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## Convergent genetic and expression data implicate immunity in Alzheimer's disease

*A full list of authors and affiliations appears at the end of the article.*

### Abstract

**Background**—Late-onset Alzheimer's disease (AD) is heritable with 20 genes showing genome wide association in the International Genomics of Alzheimer's Project (IGAP). To identify the biology underlying the disease we extended these genetic data in a pathway analysis.

**Methods**—The ALIGATOR and GSEA algorithms were used in the IGAP data to identify associated functional pathways and correlated gene expression networks in human brain.

**Results**—ALIGATOR identified an excess of curated biological pathways showing enrichment of association. Enriched areas of biology included the immune response ( $p = 3.27 \times 10^{-12}$  after multiple testing correction for pathways), regulation of endocytosis ( $p = 1.31 \times 10^{-11}$ ), cholesterol transport ( $p = 2.96 \times 10^{-9}$ ) and proteasome-ubiquitin activity ( $p = 1.34 \times 10^{-6}$ ). Correlated gene expression analysis identified four significant network modules, all related to the immune response (corrected  $p$  0.002 – 0.05).

**Conclusions**—The immune response, regulation of endocytosis, cholesterol transport and protein ubiquitination represent prime targets for AD therapeutics.

### Keywords

Alzheimer's disease; dementia; neurodegeneration; immune response; endocytosis; cholesterol metabolism; ubiquitination; pathway analysis; ALIGATOR; Weighted gene coexpression network analysis

### Background

Alzheimer's disease (AD) affects over 5M Americans: one in eight over the age of 65 and represents >60% of the 6M dementia cases in Europe[1-3]. It is the commonest cause of dementia and imposes a large socioeconomic burden on individuals, their families and society. Prevalence is estimated to treble by 2050: thus understanding the mechanisms underlying this disease and developing treatments for it are essential. This study utilises the largest GWAS sample yet assembled for late-onset AD[4], and is the first to combine

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Correspondence to: Julie Williams.

\*equal contribution

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GWAS and expression data in a systematic search for the biological pathways underlying the genetic susceptibility to this disorder.

Much of our current understanding of the mechanisms that contribute to AD derives from the genetics of Mendelian forms of the disease: mutations in *APP*, *PSEN1* and *PSEN2* cause early onset forms of AD and underpin the amyloid cascade hypothesis[5]. While amyloid deposition is diagnostic of AD, its aetiological contribution to the majority of common late onset AD (LOAD) is unclear and therapeutic strategies addressing the amyloid cascade hypothesis have been unsuccessful[6]. Therefore other therapeutic avenues must be identified and targeted.

LOAD is genetically complex with 56-79% heritability[7]. In the Genetic and Environmental Risk in Alzheimer's Disease (GERAD) dataset[8] approximately 20% of the total trait variance was accounted for by SNPs on the GWAS chip outside the APOE region[9], with the e4 allele of the apolipoprotein E gene[10] accounting for a similar amount[9, 11]. However, a substantial proportion of the genetic variance of late-onset AD is not accounted for by the 20 susceptibility genes currently identified[11]. The remaining genetic variance is likely to be due both to susceptibility genes of small effect which current sample sizes are insufficient to detect, and to rare variants, such as the coding variants in *TREM2*[12], that are poorly tagged by common variants in GWAS panels. In addition, individual genome-wide significant genes identified in such studies may themselves not form good therapeutic targets and the areas of biology that they highlight may only give a partial view of the potential therapeutic landscape. In order to gain the maximum useful information about causative pathways that may underpin LOAD and be prime targets for pharmaceutical intervention we performed a robust pathway and integrated gene expression analysis using the largest available GWAS for AD[4].

## Methods

### Samples and genetic data

The sample comprised 17,008 AD cases and 37,646 control subjects in the primary GWAS analysis, with 8,752 AD cases and 11,312 control subjects in the replication/extension sample and is described in detail elsewhere[4]. Only selected SNPs were genotyped in the replication/extension sample (see Online Methods).

**Pathway analyses**—We explored whether particular biological pathways were enriched for genetic associations[13-14]in the IGAP data[4]. We used ALIGATOR[13-14], to test whether genes containing signals below the genome-wide significance threshold contribute to a pathway signal. ALIGATOR defines significant genes as having a best single-SNP p-value less than a pre-set threshold. The resulting list of significant genes is compared to replicate gene sets generated by sampling SNPs randomly (thereby correcting for gene size). The method also controls for linkage disequilibrium between genes, and multiple testing of non-independent pathways (see Online Methods). Brown's method [15] was used to test pathway enrichment in the replication data. This method combines multiple SNPs together, explicitly correcting for both LD between SNPs and number of SNPs per gene (see Online Methods). Thus, correction for gene size was applied at both stages of the analysis. We

interrogated the externally curated gene ontology (GO), KEGG and MSigDB functional pathway collections (see Online Methods).

**Expression correlation analyses**—We used the expression data from Gibbs et al.[16] and performed weighted gene correlation network analysis (WGCNA) using the WGCNA package[17], separately on each tissue type to identify clusters of highly correlated genes called ‘modules’. These modules were then tested for enrichment of GWA association signal in ALIGATOR.

## Results

The sub-genome-wide significant variation in the IGAP data contains genetic signal, manifest by a significant excess of SNPs at all significance threshold up to  $p = 0.05$  (Supplementary Table 1). This signal is unlikely to be due to uncorrected stratification, since each of the individual Caucasian GWAS samples in the IGAP meta analysis was corrected for ethnic variation using principal components[18].

We first identified a significant excess of biological pathways enriched for association signal in the IGAP data (Table 1, Supplementary Table 2). Using the most significant 18,472 SNPs ( $p < 8.32 \times 10^{-4}$ ) from IGAP[4], covering the top 5% of genes, 177 significantly enriched ( $p < 0.01$ ) curated pathways were identified by ALIGATOR. To ensure that the excess of pathways was not an artifact of LD with genes of strong effect, we performed secondary enrichment analyses removing all genes that lay in the LD region of APOE or any of the genome-wide significant (GWS) genes from the IGAP[4] study. A significant excess of enriched pathways remained (Table 1), showing that the pathways showed significant enrichment independent of the “known” AD genes. Likewise, a significant excess of enriched pathways was observed when the p-value criterion for defining significant SNPs and genes was varied (Supplementary Table 3).

Many of the 177 pathways with  $p < 0.01$  in ALIGATOR are still significantly enriched after removing the APOE region and genes within 1Mb of a genome-wide significant SNP (Table 2, Supplementary Table 4). They remain significantly enriched under a range of p-value criteria for defining significant SNPs, and are also significant under a GSEA analysis [19-20]. This robustness to analysis parameters and methods gives confidence that the enrichments observed by ALIGATOR are genuine. Of the 177 pathways significant at  $p < 0.01$  in the ALIGATOR analysis of the IGAP GWAS, 119 are significant ( $p < 0.05$ ) in the replication sample. This is more than expected by chance (see Online Methods), a further confirmation that the pathways highlighted by the ALIGATOR analysis contain genuine signals. Notably, pathway SNPs had significantly lower replication p-values than non-pathway SNPs even after correcting for their p-value in the original IGAP GWAS (2-sided  $p = 0.0237$ , see Online Methods). Thus, the pathway analyses highlighted which among a set of associated, but not genome-wide significant, SNPs are likely to replicate and therefore be enriched for true signals. To obtain the most strongly enriched pathways in the entire dataset, the p-values from the ALIGATOR analysis were combined with those from the replication study using Fisher's method and corrected for multiple testing of 9,816 pathways using Sidak's formula. Forty-five pathways were significant after multiple testing correction

(Sidak  $p < 0.05$ ) in the combined dataset. These pathways are shown in Table 2, grouped into clusters by gene membership, such that pathways with more than 40% of genes in common are gathered in a cluster.

This multiple testing correction may be considered conservative since it assumes that the pathways are independent, whereas in fact there is considerable genic overlap between them. Sidak-corrected p-values for the combined IGAP GWAS and replication datasets are therefore given in Supplementary Table 4 for all 177 pathways significant at  $p < 0.01$  in the ALIGATOR analysis of the IGAP GWAS. Redundant pathways (i.e. those with high genic overlap with other pathways) were not pruned from our analysis since it is not clear *a priori* which pathways will give the most significant enrichment and should thus be retained. Pruning *a posteriori* (i.e. by choosing the most significant pathways) will bias the significance of the combined discovery and replication p-values (making the correction for multiple testing of pathways anticonservative). The pathway clusters given in Table 2 and Supplementary Table 4 are intended to aid interpretation of our results in light of shared gene membership between pathways, by highlighting areas of biology rather than individual pathways.

The clusters of multiple pathways were related to the broad categories of immune response, regulation of endocytosis, cholesterol transport, protein ubiquitination and clathrin, with the first three of these being particularly strongly enriched for signal. Since one would expect SNPs showing strong association to be significant upon replication regardless of biology, the analysis was repeated removing genes containing a genome-wide significant SNP in the IGAP GWAS from the analysis of the replication data. From Table 2 it can be seen that the immune-related and ubiquitination pathways are still highly significant. Sidak-corrected p-values for all 177 pathways significant at  $p < 0.01$  in the ALIGATOR analysis are shown in Supplementary Table 4. The relationship between the enriched pathways is shown by their shared gene membership (Figure 1). Table 3 lists genes in the clusters identified in Table 2 that are counted as significant (best single-SNP  $p < 8.32 \times 10^{-4}$ ) in the ALIGATOR analysis of the IGAP GWAS and also gene-wide significant (gene-wide  $p < 0.05$ ) in both the IGAP GWAS and the replication data. P-values for all genes counted as significant in the ALIGATOR analysis from the 177 pathways enriched at  $p < 0.01$  are given in Supplementary Table 5.

