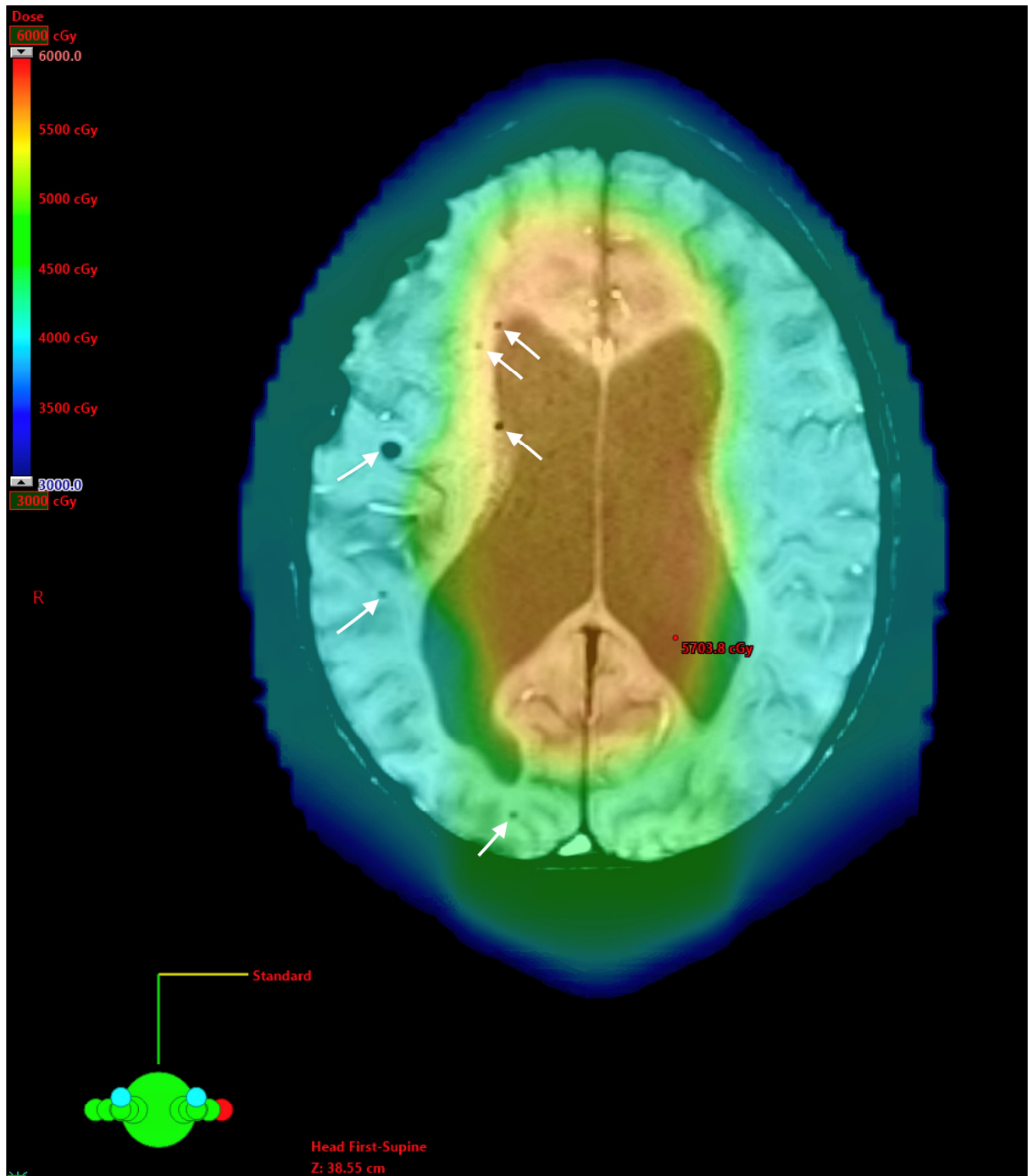












**SUMMARY:**

This study investigates radiation-induced cerebral microbleeds (CMBs) in pediatric patients with primary brain tumors treated with proton radiotherapy. This complication of radiotherapy has not been significantly studied following proton radiotherapy in the pediatric patient population, particularly with regards to timing, incidence and associated risk factors. CMBs have been associated with decreased neurocognitive function indicating the potential clinical significance. This research establishes an estimate for the timing and incidence of CMBs, evaluates how CMBs accrue over time, investigates risk factors associated with development of CMBs, and allows for a comparison between photon and proton radiotherapy.