In contrast to ALIGATOR, GSEA uses all genes (rather than using a threshold) and weights these by their significance, so may highlight different biological signals. We therefore performed a secondary analysis of all pathways using GSEA. Pathways significant under GSEA but not ALIGATOR are shown in Supplementary Table 6. Most of these pathways relate to areas of biology already highlighted in the ALIGATOR analysis, the exceptions being synapse, neuronal differentiation and calcium signalling (Supplementary Table 6). Genes contributing to these pathway signals that are significant in both the IGAP GWAS and the replication study are listed in Supplementary Table 7. Notably, these pathways contain large genes. In addition to the differences between ALIGATOR and GSEA described above, the Simes correction for gene size used by GSEA is less stringent for large genes than that used by ALIGATOR, thereby explaining the discrepancy in the results between the methods.

In the ALIGATOR analysis 73.2% of the top 5% of genes mapped to a pathway, leaving a substantial minority of genes unannotated: in addition many annotated genes may possess other functions not currently annotated. Genes with correlated expression patterns display functional similarities and Zhang et al.[21] highlighted modules of co-expressed genes as being important in the aetiology of LOAD. Therefore, in order to overcome the annotation gap and access biologically related signal across the entire genome, we created modules of brain co-expressed genes and tested them for enrichment of association signal in the IGAP GWAS. The dataset we used consisted of gene expression data from four brain regions in a sample of approximately 150 control brains[16], and was independent from that used by Zhang et al.[21]. We used control individuals rather than AD cases so that correlations between expression levels would not be confounded by neuron loss. A weighted gene correlation network analysis (WGCNA)[17] gave 117 modules of co-expressed genes in these data (see Online Methods and Supplementary Table 8): these 117 modules were tested for enrichment of association signal in the IGAP GWAS using ALIGATOR. Four modules were found to be significantly enriched after correcting for multiple testing, and these enrichments were robust to varying p-value criteria and analysis methods (Supplementary Table 9). The four significantly enriched modules, one from each brain region, are all related to the immune response and have overlapping gene membership (Figure 2).

The extent to which the overlap in gene membership between these modules is related to the GWAS signal was investigated by examining genes that occurred in multiple modules and testing these for enrichment using ALIGATOR and GSEA (Supplementary Table 10). It can be seen that the set of 151 out of 294 genes that are present in two or more modules consistently showed the most significant enrichment of IGAP signal across a variety of test criteria. Conversely, the set of 143 genes present in only one module showed no significant enrichment for association signal, highlighting the utility of using multiple datasets to produce meaningful co-expression modules. Figure 2 shows the strongest correlations ( $>0.9$  in at least one brain area) between the 151 genes present in two or more modules. It can be seen that the TYROBP gene highlighted by Zhang et al.[21] as an important causal regulator is also a hub gene in this network. Pathways significantly enriched in the 151 genes present in two or more modules are shown in Figure 2, clustered by gene membership. Many of the enriched pathways are immune-related, but some are related to fatty acid metabolism and lipoprotein, further corroborating the results of our analysis of the IGAP GWAS data. A list of the 151 genes is shown in Supplementary Table 11.

We also directly tested the modules described by Zhang et al.[21] for enrichment of association signal in the IGAP GWAS data (Supplementary Table 12). No single module was significantly enriched after correction for multiple testing of modules (“corr p”  $<0.05$ ), but the most significantly enriched modules are immune-related. Interestingly, the immune/microglia module highlighted by Zhang et al.[21] (#1, yellow) did not show significant enrichment for association signal in the IGAP GWAS under ALIGATOR analysis, although it did show moderate enrichment under GSEA. However, the 108 genes common both to this module and the set of 151 genes present in two or more of the four most significantly enriched modules in our analysis do show enrichment, which becomes progressively more significant as increasingly stringent criteria are used to select significant SNPs and genes (Supplementary Table 13). The genes that are in the Zhang module but not our set of 151

genes show no significant enrichment for association signal under either ALIGATOR or GSEA analysis.

## Discussion

This analysis reveals pathways aetiologically related to AD in addition to those identified previously[14, 22]. The current sample[4] is larger than any used before and was imputed on a dense reference panel, giving improved gene coverage, and is therefore likely to be more powerful to detect real associations than any previous study. A larger set of pathways has been analysed than previously and annotations have changed, so gene membership of pathways is not identical to previous studies, though a substantial proportion of genes still fall into the annotation gap and are not currently mapped to any pathway. In the current analysis we also clustered genes that were within 1Mb of each other together in ALIGATOR, to prevent counting a single signal more than once. Secondary analyses were also performed removing genes in the APOE LD region and within 1Mb of the GWS genes. This was done to prevent pathway enrichments being biased by LD between pathway genes and neighbouring genes of strong effect, and to test whether there were significant pathway enrichments independent of “known” AD genes. Such enrichments would increase the interest of novel pathways and genes highlighted by the main analysis. Despite these differences, many of the pathways previously identified[14] show enrichment in the IGAP dataset (Supplementary Table 14). These include cholesterol transport, immunity and the synaptic transmission, cholinergic pathway, the latter being the target of the cholinesterase class of drugs widely used in AD.

We used both GWAS and expression data to detect functional pathways associated with AD. ALIGATOR analysis of combined IGAP-GWAS and replication samples highlights four main areas of biology: the immune response, regulation of endocytosis, cholesterol transport and protein ubiquitination. The immune response is particularly significant in the replication sample, even when GWS genes from the IGAP GWAS are excluded. The replication SNPs were not chosen for pathway membership and do not survey the genome randomly, so the lack of significance in some pathway clusters once the GWS genes are removed does not mean that there is no excess signal in these pathways: this may simply not have been measured. However these data indicate that further genes that are involved in the immune response are likely to be implicated in LOAD. Both regulation of endocytosis and cholesterol transport are functions also implicated by the genome-wide significant genes, while the immune response and protein ubiquitination contain fewer genome-wide significant signals[4]. The most significant signals in the GSEA analysis relate to the same biology but add some additional categories related to neurological biology including the synapse and neuronal projection development along with calcium-related signalling, not revealed by ALIGATOR. It is notable that these areas of biology are linked by common gene membership (Figure 1) and their interdependence may also be important in susceptibility to AD.

The additional immune response genes implicated in cluster 1 (Table 3) are plausible AD risk genes: *CR2* encodes complement receptor 2 which is present on subsets of B-cells as is the GWS *CRI*. *HLA-DQB1* is in the chromosome 6 HLA locus in common with several



GWS loci. *INPP5D* is genome-wide significant once replication analyses are taken into account[4]. As well as being annotated as having immune system activity, *ADAM10* has been proposed as a candidate  $\alpha$ -secretase that cleaves APP to prevent the production of  $\beta$ -amyloid[23]. The protein ubiquitination cluster 5 (Table 3) includes two ATPase subunits of the 19S proteasome, *PSMC3* and *PSMC6*, and three proteins involved in transcriptional control, *POLR2E*, *SUPT4H1* and *TAF6*. *CNN2*, encoding calponin 2, thought to regulate the actin cytoskeleton[24] appears in the endocytosis cluster, though it can also regulate phagocytosis in macrophages[25]. The additional genes from GSEA include *CHRNA2* encoding the neuronal cholinergic receptor, nicotinic, alpha 2 and *RAPSN*, the receptor-associated protein of the synapse, both of which appear in the synaptic transmission, cholinergic pathway (Supplementary Table 13). *CAVI* encodes caveolin 1 which can interact with APOE[26] and is found in caveolae, areas of cholesterol-rich lipid raft involved in endocytosis. *CACNA1D* encodes the calcium channel, voltage-dependent, L type, alpha 1D subunit, one of a series of alpha subunits that confer channel-specific properties, influences insulin secretion and is a risk gene for type 2 diabetes[27]. Finally, *APP* itself is highlighted in this analysis: it is annotated in both the synapse and neuronal clusters. Recent findings show that there is at least one rare protective coding variant in *APP* seen in late onset AD[28] and this signal may reflect this or other relatively rare variants.

Convergent evidence for the importance of the immune response in AD susceptibility was obtained by performing WGCNA on expression data from four brain regions. The four modules that were significantly enriched for association in the IGAP GWAS after multiple testing correction were all related to the immune response, and shared multiple genes in common: *INPP5D* is GWS[4] and was the only GWS gene found in these modules. The enrichment for association was driven by genes that occurred in two or more of these modules. None of the modules from Zhang et al.[21] was significantly enriched for genetic association after multiple testing correction, though the immune-related modules in their study gave the strongest signal. However, while the microglia module highlighted by Zhang et al.[21] did not show significant enrichment for association, the genes shared in common with our significant expression modules did, highlighting the utility of using multiple expression datasets in generating biologically-meaningful modules. The *TYROBP* gene highlighted by Zhang et al. as an important causal regulator is also a hub gene in this network[29].

Regulation of endocytosis, cholesterol transport and ubiquitination were not strongly represented in our WGCNA modules, which may relate to the large size of the modules and the use only of brain gene expression. In addition, co-ordinated gene expression in brain may well reflect differences in distribution of specific cell types or sub-types[30]. The brain expression signatures we used came from non-neurologically compromised brains but it is likely that changes in microglial composition or fate in response to inflammation or infection in these subjects could propagate such co-ordinate changes in gene expression. *TREM2* is one of the 151 genes that occur in two or more expression modules (Figure 2) and rare variants in *TREM2* are associated with a significant increase in AD susceptibility[12]. *TREM2* regulates the phenotype of microglia controlling their downstream activation to an inflammatory or phagocytotic fate, thought to promote or

inhibit AD pathogenesis respectively[31]. Thus the expression signature we detect through genome-wide association may also be a marker for changes in microglial phenotypes that act to enhance or inhibit the susceptibility of individuals to AD.

As the main motivation for genetic analysis of complex traits is to understand the biology of disease and inform the search for treatments, interpretation of genetic signals in a biologically meaningful way is essential. Pathway analyses that integrate multiple dense sources of data provide a means of starting to do this. Identifying strong susceptibility targets also highlights potential drug targets. While expression analyses alone can provide important clues about aetiology of disease, integrating them with genetic data which identify causative factors underlying susceptibility to disease ensures that the gene expression signatures revealed are related to disease aetiology rather than secondary effects, making the pathways highlighted by the analysis primary targets for therapy. This study implicates regulation of endocytosis and protein ubiquitination, in addition to cholesterol metabolism, as potential therapeutic targets in AD. It strongly reinforces the critical role of the immune system in conferring AD susceptibility: gaining a detailed mechanistic understanding of the events within the immune system that predispose to AD and investigating how to address these mechanisms should now be a priority for AD research.

## Online Methods

**IGAP meta-analysis data**—The main dataset was reported by the International Genomics of Alzheimer's Project (IGAP) consortium[4] and consists in total of 17,008 cases and 37,646 controls. The full details of the samples and methods for conduct of the GWA studies are provided in the respective manuscripts[4, 8, 22, 37-39]. This sample of AD cases and controls comprises 4 data sets taken from genome-wide association studies performed by GERAD, EADI, CHARGE and ADGC[40].

Each of these datasets was imputed with Impute2 software using 1000 genomes data (release Dec2010) as a reference panel. In total 11,863,202 SNPs were included in the SNPs allelic association result file. To make our analysis as conservative as possible, we only included autosomal SNPs which passed stringent quality control criteria, i.e. we included only SNPs with minor allele frequencies (MAF)  $\geq 0.01$  and INFO score greater than or equal to 0.8 in each individual study, resulting in 7,055,881 SNPs which are present in at least 40% of the AD cases and 40% of the controls in the analysis. We corrected all individual SNPs p-values for genomic control (GC)  $\lambda=1.087$ . These SNPs are well imputed on a large proportion of the sample, which increases confidence in the accuracy of the association analysis upon which the pathway and gene-wide analyses are based.

**Replication data**—11,632 SNPs with p-values  $<10^{-3}$  in the IGAP meta-analysis were successfully genotyped in a replication sample comprising 8,492 cases and 11,392 controls (see primary IGAP manuscript[40] for more details).

**Assignment of SNPs to genes**—SNPs were assigned to genes if they were located within the genomic sequence lying between the start of the first and the end of the last exon of any transcript corresponding to that gene, as defined by NCBI. The chromosome and



location for all currently known human SNPs was taken from the dbSNP132 database, as was their assignment to genes (using build 37.1). In total, we retained 2,804,431 (39.7% of the total) SNPs which annotated 28,636 unique genes with 1-16,514 SNPs per gene. Pathway analyses were also performed using 10kb and 60kb windows around genes to assign SNPs to genes.

**Assignment of genes to functional gene sets**—Genes were assigned to a series of functional gene sets defined by five independent sources: 1) Gene ontology (GO) [41] (<http://www.geneontology.org/>; downloaded 11/6/2011), 2) Kyoto Encyclopedia of Genes and Genomes (KEGG) [42] (<http://www.genome.jp/kegg/>; downloaded 27/6/2011), 3) the “canonical pathways” collection from the Molecular Signatures Database v3.0 (MsigDB) (<http://www.broadinstitute.org/gsea/msigdb/index.jsp>) accessed on 2/2/2011. We restricted our analysis to a total of 9,816 functional gene sets containing between 3 and 500 genes: 8,888 in GO, 234 in KEGG and 694 in MsigDB.

### Statistical analysis

**Gene-wide significance**—Gene-wide significance was calculated by combining single-SNP p-values while controlling for LD and different number of marker's per gene using Brown's method[15] adopted for set-based analysis of genetic data[43].

**ALIGATOR analysis**—ALIGATOR was then used to test the list of gene-wide significance measures for enrichment within functional gene sets as previously described in Holmans et al.[13]. Unlike methods designed for gene-expression data (where there is typically only one measurement per gene), ALIGATOR corrects for variable numbers of SNPs per gene. ALIGATOR takes a list of significant SNPs and converts this into a list of the genes in which these SNPs lie. Each gene is counted once regardless of how many significant SNPs it contains, thus eliminating the influence of linkage disequilibrium (LD) between SNPs within genes. Replicate gene lists of the same length as the original are generated by randomly sampling SNPs (thus correcting for variable gene size). The lists are used to obtain p-values for enrichment for each gene set, to correct these for testing multiple non-independent gene sets, and to test whether the number of significantly enriched gene sets is higher than expected.

To minimise the possibility of multiple significant genes in a pathway that are close together reflecting the same association signal due to LD, we conservatively grouped significant genes that were <1Mb apart and located in the same functional gene set into one signal. To remove the possibility of a small gene set being deemed significantly enriched based on just one signal, we only classed gene sets as being enriched if they carried at least two signals

To assess the potential of any bias caused by LD with strong association signals that had been previously identified in these samples, we also performed ALIGATOR analysis after excluding three sets of genes: a) all genes within 1Mb of APOE (77 genes), b) all genes within 1 Mb of APOE and the 21 genes containing a SNP reaching genome-wide significance ( $p < 5 \times 10^{-8}$ ) in the IGAP meta-analysis (98 genes) c) all genes within 1Mb of APOE or any of the 21 genome-wide significant genes (552 genes). The same p-value criteria for defining significant SNPs are used for all these analyses.

**Definition of significant SNPs and genes for ALIGATOR**—ALIGATOR requires that a set of significant SNPs are chosen to define the list of significant genes used to determine pathway enrichment [13]. As the genetic signal extends beyond the genome-wide significant genes (Supplementary Table 1), the p-value cut off used to select these SNPs should be fairly lax. For our primary analysis, we selected SNPs such that 5% of the genes would be deemed significant. When no gene window was used to assign SNPs to genes, this required 18,472 SNPs, with a p-value criterion for inclusion of  $8.32 \times 10^{-4}$ . When a 10kb window was used to assign SNPs to genes, the SNP p-value criterion required to cover the top 5% of genes is reduced to  $5.39 \times 10^{-4}$ , (14,385 SNPs) and using a 60kb window reduces the criterion still further, to  $1.66 \times 10^{-4}$  (7,807 SNPs). Supplementary Table 2 shows the number of significantly enriched pathways using each of these windows. It can be seen that using a 0kb window gives a more significant excess of enriched pathways than the 10kb or 60kb windows. Thus, the 0kb window was used for all analyses presented in this paper. To ensure that the results of the ALIGATOR analyses are not dependent on the choice of p-value criterion for defining significant genes, secondary analyses were performed using a range of p-value criteria.

**Gene-set-enrichment (GSEA) analysis**—As a further validation of the ALIGATOR results, and to show that the results of our analyses are not driven by the choice of p-value cut-off for defining significant genes, gene-set enrichment analysis (GSEA) was performed on the gene sets nominally-significant ( $p < 0.05$ ) in the ALIGATOR analyses using the method described in [44]. Rather than defining a list of significant genes, GSEA ranks all genes in order of a gene-wide association statistic, and tests whether the genes in a particular gene set have higher rank overall than would be expected by chance, weighted by the values of their gene-wide association statistic (thus giving more weight to significant genes). Following Wang and colleagues, in order to allow for varying numbers of SNPs per gene, the gene-wide statistic used was the Simes-corrected single-SNP p-value [45]. Since the GSEA method is known to be sensitive to very strongly associated genes, the analysis was performed removing all genes within 1Mb of APOE, and also the 21 genome-wide significant genes.

**Clustering of significantly-enriched gene sets**—To aid functional interpretation, gene sets significantly enriched in the ALIGATOR analysis were assigned to clusters according to the genes they contain. This was done as follows: For each pair of gene sets, an overlap measure K was defined as the number of genes in common to both sets divided by the number of genes in the smaller dataset. A gene set was assigned to a cluster if the average K between it and the gene sets already in the cluster was greater than 0.4. If it was not possible to assign a gene set to an existing cluster, a new cluster was started. This procedure was carried out recursively, in descending order of enrichment significance.

**Pathway analysis of replication data**—Pathway-wide evidence of association in these data was assessed by aggregating the p-values of all SNPs in the pathway using the method of Brown. This is a generalisation of Fisher's method for combining p-values in situations where the p-values are not independent, and was adapted to genetic association data by Moskvina et al. (2011) [43]. LD between SNPs was estimated using the December 2010

release of the 1000 Genomes data (the same release that was used to impute the data for the IGAP meta-analysis).

The pathways of interest contain several genes with very significant associations in the IGAP meta analysis that are also strongly associated in the replication study. Since the presence of such genes can give rise to a significant Brown p-value even in the absence of signal from the remainder of the pathway, the analysis of the replication data was repeated removing all SNPs from genes containing a genome-wide significant ( $p < 5 \times 10^{-8}$ ) SNP in the IGAP meta-analysis.

**Effect of varying p-value criterion on pathway analysis**—A significant excess of enriched pathways is still observed (Supplementary Table 3) when the p-value criterion for defining significant SNPs (and, thus, genes) is varied. Again, the significantly enriched pathways from Table 3 also show significant enrichment over a range of p-value criteria (Supplementary Table 4), thus giving extra confidence that the enrichments are genuine, and not an artefact of how the significant genes are defined. This is confirmed by observing that many of the significantly enriched pathways from the ALIGATOR analysis also have significant p-values in the GSEA analysis (Table 3 and Supplementary Table 4).

**Replication of pathway analysis results**—Further confirmation of pathway significance was gained from a replication sample in which a subset of SNPs from the main IGAP study (excluding the APOE region) were studied: these showed a significant enrichment of association signal in the pathways identified by the pathway analysis of the IGAP meta-analysis data. Pathway-wide Brown p-values derived from the replication data (see online methods) are given in Supplementary Table 4 for all 177 pathways enriched at  $p < 0.01$  in the original ALIGATOR analysis. Of these pathways, 119 have a Brown  $p < 0.05$  when all SNPs in the pathway are included, and 97 have a Brown  $p < 0.05$  after removal of SNPs from genes with a genome-wide significant SNP in the IGAP meta-analysis. These are considerably higher than expected by chance, and indicate the presence of genuine AD risk variants in these pathways, even outside the “known” AD risk genes.

Genes containing a significant SNP ( $p < 8.32 \times 10^{-4}$ ) in the IGAP meta-analysis that are also nominally significant (gene-wide  $p < 0.05$ ) in the replication data are shown in Table 4 for the pathway clusters listed in Table 2. Gene-wide p-values for all genes containing a significant SNP ( $p < 8.32 \times 10^{-4}$ ) in the IGAP meta-analysis that lie in any of the 177 pathways enriched at  $p < 0.01$  in the ALIGATOR analysis are shown in Supplementary Table 5.

As a final test of whether SNPs that lie in pathways of interest are enriched for association signal in the replication data, a regression analysis of replication p-value on pathway membership was performed. Specifically, the 5297 replication SNPs that lay within gene boundaries were sorted in order of their IGAP meta-analysis p-value. The list was then pruned by removing SNPs within 100kb of a more significant SNP from the IGAP meta-analysis. This left 730 SNPs. The pruning procedure was carried out to prevent the regression analyses being biased by clusters of neighbouring SNPs with similar p-values. Of these pruned and filtered SNPs 163 were in the 177 pathways enriched at  $p < 0.01$  in the ALIGATOR analysis and were found to have significantly lower p-values than the genic

SNPs not in the pathways ( $p=5.57\times 10^{-5}$ ). However, the ALIGATOR analysis preferentially selects pathways enriched for significant SNPs in the IGAP meta analysis and the IGAP meta analysis p-value is a highly significant predictor of replication p-value ( $p<2\times 10^{-16}$ ). While this shows that pathway SNPs are selected from genes that are likely to be true positives, in order to demonstrate an advantage of pathway membership (in terms of replication p-value) over and above that conferred by being significant in the IGAP meta-analysis, a linear regression was carried out of  $-\log(\text{replication } p)$  on  $-\log(\text{IGAP meta } p)$  and pathway membership simultaneously. Pathway SNPs had significantly lower replication p-values than non-pathway SNPs even after correcting for IGAP meta p-value (2-sided  $p=0.0237$ ). This provides further evidence of the utility of pathway analysis in highlighting true positive signals.

**Description of Gibbs expression data**—The brain expression data are described in Gibbs et al.[16] and the GEO database reference for the dataset is GSE15745.

**Description of WGCNA and derivation of co-expressed modules**—Present/absent calls were made on the dataset by detection p-value. Any single probeset from a sample was designated absent with a p-value  $>0.1$ . If more than half the probesets in the dataset were absent, they were flagged for removal. In addition, a sample was removed if the number of missing probesets were above 2 standard deviations from the mean of the dataset. After removing probesets with over 50% absence, the remaining data was normalised. The influence of age and post-mortem interval (PMI) on the dataset was accounted for by performing regression according to these values and taking the residuals.

All arrays were separated by tissue type. Each tissue sample set was further assessed for outliers by hierarchical clustering. Any branch of arrays at the top of the dendrogram that contained less than 10% of the total number of arrays was removed so that the further analysis would not be characterising these small sub-groups but focus on more global patterns of gene expression. This pruning was continued until each of the principal branches on the dendrogram contained over 10% of all arrays. The final sample numbers are given in Supplementary Table 15.

Weighted gene correlation network analysis was performed in the R environment using the WGCNA package[17] and performed separately on each tissue type. The dataset was collapsed so that multiple probes were reduced to single gene values based on gene annotation of the probesets obtained from Biomart. For duplicate probesets, the largest mean value for the sample was selected.

Soft-thresholding powers were selected by plotting a range of candidate powers against connectivity measures and observing the values where connectivity began to decrease. These all occurred between power values of 6 and 8 for the 4 tissue types. The modules were then created with this soft-threshold power (using a minimum module size of 20), and the component gene names of the modules extracted. The modules were then tested for enrichment for association signal in the IGAP GWAS as pathways in ALIGATOR using the same thresholds for defining significant SNPs ( $p<8.32\times 10^{-4}$ ) as previously.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

### Authors

Lesley Jones<sup>\*,1</sup>, Jean-Charles Lambert<sup>2,3,4,\*</sup>, Li-San Wang<sup>20,\*</sup>, Seung-Hoan Choi<sup>9</sup>, Denise Harold<sup>1</sup>, Alexey Vedernikov<sup>1</sup>, Valentina Escott-Price<sup>1</sup>, Timothy Stone<sup>1</sup>, Alexander Richards<sup>1</sup>, Céline Bellenguez<sup>2,3,4</sup>, Carla A Ibrahim-Verbaas<sup>5</sup>, Adam C Naj<sup>6</sup>, Rebecca Sims<sup>1</sup>, Amy Gerrish<sup>1</sup>, Gyungah Jun<sup>7,8,9</sup>, Anita L DeStefano<sup>9</sup>, Joshua C Bis<sup>10</sup>, Gary W Beecham<sup>6,11</sup>, Benjamin Grenier-Boley<sup>2,3,4</sup>, Giancarlo Russo<sup>12</sup>, Tricia A Thornton-Wells<sup>13</sup>, Nicola Jones<sup>1</sup>, Albert V Smith<sup>14,15</sup>, Vincent Chouraki<sup>2,3,4</sup>, Charlene Thomas<sup>1</sup>, M Arfan Ikram<sup>16,17</sup>, Diana Zelenika<sup>18</sup>, Badri N Vardarajan<sup>23,24</sup>, Yoichiro Kamatani<sup>19</sup>, Chiao-Feng Lin<sup>20</sup>, Helena Schmidt<sup>21</sup>, Brian Kunkle<sup>6</sup>, Melanie L Dunstan<sup>1</sup>, Agustin Ruiz<sup>22</sup>, Marie-Thérèse Bihoreau<sup>18</sup>, Christiane Reitz<sup>23,24</sup>, Florence Pasquier<sup>3,25</sup>, Paul Hollingworth<sup>1</sup>, Olivier Hanon<sup>27</sup>, Annette L Fitzpatrick<sup>10,28</sup>, Joseph D Buxbaum<sup>29,30,31</sup>, Dominique Campion<sup>32</sup>, Paul K Crane<sup>33</sup>, Tim Becker<sup>34</sup>, Vilmundur Gudnason<sup>14,15</sup>, Carlos Cruchaga<sup>35</sup>, David Craig<sup>36</sup>, Najaf Amin<sup>37</sup>, Claudine Berr<sup>38</sup>, Oscar L Lopez<sup>39</sup>, Philip L De Jager<sup>40,41</sup>, Vincent Deramecourt<sup>2,25</sup>, Janet A Johnston<sup>36</sup>, Denis Evans<sup>42</sup>, Simon Lovestone<sup>43</sup>, Luc Letteneur<sup>44</sup>, Johannes Kornhuber<sup>45</sup>, Lluís Tàrraga<sup>22</sup>, David C Rubinsztein<sup>46</sup>, Gudny Eiriksdottir<sup>15</sup>, Kristel Slegers<sup>47,48</sup>, Alison M Goate<sup>35</sup>, Nathalie Fiévet<sup>2,4</sup>, Matthew J Huentelman<sup>49</sup>, Michael Gill<sup>50</sup>, Valur Emilsson<sup>14,15</sup>, Kristelle Brown<sup>51</sup>, M Ilyas Kamboh<sup>52,53</sup>, Lina Keller<sup>54</sup>, Pascale Barberger-Gateau<sup>44</sup>, Bernadette McGuinness<sup>36</sup>, Eric B Larson<sup>33,55</sup>, Amanda J Myers<sup>56</sup>, Carole Dufouil<sup>44</sup>, Stephen Todd<sup>36</sup>, David Wallon<sup>32</sup>, Seth Love<sup>57</sup>, Pat Kehoe<sup>57</sup>, Ekaterina Rogaeva<sup>58</sup>, John Gallacher<sup>59</sup>, Peter St George-Hyslop<sup>58,60</sup>, Jordi Clarimon<sup>61,62</sup>, Alberti Lleó<sup>61,62</sup>, Anthony Bayer<sup>59</sup>, Debby W Tsuang<sup>63</sup>, Lei Yu<sup>64</sup>, Magda Tsolaki<sup>65</sup>, Paola Bossù<sup>66</sup>, Gianfranco Spalletta<sup>66</sup>, Petra Proitsi<sup>43</sup>, John Collinge<sup>67</sup>, Sandro Sorbi<sup>68,69</sup>, Florentino Sanchez Garcia<sup>70</sup>, Nick Fox<sup>71</sup>, John Hardy<sup>72</sup>, Maria Candida Deniz Naranjo<sup>70</sup>, Cristina Razquin<sup>130</sup>, Paola Bosco<sup>73</sup>, Robert Clarke<sup>74</sup>, Carol Brayne<sup>75</sup>, Daniela Galimberti<sup>76</sup>, Michelangelo Mancuso<sup>77</sup>, MRC CFAS<sup>75</sup>, Susanne Moebus<sup>78</sup>, Patrizia Mecocci<sup>79</sup>, Maria del Zompo<sup>80</sup>, Wolfgang Maier<sup>81</sup>, Harald Hampel<sup>82</sup>, Alberto Pilotto<sup>83</sup>, Maria Bullido<sup>84,85,86</sup>, Francesco Panza<sup>87</sup>, Paolo Caffarra<sup>88,89</sup>, Benedetta Nacmias<sup>68,69</sup>, John R Gilbert<sup>6,90</sup>, Manuel Mayhaus<sup>91</sup>, Frank Jessen<sup>81</sup>, Martin Dichgans<sup>132</sup>, Lars Lannfelt<sup>92</sup>, Hakon Hakonarson<sup>93</sup>, Sabrina Pichler<sup>91</sup>, Minerva M Carrasquillo<sup>94</sup>, Martin Ingelsson<sup>92</sup>, Duane Beekly<sup>95</sup>, Victoria Alavarez<sup>96</sup>, Fanggeng Zou<sup>94</sup>, Otto Valladares<sup>20</sup>, Steven G Younkin<sup>94</sup>, Eliecer Coto<sup>96</sup>, Kara L Hamilton-Nelson<sup>6</sup>, Ignacio Mateo<sup>97</sup>, Michael J Owen<sup>1</sup>, Kelley M Faber<sup>98</sup>, Palmi V Jonsson<sup>99</sup>, Onofre Combarros<sup>97</sup>, Michael C O'Donovan<sup>1</sup>, Laura B Cantwell<sup>20</sup>, Hilikka Soininen<sup>100,101</sup>, Deborah Blacker<sup>102,103</sup>, Simon Mead<sup>67</sup>, Thomas H Mosley Jr<sup>105</sup>, David A Bennett<sup>64,105</sup>, Tamara B Harris<sup>106</sup>, Laura Fratiglioni<sup>107,108</sup>, Clive Holmes<sup>109</sup>, Renee FAG de Bruijn<sup>110</sup>, Peter Passmore<sup>36</sup>, Thomas J Montine<sup>111</sup>, Karolien Bettens<sup>47,48</sup>, Jerome I Rotter<sup>112</sup>, Alexis Brice<sup>113,114</sup>, Kevin Morgan<sup>51</sup>, Tatiana M Foroud<sup>98</sup>, Walter A Kukull<sup>115</sup>, Didier Hannequin<sup>32</sup>, John F Powell<sup>43</sup>, Michael A Nalls<sup>116</sup>, Karen Ritchie<sup>38</sup>, Kathryn L Lunetta<sup>9</sup>, John SK Kauwe<sup>46</sup>, Eric

Boerwinkle<sup>117,118</sup>, Matthias Riemenschneider<sup>119</sup>, Mercè Boada<sup>22,120</sup>, Mikko Hiltunen<sup>100,101</sup>, Eden R Martin<sup>6,11</sup>, Pau Pastor<sup>131</sup>, Reinhold Schmidt<sup>121</sup>, Dan Rujescu<sup>122</sup>, Jean-François Dartigues<sup>44,123</sup>, Richard Mayeux<sup>23,24</sup>, Christophe Tzourio<sup>124</sup>, Albert Hofman<sup>16,17</sup>, Markus M Nöthen<sup>125</sup>, Caroline Graff<sup>126,108</sup>, Bruce M Psaty<sup>10</sup>, Jonathan L Haines<sup>13,127</sup>, Mark Lathrop<sup>18,19,128</sup>, Margaret A Pericak-Vance<sup>6,11</sup>, Lenore J Launer<sup>106</sup>, Lindsay A Farrer<sup>7,8,9,102a,129</sup>, Cornelia M van Duijn<sup>37</sup>, Christine Van Broekhoven<sup>47,48</sup>, Alfredo Ramirez<sup>26</sup>, Gerard D Schellenberg<sup>20</sup>, Sudha Seshadri<sup>129</sup>, Philippe Amouyel<sup>\*,2,3,4,25</sup>, Julie Williams<sup>\*,1</sup>, and Peter A Holmans<sup>1</sup>

## Affiliations

<sup>1</sup>Institute of Psychological Medicine and Clinical Neurosciences, MRC Centre for Neuropsychiatric Genetics & Genomics, Cardiff University, UK <sup>2</sup>Inserm U744, Lille, 59000, France <sup>3</sup>Université Lille 2, Lille, 59000, France <sup>4</sup>Institut Pasteur de Lille, Lille, 59000, France <sup>5</sup>Department of Epidemiology, Clinical Genetics and Neurology, Erasmus MC University Medical Center, Rotterdam, 3015 CE, the Netherlands <sup>6</sup>The John P. Hussman Institute for Human Genomics, University of Miami, Miami, FL, 33124, USA <sup>7</sup>Department of Medicine (Biomedical Genetics), Boston University School of Medicine, Boston, MA, 02218, USA <sup>8</sup>Department of Ophthalmology, Boston University School of Medicine, Boston, MA, 02215, USA <sup>9</sup>Department of Biostatistics, Boston University School of Public Health, Boston, MA, 02118, USA <sup>10</sup>Cardiovascular Health Research Unit, Department of Medicine, University of Washington, Seattle, WA, 98101, USA <sup>11</sup>Dr. John T. Macdonald Foundation Department of Human Genetics, University of Miami, Miami, FL, 33124, USA <sup>12</sup>Functional Genomics Center, Zurich, Switzerland <sup>13</sup>Department of Molecular Physiology and Biophysics, Vanderbilt University, Nashville, TN, 37212, USA <sup>14</sup>University of Iceland, Faculty of Medicine, Reykjavik, Iceland <sup>15</sup>Icelandic Heart Association, Kopavogur, Iceland <sup>16</sup>Departments of Epidemiology, Neurology and Radiology, Erasmus MC University Medical Center, Rotterdam, 3015 CE, the Netherlands <sup>17</sup>Netherlands Consortium for Healthy Aging, Leiden, The Netherlands <sup>18</sup>Centre National de Genotypage, Institut Genomique, Commissariat à l'énergie Atomique, Evry, France <sup>19</sup>Fondation Jean Dausset- CEPH, Paris, France <sup>20</sup>Department of Pathology and Laboratory Medicine, University of Pennsylvania Perelman School of Medicine, Philadelphia, PA, 19104, USA <sup>21</sup>Institute for Molecular Biology and Biochemistry, Medical University of Graz, Graz, 8010, Austria <sup>22</sup>Memory Clinic of Fundació ACE. Institut Català de Neurociències Aplicades, Barcelona, 8029, Spain <sup>23</sup>Taub Institute on Alzheimer's Disease and the Aging Brain, Department of Neurology, Columbia University New York, NY, 10027, USA <sup>24</sup>Gertrude H. Sergievsky Center, Columbia University Department of Neurology, Columbia University, New York, NY, 10027, USA <sup>25</sup>Centre Hospitalier Régional Universitaire de Lille, Lille, 59000, France <sup>26</sup>Department of Psychiatry and Psychotherapy and Institute of Human Genetics, University of Bonn, Bonn, Germany <sup>27</sup>UMR 894 Inserm, Faculté de Médecine, Université Paris Descartes, Paris, 75014, France <sup>28</sup>Departments of Epidemiology and Global Health, University of Washington, Seattle, WA 98101, USA <sup>29</sup>Department of Neuroscience, Mount



Sinai School of Medicine, New York, NY 10027, USA <sup>30</sup>Department of Psychiatry, Mount Sinai School of Medicine, New York, NY 10027, USA <sup>31</sup>Departments of Genetics and Genomic Sciences, Mount Sinai School of Medicine, New York, NY 10027, USA <sup>32</sup>INSERM U614, Faculté de Médecine, Centre Hospitalier du Rouvray, Rouen, France <sup>33</sup>Department of Medicine, University of Washington, Seattle, WA 98195, USA <sup>34</sup>German Center for Neurodegenerative Diseases (DZNE, Bonn) and Institute for Medical Biometry, Informatics and Epidemiology, University of Bonn, Bonn, Germany <sup>35</sup>Department of Psychiatry and Hope Center Program on Protein Aggregation and Neurodegeneration, Washington University School of Medicine, St. Louis, MO 98122, USA <sup>36</sup>Ageing Group, Centre for Public Health, School of Medicine, Dentistry and Biomedical Sciences, Queen's University Belfast, UK <sup>37</sup>Department of Epidemiology, Erasmus MC University Medical Center, Rotterdam, 3015 CE the Netherlands <sup>38</sup>INSERM U888, Hôpital La Colombière, Montpellier, France <sup>39</sup>Departments of Neurology, University of Pittsburgh School of Medicine, Pittsburgh, PA 15213 USA <sup>40</sup>Program in Translational NeuroPsychiatric Genomics, Institute for the Neurosciences, Department of Neurology & Psychiatry, Brigham and Women's Hospital and Harvard Medical School, Boston, MA 02215 USA <sup>41</sup>Program in Medical and Population Genetics, Broad Institute, Boston, MA 02215 USA <sup>42</sup>Rush Institute for Healthy Aging, Department of Internal Medicine, Rush University Medical Center, Chicago, IL 60612, USA <sup>43</sup>King's College London, Institute of Psychiatry, Department of Neuroscience, De Crespigny Park, Denmark Hill, London <sup>44</sup>Inserm U897, Victor Segalen University, F-33076, Bordeaux, France <sup>45</sup>Department of Psychiatry and Psychotherapy, Friedrich-Alexander-University Erlangen-Nuremberg, Germany <sup>46</sup>Cambridge Institute for Medical Research, University of Cambridge, Cambridge, UK <sup>47</sup>Neurodegenerative Brain Diseases Group, Department of Molecular Genetics, VIB, Antwerp, Belgium <sup>48</sup>Laboratory of Neurogenetics, Institute Born-Bunge, University of Antwerp, Antwerp, Belgium <sup>49</sup>Neurogenomics Division, Translational Genomics Research Institute, Phoenix, AZ 85004, USA <sup>50</sup>Mercer's Institute for Research on Aging, St. James Hospital and Trinity College, Dublin, Ireland <sup>51</sup>Institute of Genetics, Queen's Medical Centre, University of Nottingham, UK <sup>52</sup>Department of Human Genetics, University of Pittsburgh, Pittsburgh, PA 15213, USA <sup>53</sup>Alzheimer's Disease Research Center, University of Pittsburgh, Pittsburgh, PA 15213, USA <sup>54</sup>Ageing Research Center, Dept Neurobiology, Care Sciences and Society, Karolinska Institutet and Stockholm University, Stockholm, Sweden <sup>55</sup>Group Health Research Institute, Group Health, Seattle, WA 98195, USA <sup>56</sup>Department of Psychiatry and Behavioral Sciences, Miller School of Medicine, University of Miami, Miami, FL 33124, USA <sup>57</sup>University of Bristol Institute of Clinical Neurosciences, School of Clinical Sciences, Frenchay Hospital, Bristol, UK <sup>58</sup>Tanz Centre for Research in Neurodegenerative Disease, University of Toronto, Toronto, ON M5S 1A1, Canada <sup>59</sup>Institute of Primary Care and Public Health, Cardiff University, Neuadd Meirionnydd, University Hospital of Wales, Heath Park, Cardiff UK <sup>60</sup>Cambridge Institute for Medical Research and Department of Clinical Neurosciences, University of Cambridge, Cambridge CB2 1TN, UK <sup>61</sup>Neurology Department. IIB Sant Pau. Sant Pau Hospital. Universitat

Autònoma de Barcelona, Barcelona, Spain <sup>62</sup>Center for Networker Biomedical Research in Neurodegenerative Diseases (CIBERNED), Barcelona, Spain <sup>63</sup>Department of Psychiatry and Behavioral Sciences, University of Washington, Seattle, WA 98195, USA <sup>64</sup>Department of Neurological Sciences, Rush University Medical Center, Chicago, IL 60612, USA <sup>65</sup>Aristotle University of Thessaloniki, Despere 3, Thessaloniki, 54621, Greece <sup>66</sup>Clinical and Behavioral Neurology, Fondazione Santa Lucia, Roma, Italy <sup>67</sup>MRC Prion Unit, Department of Neurodegenerative Disease, UCL Institute of Neurology, London, UK <sup>68</sup>Department of Neurological and Psychiatric Sciences, University of Florence, Florence, Italy <sup>69</sup>Centro di Ricerca, Trasferimento e Alta Formazione DENOTHE, University of Florence, Florence, Italy <sup>70</sup>Department of Immunology, Hospital Universitario Dr. Negrin, Las Palmas de Gran Canaria, Spain <sup>71</sup>Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, London, UK <sup>72</sup>Department of Molecular Neuroscience and Reta Lilla Weston Laboratories, Institute of Neurology, London, UK <sup>73</sup>IRCCS Associazione Oasi Maria SS, Troina, Italy <sup>74</sup>Oxford Healthy Aging Project (OHAP), Clinical Trial Service Unit, University of Oxford, Oxford, UK <sup>75</sup>Cognitive Function and Ageing Study (CFAS), Institute of Public Health, University of Cambridge, Cambridge, UK <sup>76</sup>University of Milan, Fondazione Cà Granda, IRCCS Ospedale Policlinico, Milan, Italy <sup>77</sup>Neurological Clinic, University of Pisa, Italy <sup>78</sup>Urban Epidemiology, Institute for Medical Informatics, Biometry and Epidemiology, University Hospital Essen, University Duisburg-Essen, Essen, Germany <sup>79</sup>Section of Gerontology and Geriatrics, Department of Clinical and Experimental Medicine, University of Perugia, Perugia, Italy <sup>80</sup>Section of Neuroscience and Clinical Pharmacology, Department of Biomedical Sciences, University of Cagliari, Cagliari, Italy <sup>81</sup>Department of Psychiatry and Psychotherapy, University of Bonn, Germany and German Center for Neurodegenerative Diseases (DZNE, Bonn), Bonn, Germany <sup>82</sup>Department of Psychiatry, University of Frankfurt am Main, Frankfurt am Main, Germany (H.H.) <sup>83</sup>Gerontology and Geriatrics Research Laboratory, I.R.C.C.S. Casa Sollievo della Sofferenza, San Giovanni Rotondo (FG), Italy <sup>84</sup>Centro de Biología Molecular Severo Ochoa (CSIC-UAM); Madrid, Spain <sup>85</sup>Centro de Investigación Biomédica en Red sobre Enfermedades Neurodegenerativas (CIBERNED), Madrid, Spain <sup>86</sup>Instituto de Investigación Sanitaria “Hospital la Paz” (IdIPaz), Madrid, Spain <sup>87</sup>Departement of Geriatrics, Center for Aging Brain, University of Bari, Bari, Italy <sup>88</sup>Department of Neuroscience-University of Parma, Parma, Italy <sup>89</sup>Center for Cognitive Disorders AUSL, Parma, Italy <sup>90</sup>Dr. John T. Macdonald Foundation Department of Human Genetics, University of Miami, Miami, FL 33124, USA <sup>91</sup>Dept. Of Psychiatry, University Hospital, Saarland <sup>92</sup>Dept. of Public Health/Geriatrics, Uppsala University, Uppsala, Sweden <sup>93</sup>Center for Applied Genomics, Children's Hospital of Philadelphia, Philadelphia, PA 19104, USA <sup>94</sup>Department of Neuroscience, Mayo Clinic, Jacksonville Florida 32224 <sup>95</sup>National Alzheimer's Coordinating Center, University of Washington, Seattle, WA 98195, USA <sup>96</sup>Genetica molecular-Huca-Oviedo, Oviedo, Spain <sup>97</sup>Neurology Service and CIBERNED, “Marqués de Valdecilla” University Hospital (University of Cantabria and IFIMAV),

Santander, Spain <sup>98</sup>Department of Medical and Molecular Genetics, Indiana University, Indianapolis, IN 46202, USA <sup>99</sup>Landspítali University Hospital, Reykjavik, Iceland <sup>100</sup>Institute of Clinical Medicine - Neurology, University of Eastern Finland, Kuopio, 70211 Finland <sup>101</sup>Department of Neurology, Kuopio University Hospital, FIN-70211 Kuopio, Finland <sup>102</sup>Department of Epidemiology, Harvard School of Public Health, Boston, MA 02115, USA <sup>102a</sup>Department of Epidemiology, Boston University School of Public Health, Boston, MA 02118, USA <sup>103</sup>Department of Psychiatry, Massachusetts General Hospital/Harvard Medical School, Boston, MA 02115, USA <sup>104</sup>Department of Medicine (Geriatrics), University of Mississippi Medical Center, Jackson, MS 39216 USA <sup>105</sup>Rush Alzheimer's Disease Center, Rush University Medical Center, Chicago, IL 60612, USA <sup>106</sup>Laboratory of Epidemiology, Demography, and Biometry, National Institute of Health, Bethesda, MD 20892, USA <sup>107</sup>Aging Research Center, Dept Neurobiology, Care Sciences and Society, Karolinska Institutet and Stockholm University, Stockholm, Sweden <sup>108</sup>Dept Geriatric Medicine, Genetics Unit, Karolinska University Hospital Huddinge, S-14186 Stockholm <sup>109</sup>Division of Clinical Neurosciences, School of Medicine, University of Southampton, Southampton, UK <sup>110</sup>Departments of Neurology and Epidemiology, Erasmus MC University Medical Center, Rotterdam, 3015 CE, the Netherlands <sup>111</sup>Department of Pathology, University of Washington, Seattle, WA 98195, USA <sup>112</sup>Medical Genetics Institute, Cedars-Sinai Medical Center, Los Angeles, CA 90048, USA <sup>113</sup>CRicm-UMRS975, Paris, France <sup>114</sup>AP-HP, Hôpital de la Pitié-Salpêtrière, Paris, France <sup>115</sup>Department of Epidemiology, University of Washington, Seattle, WA 98195, USA <sup>116</sup>Laboratory of Neurogenetics, Intramural Research Program, National Institute on Aging, Bethesda, MD 20892, USA <sup>117</sup>Human Genome Sequencing Center, Baylor College of Medicine, Houston, TX 77030, USA <sup>118</sup>Human Genetics Center and Div. of Epidemiology, University of Texas Health Sciences Center at Houston, Houston, TX 77030, USA <sup>119</sup>Department of Psychiatry, University Hospital, Saarland <sup>120</sup>Memory Clinic of Fundació ACE, Institut Català de Neurociències Aplicades, Barcelona 8029, Spain <sup>121</sup>Department of Neurology, Medical University of Graz, Graz 8010, Austria <sup>122</sup>Department of Psychiatry, University of Munich, Munich and University of Halle, Halle, Germany <sup>123</sup>Centre de Mémoire de Ressources et de Recherche de Bordeaux, CHU de Bordeaux, Bordeaux, France <sup>124</sup>Inserm U708, Victor Segalen University, F-33076, Bordeaux, France <sup>125</sup>Institute of Human Genetics, Department of Genomics, Life and Brain Center, University of Bonn, and German Center for Neurodegenerative Diseases (DZNE, Bonn), Bonn, Germany <sup>126</sup>Karolinska Institutet, Dept Neurobiology, Care Sciences and Society, KIADRC, Novum floor 5, S14186 Stockholm, Sweden <sup>127</sup>Vanderbilt Center for Human Genetics Research, Vanderbilt University, Nashville, TN 37212, USA <sup>128</sup>McGill University and Génome Québec Innovation Centre, Montreal, Canada <sup>129</sup>Department of Neurology, Boston University School of Medicine, Boston, MA 02215, USA <sup>130</sup>Neurogenetics Laboratory, Division of Neurosciences, Center for Applied Medical Research, University of Navarra School of Medicine, Pamplona, Spain <sup>131</sup>Neurogenetics Laboratory, Division of Neurosciences, Center for Applied Medical Research and

Department of Neurology, Clínica Universidad de Navarra, University of Navarra School of Medicine, Pamplona, Spain. CIBERNED, Centro de Investigación Biomédica en Red de Enfermedades Neurodegenerativas, Instituto de Salud Carlos III, Spain <sup>132</sup>Institute for Stroke and Dementia Research, Klinikum der Universität München, Munich, Germany and German Center for Neurodegenerative Diseases (DZNE, Munich), Munich, Germany

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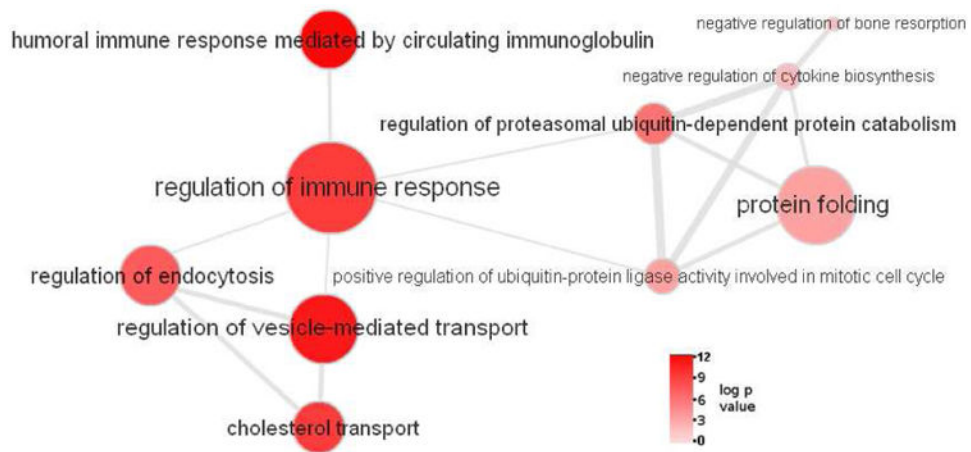
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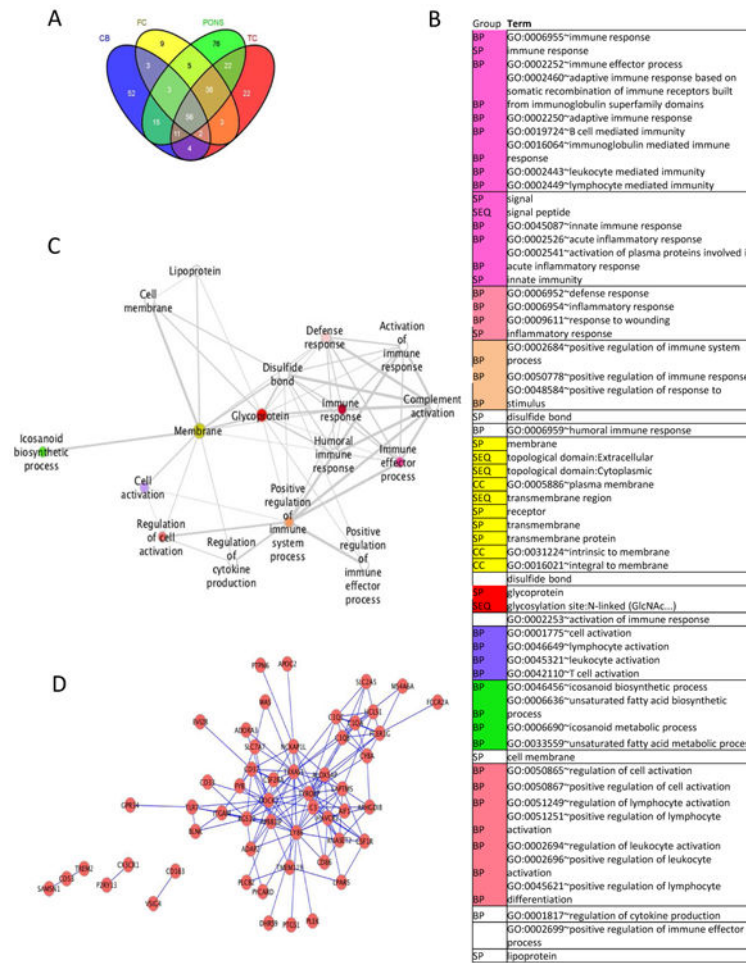
### Research in Context

As the main motivation for genetic analysis of complex traits is to understand the biology of disease and inform the search for treatments, interpretation of genetic signals in a biologically meaningful way is essential. Pathway analyses that integrate multiple dense sources of data provide a means of starting to do this. Identifying strong susceptibility targets also highlights potential drug targets. While expression analyses alone can provide important clues about aetiology of disease, integrating them with genetic data which identify causative factors underlying susceptibility to disease ensures that the gene expression signatures revealed are related to disease aetiology rather than secondary effects, making the pathways highlighted by the analysis primary targets for therapy. This study implicates regulation of endocytosis and protein ubiquitination, in addition to cholesterol metabolism, as potential therapeutic targets in AD. It strongly reinforces the critical role of the immune system in conferring AD susceptibility: gaining a detailed mechanistic understanding of the events within the immune system that predispose to AD and investigating how to address these mechanisms should now be a priority for AD research.



**Figure 1. The pathways highlighted by ALIGATOR ontology analyses are related**

The network was generated in ReVIGO[32] using gene ontology processes identified in ALIGATOR only. Bubble size (and label font size) reflects the frequency of the GO term in the GOA database, bubble colour reflects pathway p-value. Similar GO terms are linked by edges (lines) in the network where line width reflects the degree of similarity between pathways but line length is arbitrary. Strong relationships are revealed between negative regulation of endocytosis and cholesterol transport and many of the pathways are related to the immune response process.



**Figure 2. The immune response is enriched in gene co-expression modules from human brain**

**A** Venn diagram indicating the number of genes in common across the four modules that were found to be significantly enriched in the IGAP GWAS using ALIGATOR after correcting for multiple testing. Each significant module originates from a different brain region as indicated here (Cb = cerebellum, FC = frontal cortex, TC = temporal cortex). **B** Network showing the pathways significantly enriched for gene membership among the 151 genes present in at least two of the four most significantly enriched expression modules: the principal biological themes were derived from DAVID[33-34] analysis. Terms from the analysis were filtered at 0.05% FDR, progressively clustered according to average gene similarity at a threshold of 90% and rendered on Cytoscape with the Enrichment Map plugin[35-36]. The diagram shows only the principal (lowest FDR) term for each of the clusters and white nodes indicate a single term that does not cluster with other groups. Coloured nodes indicate a multi-term cluster: the related terms represented by each node are given in **C**, in increasing significance order. Sources of the functional terms are: BP = GOTERM\_BP\_FAT: Gene Ontology biological processes in DAVID's GO Fat Database; CC = GOTERM\_CC\_FAT: Cellular Component terms in DAVID's GO Fat Database;

SP = SP\_PIR\_KEYWORDS: keywords in the Uniprot (Swiss-Prot/Protein Information Resource) database

SEQ = UP\_SEQ\_FEATURE: Uniprot sequence annotation feature.

The full data are available in Supplementary Table 8

**D** Network showing the strongest correlations in expression ( $>0.9$  in at least one brain area) between genes present in at least two of the four most significantly enriched expression modules.

**Table 1**  
**Significant excess of enriched pathways remain after removing APOE and the genome-wide significant genes**

Genes removed (number of genes)	enrichment p<0.05		enrichment p<0.01		enrichment p<0.001	
	#path	p	#path	p	#path	p
None	542	<0.0002	177	<0.0002	40	<0.0002
APOE+1Mb (77)	446	0.0002	131	0.0006	28	0.0008
APOE+1Mb+GWS (98)	402	0.0020	116	0.0008	23	<0.0002
APOE+1Mb+GWS+1Mb (552)	336	0.0094	93	0.0066	22	0.0018

Genes containing a SNP with  $p < 8.32 \times 10^{-4}$  counted as significant. This corresponds to the top 5% of genes (ranked by most significant SNP) when no genes are removed. 0kb window used to assign SNPs to genes. #path = number of pathways

**Table 2**  
**Clusters of significant pathways in combined IGAP GWAS and replication data (Sidak-corrected p-value <0.05)**

Cluster	Pathway number	#genes	#sig	p-value	p-value no GWS	Description
1	GO: 2455	32	5	3.27E-12	5.72E-01	humoral immune response mediated by circulating immunoglobulin
1	GO:50776	421	29	3.24E-09	1.57E-04	regulation of immune response
1	GO: 2684	421	31	3.95E-09	2.11E-04	positive regulation of immune system process
1	GO:50778	271	21	1.55E-07	6.65E-04	positive regulation of immune response
1	KEGG 4664	78	13	5.76E-04	2.18E-02	Fe epsilon RI signaling pathway
2	GO:60627	140	20	1.31E-11	2.00E-01	regulation of vesicle-mediated transport
2	GO:30100	88	14	6.76E-10	1.06E-01	regulation of endocytosis
2	GO:45806	19	6	3.91E-07	1.77E-02	negative regulation of endocytosis
2	GO:48261	6	3	3.89E-06	9.82E-01	negative regulation of receptor-mediated endocytosis
2	GO:48259	30	6	6.19E-05	1.00E+00	regulation of receptor-mediated endocytosis
3	GO:30301	41	8	2.96E-09	2.51E-01	cholesterol transport
3	GO:43691	16	5	3.90E-09	2.78E-01	reverse cholesterol transport
3	GO:15918	42	8	3.91E-09	3.15E-01	sterol transport
3	GO:34366	8	2	6.40E-07	N/A	spherical high-density lipoprotein particle
4	KEGG 4640	81	11	1.05E-08	4.91E-01	Hematopoietic cell lineage
5	GO:32434	40	5	1.34E-06	1.00E+00	regulation of proteasomal ubiquitin-dependent protein catabolic process positive regulation of ubiquitin-protein ligase activity involved in mitotic cell cycle
5	GO:51437	70	9	2.60E-03	2.60E-03	cycle
5	GO:51439	76	9	3.82E-03	3.82E-03	regulation of ubiquitin-protein ligase activity involved in mitotic cell cycle
5	REACT 440	108	11	3.89E-03	3.89E-03	REACTOME_CELL_CYCLE_CHECKPOINTS
5	GO:51443	77	9	9.62E-03	9.62E-03	positive regulation of ubiquitin-protein ligase activity
6	REACT 539	261	25	2.95E-05	6.93E-02	REACTOME_HEMOSTASIS
7	GO:30131	31	7	1.20E-03	9.13E-01	clathrin adaptor complex
7	GO:30119	32	7	1.53E-03	9.54E-01	AP-type membrane coat adaptor complex
7	GO:44433	301	31	1.01E-02	1.00E+00	cytoplasmic vesicle part
7	GO:30122	9	4	1.29E-02	1.00E+00	AP-2 adaptor complex
7	GO:30118	39	7	1.35E-02	1.00E+00	clathrin coat
8	GO: 6457	200	12	1.60E-03	1.00E+00	protein folding



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To obtain the most strongly enriched pathways in the entire dataset (IGAP GWAS and replication), the p-values from the ALIGATOR analysis (counting the top 5% of genes as significant) were combined with those from the replication study using Fisher's method. The resulting p-values from the combined samples were corrected for multiple testing of 9,816 pathways using Sidak's formula. For each pair of gene sets, an overlap measure K was defined as the number of genes common to both sets divided by the number of genes in the smaller dataset. A gene set was assigned to a cluster if the average K between it and the gene sets already in the cluster was greater than 0.4. If it was not possible to assign a gene set to an existing cluster, a new cluster was carried out recursively, in descending order of enrichment significance. Clusters containing a significant pathway are listed here, and where more than 5 pathways are significant only the five most significant pathways in each cluster are shown. A complete list of pathways significant at  $p < 0.01$  in the ALIGATOR analysis of the IGAP GWAS data is given in Supplementary Table 4. "No GWS" refers to analyses in which genes containing a SNP genome-wide significant ( $p < 5 \times 10^{-8}$ ) in the IGAP GWAS dataset (and thus expected to be strongly significant in the replication dataset) are removed from the analysis of the replication data.

Table 3

## Genes in the significant ALIGATOR pathway clusters

Entrez ID	Gene Symbol	Best p (IGAP)	Gene-wide p (IGAP)	Best p (REP)	Gene-wide p (REP)
<b>Cluster 1: Immune response</b>					
1191	CLU	2.48E-17	5.14E-15	1.06E-10	2.60E-08
1378	CR1	3.65E-15	3.46E-07	3.82E-11	5.06E-08
2206	MS4A2	3.28E-10	3.68E-09	1.81E-04	6.54E-06
3117	HLA-DQA1	3.38E-09	1.20E-05	5.33E-05	8.89E-03
3123	HLA-DRB1	1.24E-08	6.54E-06	5.80E-05	1.13E-02
3127	HLA-DRB5	2.87E-07	4.78E-05	4.56E-04	5.23E-03
1380	CR2	9.35E-07	2.99E-02	5.76E-05	6.41E-03
3119	HLA-DQB1	2.97E-06	3.88E-05	3.58E-04	6.45E-03
3635	INPP5D	6.62E-06	3.33E-03	9.93E-06	1.02E-04
102	ADAM10	1.45E-04	2.90E-02	1.13E-02	2.71E-02
<b>Cluster 2: Endocytosis</b>					
274	BIN1	3.72E-16	4.75E-06	3.15E-11	5.27E-09
8301	PICALM	1.91E-12	1.20E-08	2.57E-07	2.97E-07
2206	MS4A2	3.28E-10	3.68E-09	1.81E-04	6.54E-06
1265	CNN2	1.19E-06	3.07E-03	2.91E-04	2.11E-03
<b>Cluster 3: Cholesterol transport</b>					
1191	CLU	2.48E-17	5.14E-15	1.06E-10	2.60E-08
10347	ABCA7	1.70E-09	3.00E-07	1.43E-06	1.02E-06
<b>Cluster 4: Hematopoietic cell lineage</b>					
1378	CR1	3.65E-15	3.46E-07	3.82E-11	5.06E-08
3123	HLA-DRB1	1.24E-08	6.54E-06	5.80E-05	1.13E-02
3127	HLA-DRB5	2.87E-07	4.78E-05	4.56E-04	5.23E-03
1380	CR2	9.35E-07	2.99E-02	5.76E-05	6.41E-03
<b>Cluster 5: Protein ubiquitination</b>					
1191	CLU	2.48E-17	5.14E-15	1.06E-10	2.60E-08
5702	PSMC3	3.70E-06	3.04E-05	1.55E-02	1.15E-02
5434	POLR2E	1.94E-05	6.93E-03	1.08E-03	1.26E-04

Entrez ID	Gene Symbol	Best p (IGAP)	Gene-wide p (IGAP)	Best p (REP)	Gene-wide p (REP)
6827	SUPT4HI	1.94E-04	2.26E-02	2.27E-02	2.27E-02
5706	PSMC6	2.98E-04	1.25E-02	3.99E-02	3.79E-02
6878	TAF6	4.22E-04	1.66E-02	6.41E-04	6.41E-04
<b>Cluster 6: Hemostasis</b>					
1191	CLU	2.48E-17	5.14E-15	1.06E-10	2.60E-08
3635	INPP5D	6.62E-06	3.33E-03	9.93E-06	1.02E-04
<b>Cluster 7: Clathrin/AP2 adaptor complex</b>					
1191	CLU	2.48E-17	5.14E-15	1.06E-10	2.60E-08
8301	PICALM	1.91E-12	1.20E-08	2.57E-07	2.97E-07
9179	AP4MI	2.16E-04	2.13E-03	3.74E-04	1.57E-04
<b>Cluster 8: Protein folding</b>					
1191	CLU	2.48E-17	5.14E-15	1.06E-10	2.60E-08
664618	HSP90AB4	4.62E-04	2.30E-03	2.19E-02	4.48E-02

Gene-wide p-values calculated using Brown's method (see Online Methods). Genes shown are those counted as significant (best  $p < 8.32 \times 10^{-4}$ ) in the ALIGATOR analysis of the IGAP GWAS data that are also significant (gene-wide  $p < 0.05$ ) in the replication data. Note that genes in the vicinity of APOE are not included in this table since this region was not genotyped in the replication sample. Such genes were highly significant in the meta analysis ( $p < 1 \times 10^{-10}$ ) and comprise APOC1/2 in cluster 2, APOE and APOC1/2/4 in cluster 4, APOE, PVRL, BCL3 and PVR in cluster 7, APOE in cluster 8.